



Effective field theory methods and the post-Newtonian framework

R. Sturani

Università di Urbino (Italy)

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- 1 **Effective Field Theory methods**
 - Introduction
 - An Example of EFT at work
- 2 **Binary inspiral and the PN approximation**
 - EFT applied to 2-body systems
 - Algorithm for computing PN-Hamiltonian dynamics
 - The dissipative sector
- 3 **Binary inspiral phenomenology**
 - Application to GW's
 - Fundamental gravity tests



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EFT principles: known fundamental theory

- Fundamental theory known:
effects of short distance physics r_s (heavy d.o.f. Λ)
on large distance physics $r \gg r_s$ (light modes $\omega \ll \Lambda$)

$$\exp(iS_{\text{eff}}[\phi]) = \int \mathcal{D}\Phi(x) e^{iS[\phi, \Phi]}$$

$$S_{\text{eff}} = \sum_i c_i \int d^d x O_i(x)$$

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Wilson Coefficients

$$c_i(\mu = \Lambda) \sim \Lambda^{\Delta-d}$$

local operators of $\phi(x)$

mass dim. Δ : Decoupling

Renormalize existing coefficients and generates **new** ones
Dependence of large scale theory on small scale r given by simple power counting rule

- Hamiltonian dynamics in 2-body problem
- self-force in 2-body problem

EFT principles: unknown fundamental theory

- Fundamental theory unknown:
large scale effective Lagrangean can be expanded in terms of **local operators**



write down the most **general set of operators** consistent with long scale system **symmetries** with unknown coefficients.



Example: finite size effects in gravitational coupling of isolated bodies

EFT for isolated compact object

Fundamental

- Fundamental gravitational fields
- Fundamental coupling to particle world line

Effective

- List generic operators coupled to particle world-line
- Diffeomorphism invariance

$$S_{pp-fun} = - \sum_i m_i \int d\tau$$

Integrating out

$$S_{pp-eff} = -m \int d\tau + c_R \int d\tau R + c_V \int d\tau R_{\mu\nu} \dot{x}^\mu \dot{x}^\nu +$$

$$c_E \int d\tau E_{\mu\nu} E^{\mu\nu} + c_B \int d\tau B_{\mu\nu} B^{\mu\nu} + \dots$$

$$E_{\mu\nu} \equiv R_{\mu\alpha\nu\beta} \dot{x}^\alpha \dot{x}^\beta \quad B_{\mu\nu} = \epsilon_{\mu\rho\sigma\alpha} R_{\nu\beta}^{\rho\sigma} \dot{x}^\alpha \dot{x}^\beta$$

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- List generic operators coupled to particle world-line
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$$S_{pp-fun} = - \sum_i m_i \int d\tau$$

Integrating out

$$\begin{aligned}
 S_{pp-eff} = & -m \int d\tau + \cancel{c_R \int d\tau R} + \cancel{c_V \int d\tau R_{\mu\nu} \dot{x}^\mu \dot{x}^\nu} + \\
 & c_E \int d\tau E_{\mu\nu} E^{\mu\nu} + c_B \int d\tau B_{\mu\nu} B^{\mu\nu} + \dots \\
 E_{\mu\nu} \equiv & R_{\mu\alpha\nu\beta} \dot{x}^\alpha \dot{x}^\beta \quad B_{\mu\nu} = \epsilon_{\mu\rho\sigma\alpha} R_{\nu\beta}^{\rho\sigma} \dot{x}^\alpha \dot{x}^\beta
 \end{aligned}$$

- **Cosmology**
Generic gravity Lagrangean invariant under spatial rotations (time-dependent space diffeomorphisms)
Short vs. Large
inflaton fluctuation vs. Hubble scale of the background
See P. Cheung et al. 2007
- **Hydrodynamics**
Derivative expansions:
Short vs. Large
Field time derivative vs. mean free time
Field space derivatives vs. mean free length
See Dubovsky et al. 2011



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Different scales in EFT

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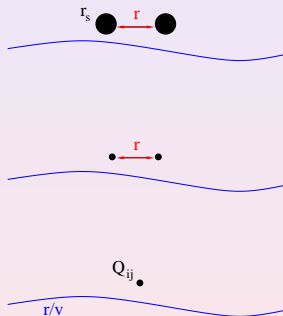
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- Very short distance $\lesssim r_s$
negligible up to 5PN
(effacement principle)
- Short distance: **potential
gravitons** $k_\mu \sim (v/r, 1/r)$
- Long distance: **GW's**
 $k_\mu \sim (v/r, v/r)$ coupled
to point particles with
moments

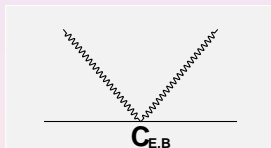


Matching example

Cross section for graviton scattering by a single black hole:

$$\sigma_{fund-BH} = r_s^2 f(r_s \omega) \sim \dots r_s^6 \omega^4 + \dots r_s^{10} \omega^8 \dots$$

Effective contribution to the amplitude:



$$\frac{C_{E,B}}{m_{Pl}^2} \omega^4$$

$$\sigma_{EFT-BH} \sim \dots + r_s \frac{C_{E,B}}{m_{Pl}^2} \omega^4 + \dots + \frac{C_{E,B}^2}{m_{Pl}^4} \omega^8 \implies C_E \propto m_{Pl}^2 r_s^5$$

The Transverse-Traceless gauge

$h_{\mu\nu}$ includes

- ① 4 gauge degrees of freedom
- ② 2 physical, **radiative** degrees of freedom
- ③ 4 physical, **non-radiative** degrees of freedom

1&3 propagate with “the speed of thought” (Eddington '22)

After fixing the diffeomorphism invariance:

$$h_{\mu\nu} = \begin{pmatrix} -2\Phi & \Xi_i \\ \Xi_i & h_{ij}^{TT} + \theta\delta_{ij} \end{pmatrix}$$

$\partial_i \Xi^i = h_{ij}^{TT} \delta^{ij} = \partial^i h_{ij} = 0$: 6 degrees of freedom left, 4 eaten
by gauge fixing

Einstein eq's:

$$\begin{aligned} \nabla^2 \Phi = \nabla^2 \Xi_i = \nabla^2 \Theta &= 0 \\ \square h_{ij}^{TT} &= 0 \end{aligned}$$

Conservative dynamics

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$$\exp [iS_{\text{eff}}(x_a)] = \int \mathcal{D}h(x) \exp [iS_{EH}(h) + iS_{pp}(h, x_a)]$$

$$S_{pp} = -\frac{m}{m_{Pl}} \int dt \left(h_{00}/2 + v_i h_{0i} + v^i v^j h_{ij}/2 + \dots \right)$$

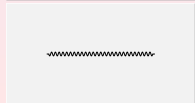
$$S_{EH} = \int d^4x \left(\partial_i h \right)^2 - \left(\partial_t h \right)^2 + \frac{h(\partial h)^2}{m_{Pl}} + \dots$$

Power counting to integrate out **potential** gravitons

h-M Vertex: $\sim dt d^3k \frac{m}{M_{Pl}}$



Propagator: $\delta(t) \frac{1}{k^2} \delta^{(3)}(k)$



...

The 1PN potential

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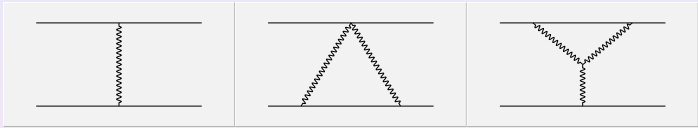
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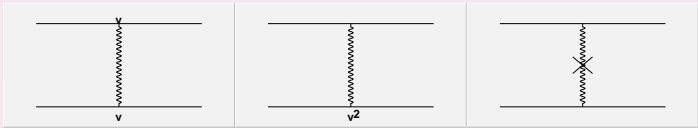
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Scaling: L Lv^2
Using virial theorem $v^2 \sim G_N M/r$



$$V = -\frac{Gm_1 m_2}{2r} \left[1 - \frac{G_N m_1}{2r} + \frac{3}{2}(v_1^2) - \frac{7}{2}v_1 v_2 - \frac{1}{2}v_1 \hat{r} v_2 \hat{r} \right] +$$

$1 \leftrightarrow 2$



Finite size effects enters at $5PN$

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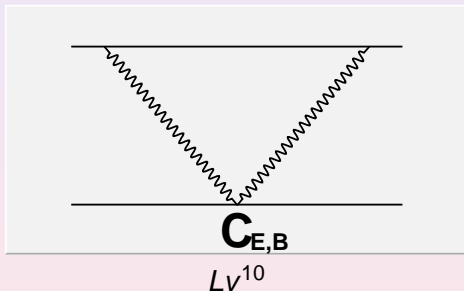
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Quantum corrections are irrelevant

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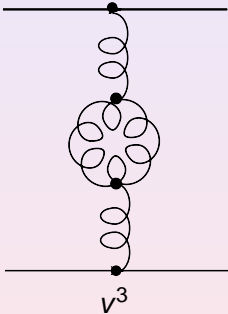
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“Usual” rule for quantum weight $\hbar^{l-V} = \hbar^{L-1}$

What's new to EFT in gravity?

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The '+'s with respect to standard approach

- **Built-in regularization** mechanism enable to treat divergencies (though dimensional regularization used also in traditional PN computation)
- **Systematic** use of **Feynman diagram** with manifest **power counting** rule, enabling the construction of automatized algorithms
- Effective 2-body action is produced **without the need to solve for the metric**
- recast old problems in a **field theory language**

The 3PN computation automatized

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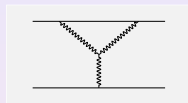
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Topologies



v and time
derivative-insertions

Graphs

Amplitudes

$$A = G_N m_i v_i \int d^d k d^d k_1 \frac{1}{k^2 (k - k_1)^2} \dots$$

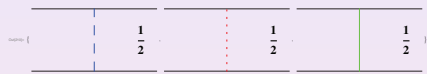
Evaluation

Analytic integral in a
database

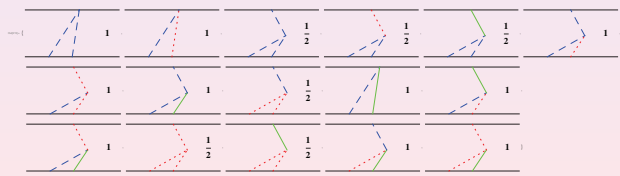
S. Foffa & RS PRD 2011

Feynman diagrams at 3PN order

$$G_{NV}^6$$



$$G_{NV}^2$$



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Feynman diagrams at 3PN order: $G_N^3 v^2$

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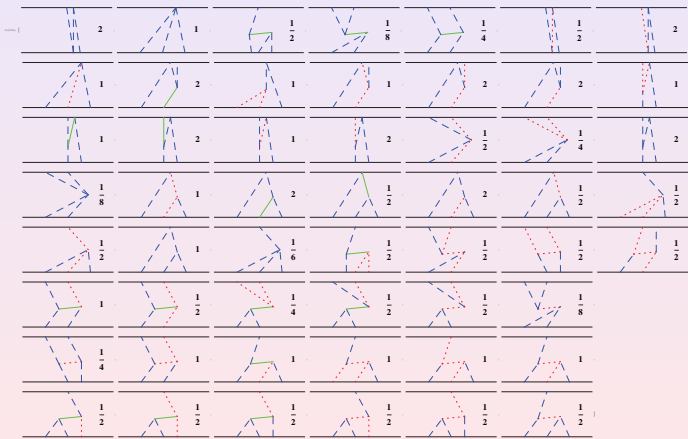
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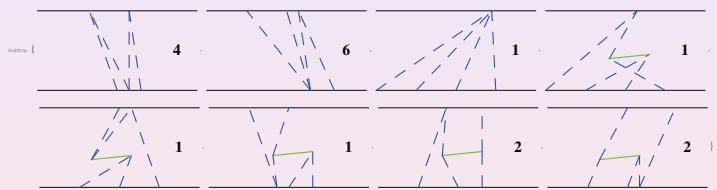
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Feynman diagrams at 3PN order: G_N^4

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Final result matches previous derivation of 3PN Hamiltonian,
see eq. (174) of Blanchet's Living Review on Relativity



The 4 PN status

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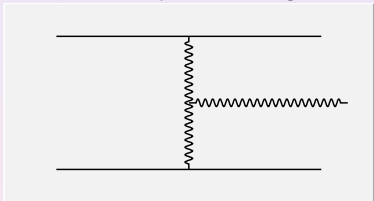
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- 3 graphs @ $G_N v^8$ order
- 23 @ $G_N^2 v^6$
- 202 @ $G_N^3 v^4$
- 307 @ $G_N^4 v^2$
- 50 @ G_N^5

The dissipative sector

Coupling gravitational waves in all possible ways to the composite systems e.g.



+ ...

$$\begin{aligned}
 S_{EFT-diss} = & -\frac{m}{m_{Pl}} \int h_{00} - \left[\frac{1}{2} \sum_a m_a v_a^2 - \frac{G_N m_1 m_2}{r} \right] \frac{h_{00}}{2m_{Pl}} \\
 & - \frac{1}{2m_{Pl}} \epsilon_{ijk} L_k \partial_j h_{0i} + \frac{1}{2m_{Pl}} \sum_a m_a x_i x_j R_{0i0j}
 \end{aligned}$$

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Radiation reaction

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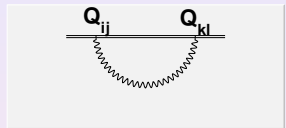
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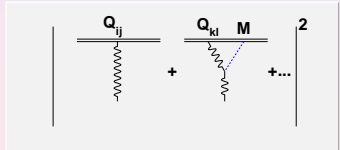
Radiation emitted and absorbed



Effective action modified:

Imaginary part \rightarrow power loss

Real part \rightarrow modifies e.o.m.
(Galley a Tiglio PRD '09)



Optical theorem

(Goldberger and Ross PRD '10)

Conservative part of the self force

- At leading order the SF affects e.o.m. at 2.5PN order

Burke-Thorne radiation reaction

$$\Delta^{(SF)} \ddot{x}_{ai}(t) = \frac{2G_N}{5} x_{aj}(t) Q_{ij}^{(5)}(t) - \frac{8}{5} G_N^2 M x_{aj} \int_{-\infty}^t dt' Q_{ij}^{(7)}(t') \log \left[\frac{(t-t')}{T} \right]$$

relative 1.5PN tail correction

- Conservative part associated with tail integral

$$\Delta^{(SF)} \ddot{x}_{ai}(t) = \frac{8G_N^2 M}{5} x_{aj}(t) Q_{ij}^{(6)}(t) \log \left(\frac{r}{\lambda} \right)$$

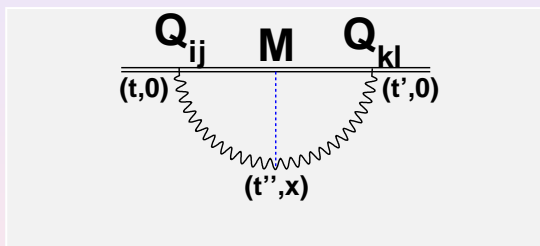
Gravitational radiation emitted, scattered, and absorbed.

L. Blanchet and T. Damour PRD '88

L. Blanchet, S.L. Detweiler, A. Le Tiec, B. F. Whiting PRD '10

Real part of the self-force diagram

Radiation emitted, scattered and absorbed



$$iS_{\text{eff}} \propto G_N^2 M \int dt Q_{-ij}^{(2)}(t) \int dt' Q_{+ij}^{(2)}(t') \times \\ \int dt'' d^3x \partial_t^2 G_R(t - t'', \mathbf{x}) G_R(t'' - t', \mathbf{x}) \frac{1}{r}$$

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Regularization and renormalization

$$iS_{\text{eff}} = -i \frac{4G_N^2 M}{5} \int dt Q_{-ij}(t) \times \left\{ -Q_{+ij}^{(6)}(t') \log(t-t') \Big|_{-\infty}^t + \int_{-\infty}^t dt' Q_{+ij}^{(7)}(t') \log[(t-t')\mu] \right\}$$

Arbitrary scale μ , **renormalized** multipole

$$Q_{ij}^{(\text{Bare})}(\omega) = Z(\omega, \mu) Q_{ij}^{(\text{Ren})}(\omega, \mu)$$

With $\mu \rightarrow 1/r$ and using

$$\log[(t-t')\mu] = \log\left(\frac{t-t'}{\lambda}\right) - \log\left(\frac{r}{\lambda}\right)$$

$$\Delta_{\text{cons}}^{(\text{QQM})} \ddot{x}_{ai} = \frac{8}{5} x_{aj} Q_{ij}^{(6)} \log(r/\lambda)$$

Renormalization in Fourier space

Classical renormalization from UV effect to **real** part of S_{eff}

$$S_{eff}^{(R)} = -\frac{G_N}{5} \int_{-\infty}^{\infty} dk Q_{-ij}(k) Q_{+ij}(-k) \left[(-ik)^5 + 4G_N M (-ik)^6 \left(\log^{(UV)} \left(\frac{k^2}{\mu^2} \right) + c \right) + \dots \right]$$

vs. **imaginary** part computed via optical theorem

$$S_{eff}^{(I)} = \frac{G_N}{10} \int_0^{\infty} dk Q_{ij}(k) Q_{ij}(-k) (-ik)^5 \left\{ 1 + 2\pi G_N M k + (G_N M k)^2 \left[-\frac{214}{105} \log^{(UV)} \left(\frac{k^2}{\mu^2} \right) + c' \right] + \dots \right\}$$

found in Goldberger and Ross PRD '10

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GW detection

Inspiral

Virial relation:

$$v \equiv (G_N M \pi f_{GW})^{1/3} \quad v = \frac{m_1 m_2}{(m_1 + m_2)^2}$$

$$E(v) = -\frac{1}{2} \nu M v^2 (1 + \#(v)v^2 + \#(v)v^4 + \dots)$$

$$P(v) \equiv -\frac{dE}{dt} = \frac{32}{5G_N} v^{10} (1 + \#(v)v^2 + \#(v)v^3 + \dots)$$

E(v)(P(v)) known up to 3(3.5)PN

$$\begin{aligned} \frac{1}{2\pi} \phi(T) &= \frac{1}{2\pi} \int^T \omega(t) dt = - \int^{v(T)} \frac{\omega(v) dE/dv}{P(v)} dv \\ &\sim \int \left(1 + \#(v)v^2 + \dots + \#(v)v^6 + \dots \right) \frac{dv}{v^6} \end{aligned}$$

GW detection

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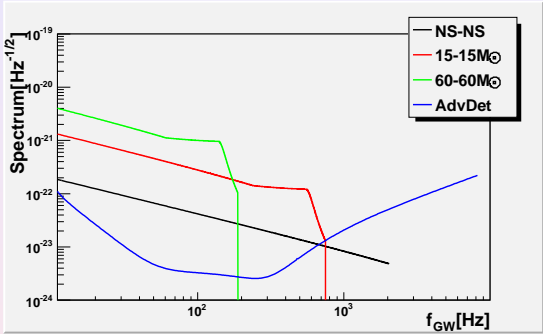
$$N_{cycles} \simeq 1.6 \cdot 10^4 \left(\frac{10\text{Hz}}{f_{min}} \right)^{5/3} \left(\frac{1.2M_{\odot}}{M_c} \right)^{5/3}$$

$$\text{Sensitivity} \propto M_c^{5/3} \sqrt{N_{cycles}} \propto M_c^{5/6}$$

$$f_{Max} \propto M^{-1}, M_c \equiv (m_1 m_2)^{3/5} (m_1 + m_2)^{2/5}$$

Important to know the phase at $O(1)$ when taking correlation of detector's output and model waveform

Detector sensitivity



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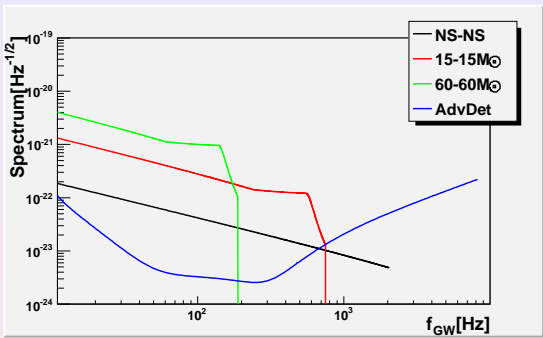
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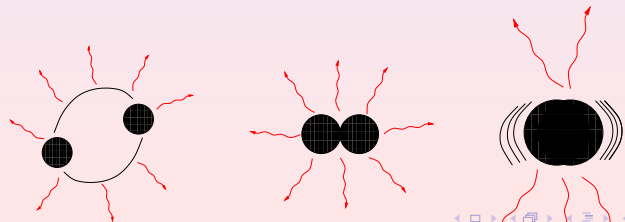
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Observational rate estimates

LIGO/Virgo Advanced Observatories will detect

	NS-NS	10 M_{\odot} BH-BH
Distance (Mpc)	300Mpc	1Gpc
Rates $\text{MWEG}^{-1}\text{Myr}^{-1}$	$1 \div 10^3$	$4 \cdot 10^{-2} \div 100$

$$N = 0.011 \times \frac{4}{3} \pi \left(\frac{D_H}{2.26 \text{Mpc}} \right)^3 \text{MWEG}$$

Best case:

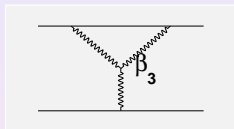
$$r_{\text{NS-NS}} \sim 400 \text{yr}^{-1}$$

$$r_{\text{BH-BH}} \sim 10^3 \text{yr}^{-1}$$

I. Mandell et al. PRD 2010

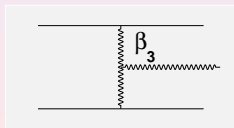
Graviton self-interaction vertices

- Conservative dynamics



$$V \supset \beta_3 \frac{G_N^2 m_1 m_2 (m_1 + m_2)}{r^2}$$

- Emission



$$L_{pp} \supset h_{ij} \beta_3 (\nu M x_i \ddot{x}_j)$$

Example of tagging of fundamental physics effects
 β_3 is not a viable modification of General Relativity

Bound of soelf-interaction triple vertex

At present the binary pulsars give best constraint on non-conservative effect from β_3

$$\dot{P}_{\beta_3} = \dot{P}_{GR}(1 + c\beta_3) \quad c \simeq 3.21$$

Given that $\frac{\dot{P}_{obs}}{\dot{P}_{GR}} - 1 \simeq 0.1\% \implies \beta_3 = (4.0 \pm 6.4) \cdot 10^{-4}$

Conservative effect of β_3 already constrained by Lunar Laser Ranging, as @ 1PN

$$\beta_3 = \beta_{PPN} < 2 \cdot 10^{-4}$$

Cannella et al. '09



Bayesian analysis of GR vs. modGR

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Binary inspiral phenomenol- ogy

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Conclusions

Searching for waveforms whose phase is modified at any
PPN waveforms

$$\phi(t) = \phi_N(t) [1 + \phi_1(t)(1 + \delta_1) + \phi_{1.5}(1 + \delta\phi_{1.5}) + \phi_2(1 + \delta\phi_2)]$$

and injecting fake signals with

$$\phi_{inj}(t) = \phi_{GR}(t) + \phi_N(t)\delta\phi_A(t)$$

Li et al. 2011

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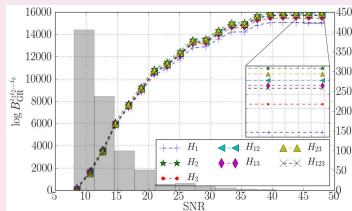
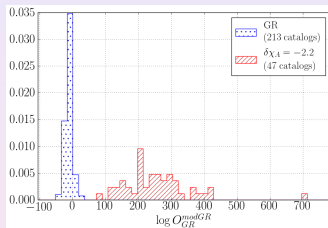
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$$\begin{aligned} O_i &= P(H_i|d) \\ &= P(H_i) \frac{P(d|H_i)}{P(d)} \end{aligned}$$

$$\begin{aligned} O_{mGR}^{GR} &= \frac{O_{mGR}}{O_{GR}} \\ &\propto \frac{P(d|H_{mGR})}{P(d|H_{GR})} \end{aligned}$$

(1 catalog = 15 sources)



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- EFT is powerful, PN computation are catching up with the traditional methods
- Universal tools applicable to problems exhibiting clear scale separation