

# Lorentz violation in gravity: why, how and where

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# Why Lorentz Violation

Lorentz invariance (LI) is a key ingredient of  
**Particle Physics, Gravity, Dark Sector**

such a fundamental principle should be questioned by studying viable alternatives

Bounds:  $\lesssim 10^{-20}$   $\lesssim 10^{-7}$   $\lesssim 10^{-2}$

other benefits of studying violative of LI in gravity:

- ◆ Improve the UV properties of GR
- ◆ New ideas for black hole thermodynamics
- ◆ New ideas for cosmic acceleration
- ◆ Interesting (testable) phenomenology

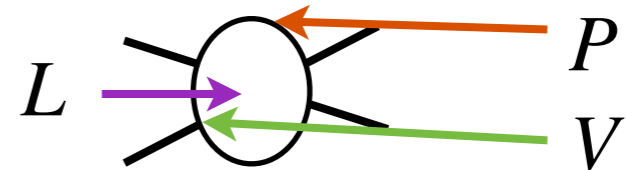
# How I: Hořava Gravity in a Nutshell

Hořava 09

Lifshitz scalar (**LV**: no extra poles, no ghosts)

$$\mathcal{L} = \phi \left[ \partial_0^2 - \left( \frac{-\Delta}{M_*^2} \right)^z \Delta \right] \left\{ \phi + \sum_n a_n \left( \frac{\phi}{M_P} \right)^n \right\}$$

Power counting for amplitudes



$$I \sim \left( \int^{\Lambda_0} d\omega \int^{\Lambda_i} d^3 k_i \right)^L \left( \frac{1}{\omega^2 - \bar{k}^2 \left( \frac{\bar{k}^2}{M_*^2} \right)^z + i\epsilon} \right)^{P-V} \sim \Lambda_i^{(2-z)L + 2(z+1)}$$

$\uparrow$   
 $\Lambda_0 \sim \Lambda_i^{z+1}$

◆  $z = 0$  (LI/GR):  $\sim \Lambda_i^{2(L+1)}$  grows with  $L$ !

◆  $z = 2$  (LV)  $\sim \Lambda_i^6$  fixed!

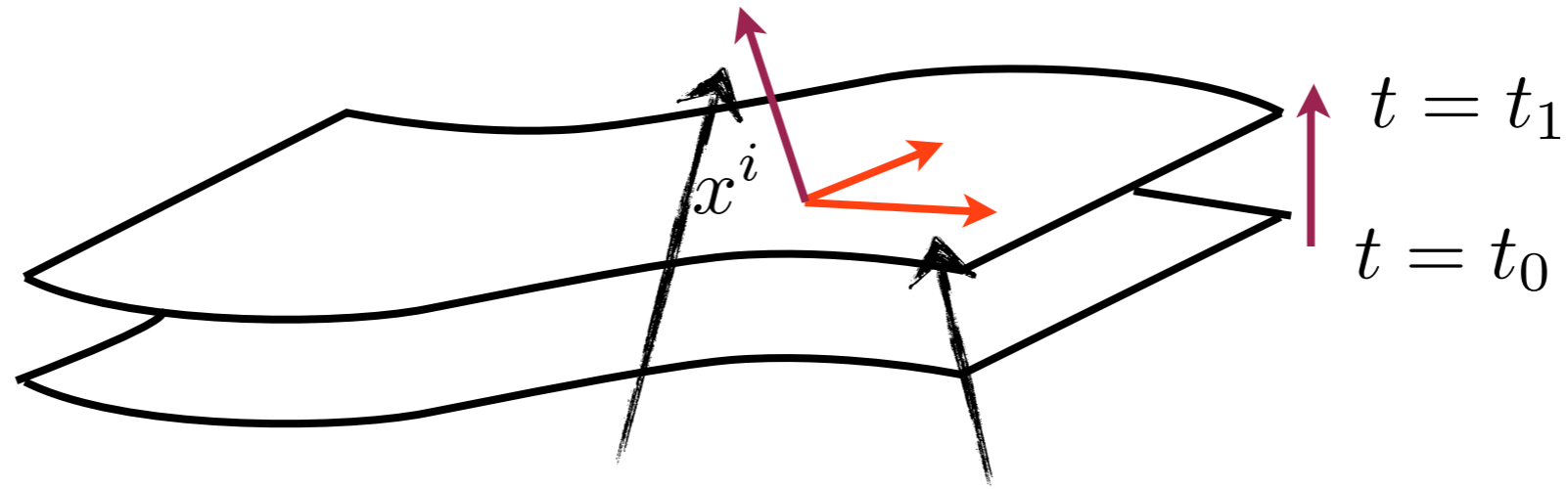
# of counterterms may be finite

# How I: Hořava Gravity in a Nutshell

For gravity this is more involved:

Hořava 09

preferred **foliation** of space-time



$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = N^2 dt^2 - \gamma_{ij} (dx^i + N^i dt)(dx^j + N^j dt)$$

Broken diffeomorphisms: new group of covariance

$$x^i \mapsto \tilde{x}^i(x^j, t) \quad t \mapsto \tilde{t}(t)$$

**FDiff:** Foliation preserving Diff

Extra (gapless?) polarization expected



# How I: Hořava Gravity in a Nutshell

Hořava 09  
Blas, Pujolàs, Sibiryakov 09

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = N^2 dt^2 - \gamma_{ij} (dx^i + N^i dt)(dx^j + N^j dt)$$

Covariant objects under FDiff

$$K_{ij} \sim \frac{\partial \gamma_{ij}}{\partial t} \sim \omega \gamma_{ij} \quad ({}^{(3)}R^i{}_{jkl} \sim \mathbf{k}^2 \gamma_{ij} \quad a_i \equiv \frac{\partial_i N}{N} \sim \mathbf{k}_i \phi$$

GR Lagrangian extended to

$$\mathcal{L} = M_P^2 N \sqrt{\gamma} \left( \underbrace{K_{ij} K^{ij} - (1 - \lambda') (\gamma_{ij} K^{ij})^2}_{\partial_0^2} - (1 - \beta') ({}^{(3)}R) + \alpha' a_i a^i \dots + \frac{\Delta^2 ({}^{(3)}R)}{M_\star^4} \right)$$

Low energy (IR)

Renormalizability

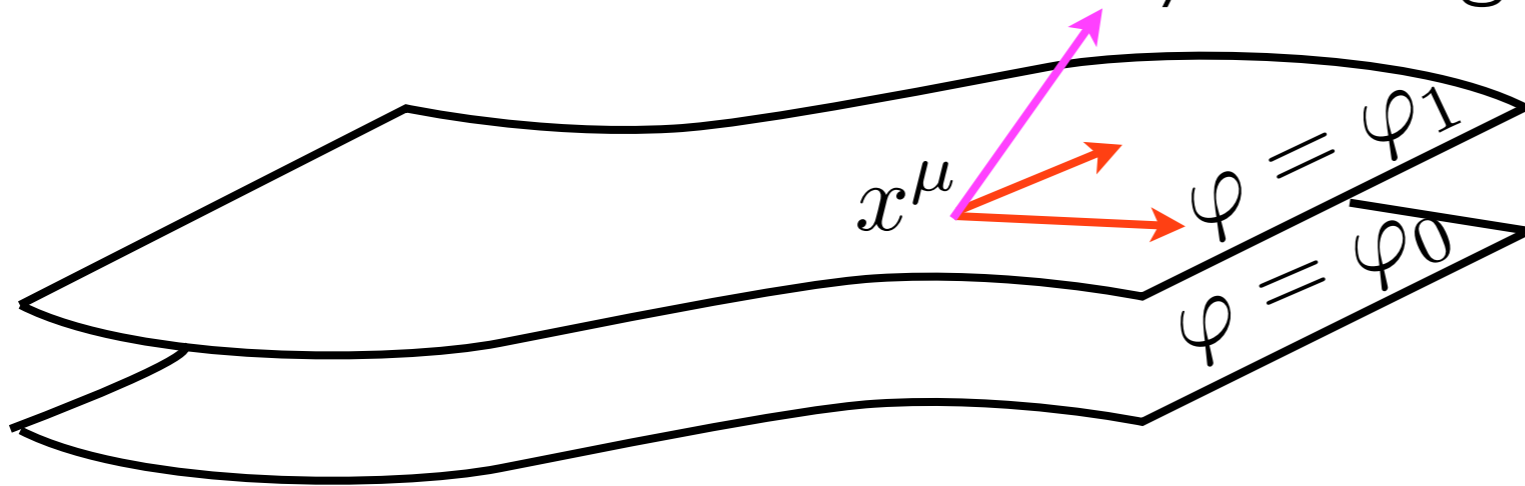
(Naive) GR limit:  $\lambda' = \beta' = \alpha' = 0$

Finite # of counterterms

# How II: Chronometric Theory

Blas, Pujolàs, Sibiryakov 09

Diff invariance restored by adding a compensator:  $\varphi$



$\varphi$  **khronon** χρόνος

Diff

$$\varphi \mapsto f(\varphi)$$



$$u_\mu \equiv \frac{\partial_\mu \varphi}{\sqrt{\partial_\alpha \varphi \partial^\alpha \varphi}}$$



$$x^i \mapsto \tilde{x}^i(x^j, t)$$

$$t \mapsto \tilde{t}(t)$$

$$\mathcal{K}_{\mu\nu} \equiv (\delta_\mu^\sigma - u^\sigma u_\mu) \nabla_\sigma u_\nu$$

$$K_{ij}$$

$$\mathcal{L}_{EH} + M_P^2 \sqrt{-g} \left( \lambda (\nabla^\mu u_\mu)^2 + \alpha (u^\nu \nabla_\nu u_\mu)^2 + \beta \nabla_\mu u_\nu \nabla^\nu u^\mu \right)$$

$$\mathcal{L}$$

$$+O(1/M_\star)$$



Unitary gauge

$$\varphi = t$$

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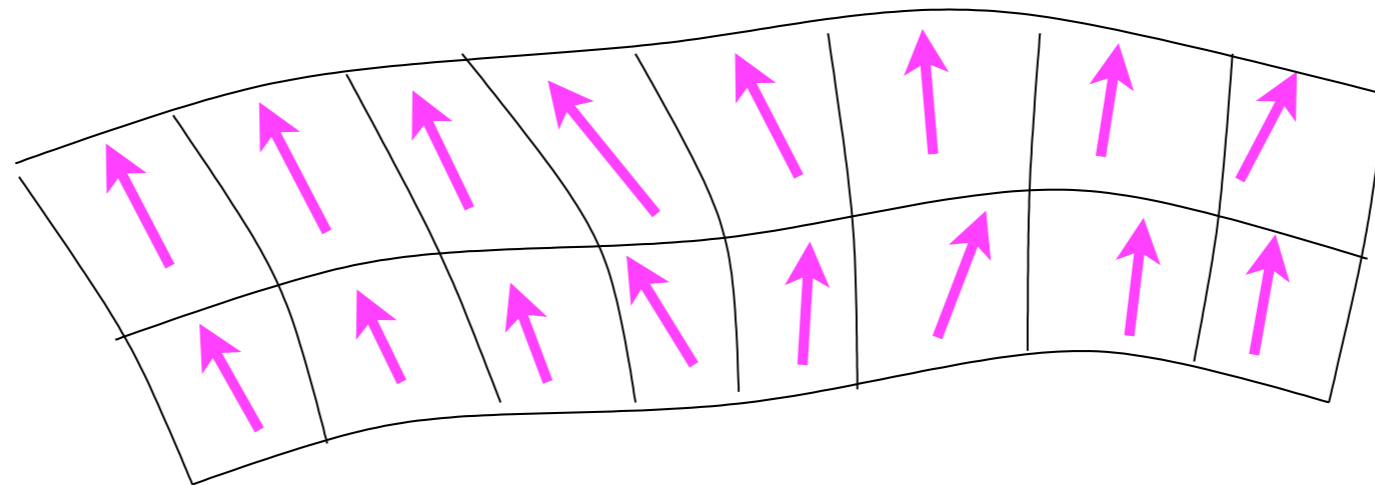
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# How III: Generic

Space-time filled by a preferred **time** direction associated to a time-like unit vector  $u_\mu$



Generic:  
**Einstein-æther**

Jacobson, Mattingly 01

$$u_\mu u^\mu = 1$$

Scalar-vector

Hypersurface orthogonal:  
**Khronometric**



$$u_\mu \equiv \frac{\partial_\mu \varphi}{\sqrt{\partial_\alpha \varphi \partial^\alpha \varphi}}$$

# Gravitational Lagrangian (IR)

Ingredients:  $u_\mu, g_{\mu\nu}$

## Chronometric

$$u_\mu \equiv \frac{\partial_\mu \varphi}{\sqrt{\partial_\alpha \varphi \partial^\alpha \varphi}}$$

$$\mathcal{L}_{\chi GR} = \mathcal{L}_{EH} + M_P^2 \sqrt{-g} \left( \lambda (\nabla^\mu u^\nu \nabla_\nu u_\mu)^2 + \beta \nabla_\mu u_\nu \nabla^\nu u^\mu \right)$$

massless spin 2 graviton

massless scalar

$$\omega^2 = c_\chi^2 k^2$$

Possible UV completion:  
Hořava gravity  $M_* < \Lambda_{IR}$

EFTs with cut-off  
 $\Lambda_{IR} \sim \sqrt{\alpha} M_P$

## Einstein-æther

$(u_\mu)$ : extra term

$$\gamma \nabla_\mu u_\nu \nabla^\mu u^\nu$$

Jacobson, Mattingly 01

$$u_\mu u^\mu = 1$$

extra vector polarizations

$$u_\mu = \bar{u}_\mu + \delta u_\mu$$

**Where:**

GR is modified in UV and IR,  
there may be traces  
of LV everywhere!

# Matter Lagrangian (& Tests)

Ingredients:  $u_\mu$ ,  $g_{\mu\nu}$  + SM Fields + DM + DE

$$\mathcal{L}_m = \mathcal{L}_{LI}(\text{SM}, \text{DM}, \text{DE}, g_{\mu\nu}) + \kappa_{SM} \mathcal{L}_{LV}(\text{SM}, g_{\mu\nu}, u_\mu) \\ + \kappa_{DM} \mathcal{L}_{LV}(\text{DM}, g_{\mu\nu}, u_\mu) + \kappa_{DE} \mathcal{L}_{LV}(\text{DE}, g_{\mu\nu}, u_\mu)$$

SM: e.g.  $\bar{\psi} u^\mu u^\nu \gamma_\mu \partial_\nu \psi \rightarrow \omega_\psi^2 = m_\psi^2 + c_\psi^2 k^2$

$|1 - c_{p,n}/c_\gamma| < 10^{-22}$  Dynamical explanation?

Kostelecky, Liberati, Mattingly, ...

In the following  $\kappa_{SM} = 0$

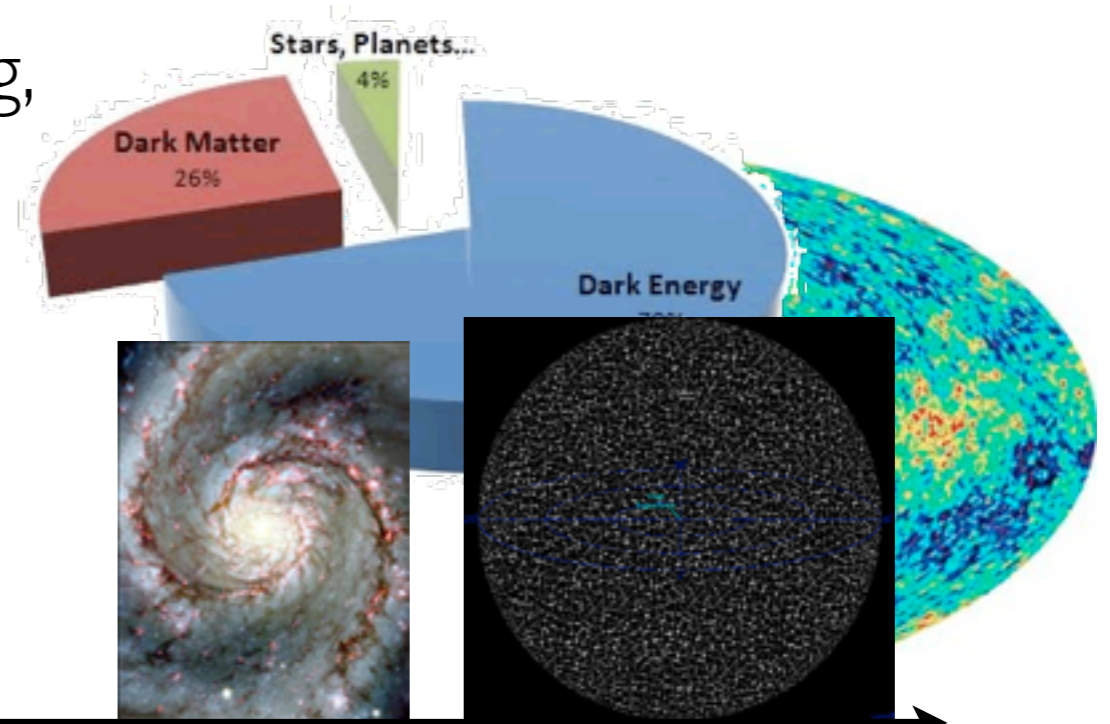
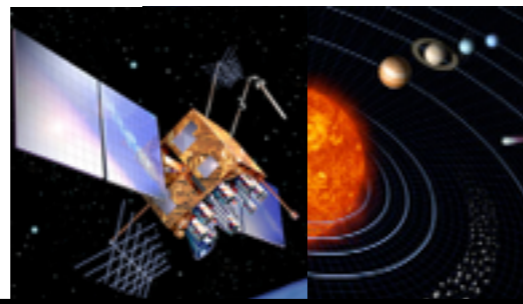
DM, DE:  $\kappa_{DM}, \kappa_{DE}$ ? to be answered by cosmology

# Tests of Gravity

Atomic  
interferometry  
Torsion  
balance



Nordtvedt effect,  
Light deviation  
Lunar laser ranging,  
Planets (radar)  
Satellites  
(Probe B, GPS)



$10^{-6}$

$10^{11}$

$10^{26} \ m$

UV modifications

$$\phi = -\frac{G_N M}{r} (1 + a e^{-M_\star r})$$

$$M_\star > 0.1 \text{ eV}$$

Solar system, GWs, cosmology,...

**Einstein-æther** or **Kh** theory

$$\mathcal{L}_{EH} + \sqrt{-g} \left( \lambda (\nabla^\mu u_\mu)^2 + \alpha (u^\nu \nabla_\nu u_\mu)^2 + \beta \nabla_\mu u_\nu \nabla^\nu u^\mu \right)$$

$$\kappa_{DM}, \kappa_{DE}?$$

Huge improvement may come from  
detection of primordial GW (?)

# Theoretical & Solar System Constraints (Kh)

## Theoretical

stability, no ghosts

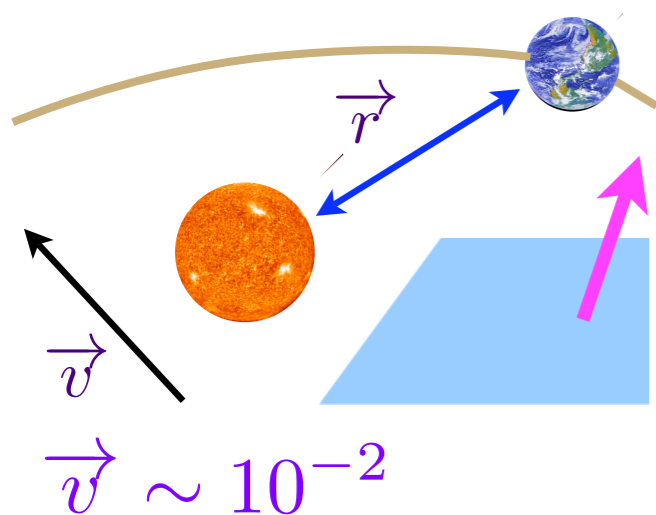
$$c_\chi^2 > 0, \quad c_t^2 > 0, \quad 0 < \alpha < 2$$

no gravitational Cherenkov

$$c_t^2 \geq 1, \quad c_\chi^2 \geq 1$$

## Solar system

once  $\kappa_{SM} \lesssim 10^{-20}$  imposed: WEP satisfied



$$h_{00} = -2G_N \frac{M}{r} \left( 1 - \frac{(\alpha_1^{PPN} - \alpha_2^{PPN})v^2}{2} - \frac{\alpha_2^{PPN}}{2} \frac{(x^i v^i)^2}{r^2} \right)$$

$$h_{0i} = \frac{\alpha_1^{PPN}}{2} G_N \frac{m}{r} v^i$$

$$\alpha_1^{PPN} = -4(\alpha - 2\beta) \lesssim 10^{-4} \quad \text{Will 05}$$

$$\alpha_2^{PPN} = \frac{(\alpha - 2\beta)(\alpha - \lambda - 3\beta)}{2(\lambda + \beta)} \lesssim 10^{-7}$$

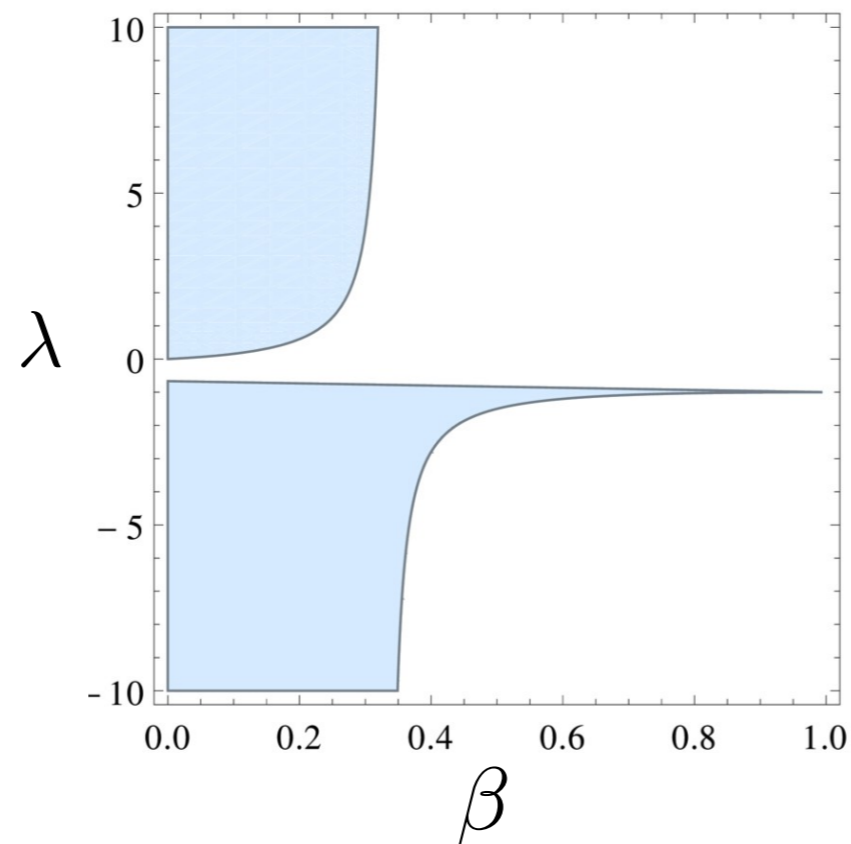
$$G_N \equiv \frac{1}{8\pi M_P^2 (1 - \alpha/2)}$$

$\alpha = 2\beta$  identical to GR in the Solar System!



# Gravitational Radiation (Kh)

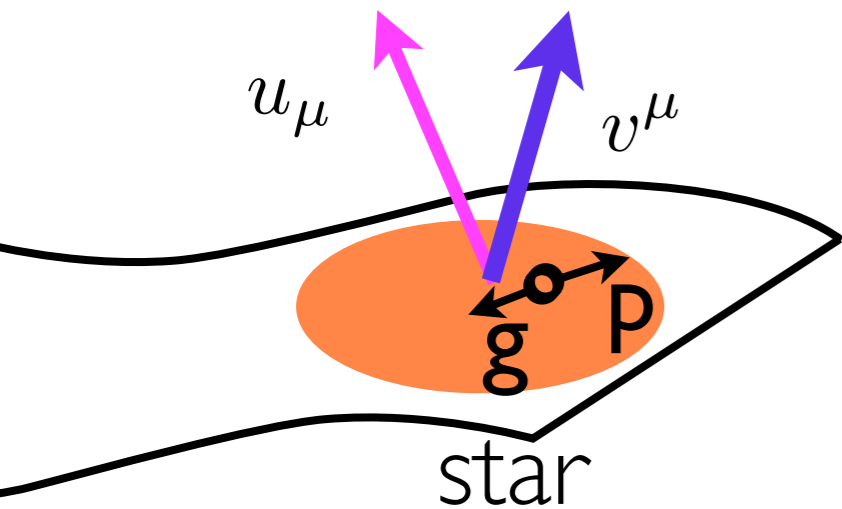
TH & Solar System constraints leave 2 free parameters



derived from situations with **weak** gravitational fields

**GWs tests** improve both aspects!

# Expected Astrophysical Effects



Matter forces are not modified  
Gravitation modified (coupling between gravitons and æther)

Violation of **strong equivalence principle (SEP)**  
(Nordtvedt effect)

effectively, for **strong gravity** regimes  
this produces a coupling matter-æther for point particles!

$$S_{pp} = -\tilde{m} \int ds \quad \rightarrow \quad S_{pp} = -\tilde{m} \int ds f(u_{\mu}v^{\mu})$$

the **orbital** equations depend on  $u_{\mu}v^{\mu}$

# Orbital effects: PN analysis

$$S_{pp} = -\tilde{m} \int ds f(u_\mu v^\mu)$$

Slowly moving star  $v^i \ll 1$

$$S_{ppA} = -\tilde{m}_A \int ds_A \left[ (1 + \sigma_A (1 - u_\mu v^\mu)) + O((u_\mu v^\mu - 1)^2) \right]$$

**sensitivity**: encapsulates the strong-field effects

## Newtonian limit

Foster 07

$$\dot{v}_A^i = \sum_{B \neq A} \frac{-\mathcal{G}_{AB} m_B}{r_{AB}^3} r_{AB}^i$$

$$\mathcal{G}_{AB} \equiv \frac{G_N}{(1 + \sigma_A)(1 + \sigma_B)}$$

strong field  $G_N$

$$m_A \equiv \tilde{m}_A (1 + \sigma_A)$$

active masses

$$P_i = m_1 v_1^i + m_2 v_2^i \quad \text{conserved momentum}$$

# Dipolar radiation

**SEP** violation : **dipolar** radiation expected

(similar phenomenon in scalar-tensor)

Eardley, Will 70s

Damour, Esposito-Farese 92

$$h \sim \frac{G}{c^3} \frac{d}{dt} \frac{\Sigma_i}{r} \sim \frac{G}{c^3} \frac{\dot{\tilde{P}}_i}{r}, \quad \Sigma_i \equiv \int d^3x \rho x^i$$

**SEP** violated: the conserved momentum does not

correspond to  $\tilde{P}_i = \tilde{m}_1 v_1^i + \tilde{m}_2 v_2^i$

$$\dot{h} \sim \frac{G}{c^3} \frac{\dot{\tilde{P}}_i}{r}$$

The **dipole mode** can be seen in interferometers

or in the evolution of binaries

$$\dot{\mathcal{E}} = -G \left\langle \frac{\mathcal{A}_1}{5} \ddot{Q}_{ij} \ddot{Q}_{ij} + \mathcal{B}_1 \ddot{I} \ddot{I} + \mathcal{C} \dot{\Sigma}_i \dot{\Sigma}_i + \dots \right\rangle$$

**GR:**  $\mathcal{A}_1 = 1, \mathcal{B}_1 = \mathcal{C} = 0$

# Computing the sensitivities

Yagi, Blas, Yunes, Barausse 13

**Matching** of real solution to the effective one

$$S_{ppA} = -\tilde{m}_A \int ds_A \left[ (1 + \sigma_A (1 - u_\mu v^\mu)) + O((u_\mu v^\mu - 1)^2) \right]$$

Slowly moving star:  $v^i \ll 1$  (velocity wrt æther)

Far-away from the star

$$g_{00} = 1 - \frac{1}{c^2} \frac{2G_N \tilde{m}_1}{r_1} + \frac{1}{c^4} \left[ \frac{2G_N^2 \tilde{m}_1^2}{r_1^2} - \frac{3G_N \tilde{m}_1}{r_1} v_1^2 (1 + \sigma_1) \right],$$

$$g_{0i} = -\frac{1}{c^3} \left[ B_1^- \frac{G_N \tilde{m}_1}{r_1} v_1^i + B_1^+ \frac{G_N \tilde{m}_1}{r_1} v_1^j \hat{r}_1^j \hat{r}_1^i \right], \quad g_{ij} = - \left( 1 + \frac{1}{c^2} \frac{2G_N \tilde{m}_1}{r_1} \right) \delta_{ij}$$

From the **real** system in this approximation

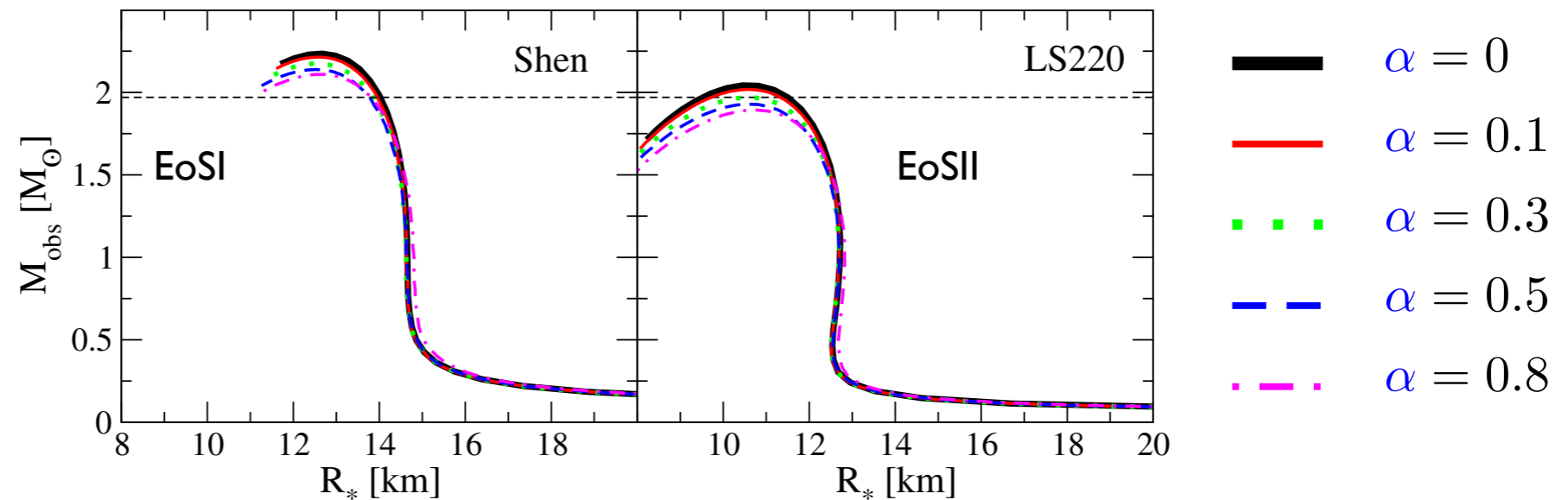
$$ds^2 = e^{\nu(r)} dt^2 - e^{\mu(r)} dr^2 - r^2 (d\theta^2 + \sin^2 \theta d\varphi^2) \\ + 2vV(r, \theta) dt dr + 2vrS(r, \theta) dt d\theta + \mathcal{O}(v^2),$$

$$u_\mu = e^{\nu(r)/2} \delta_\mu^t + vW(r, \theta) \delta_\mu^r + \mathcal{O}(v^2)$$

# Neutron Stars Results

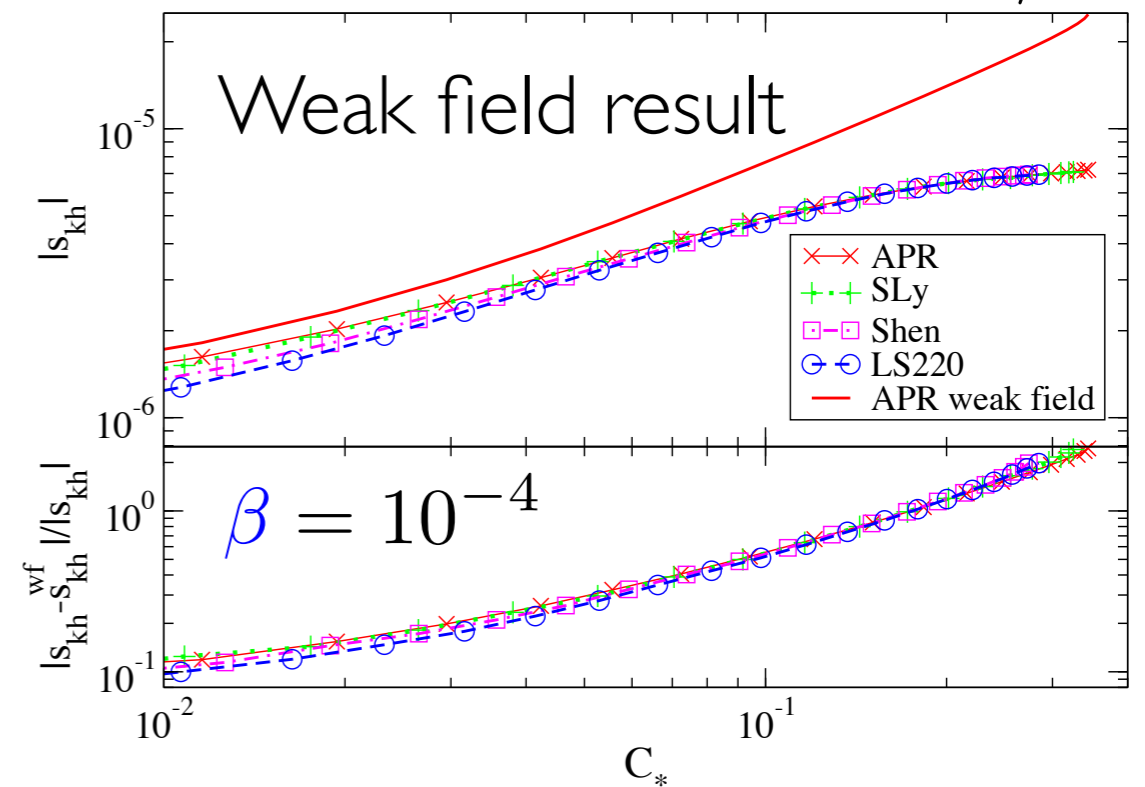
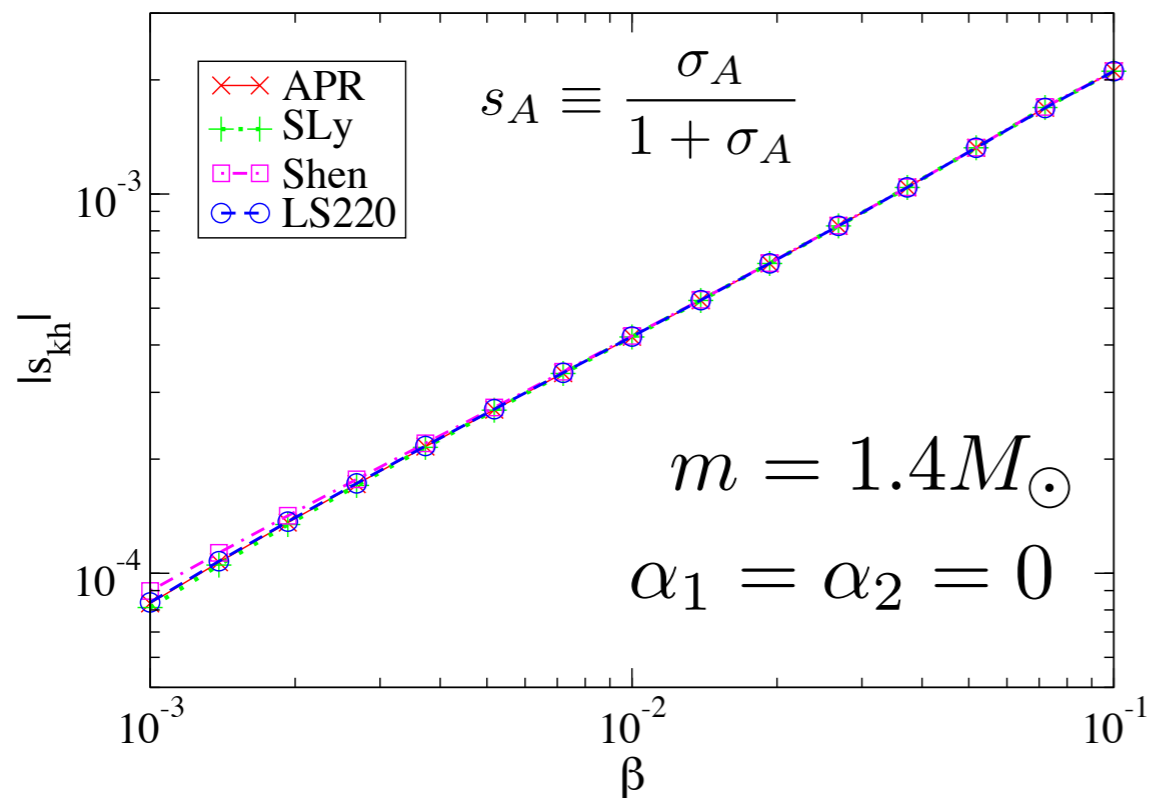
Yagi, Blas, Yunes, Barausse 13

At  $O(v^0)$ : modified **TOV**



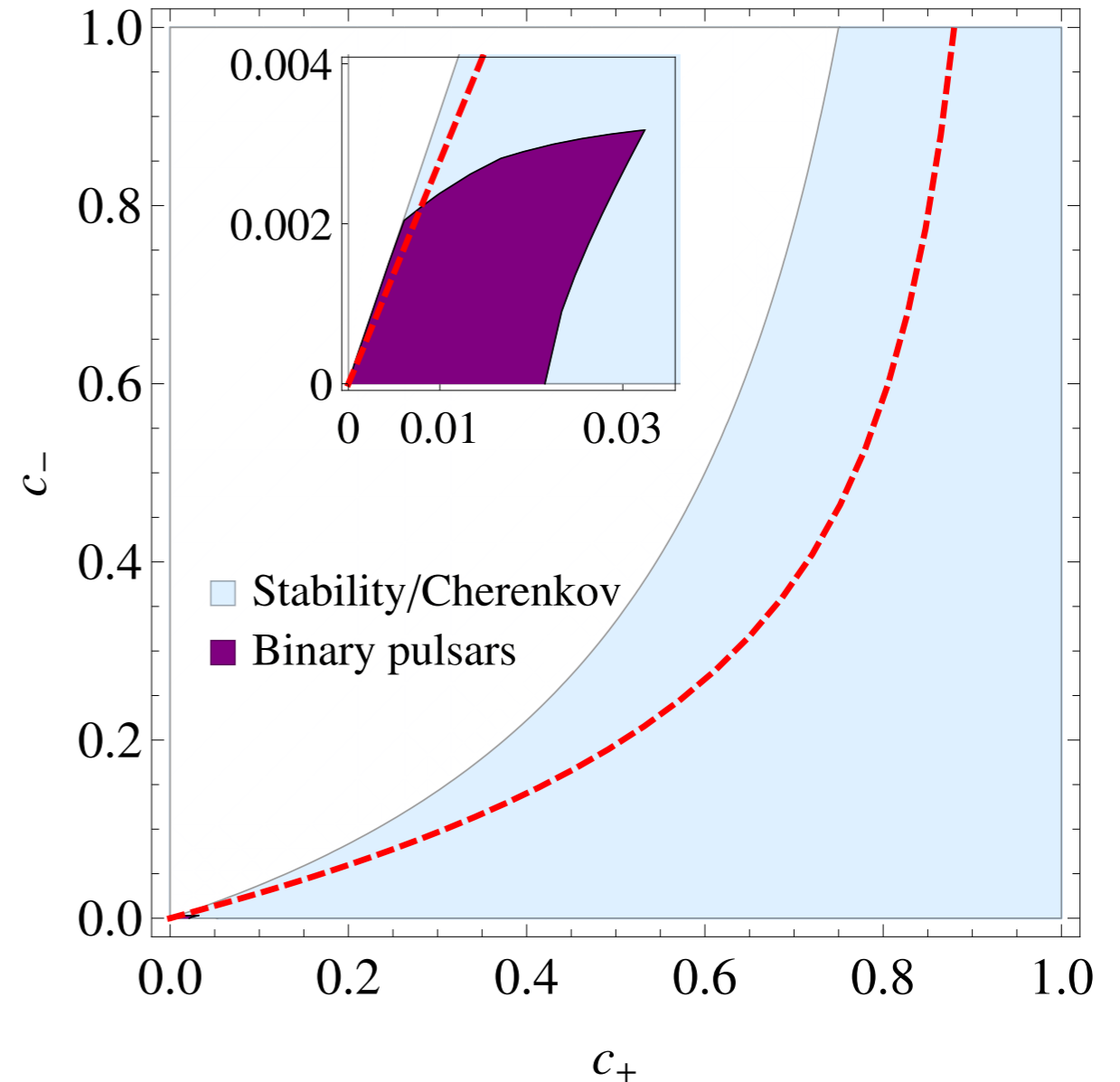
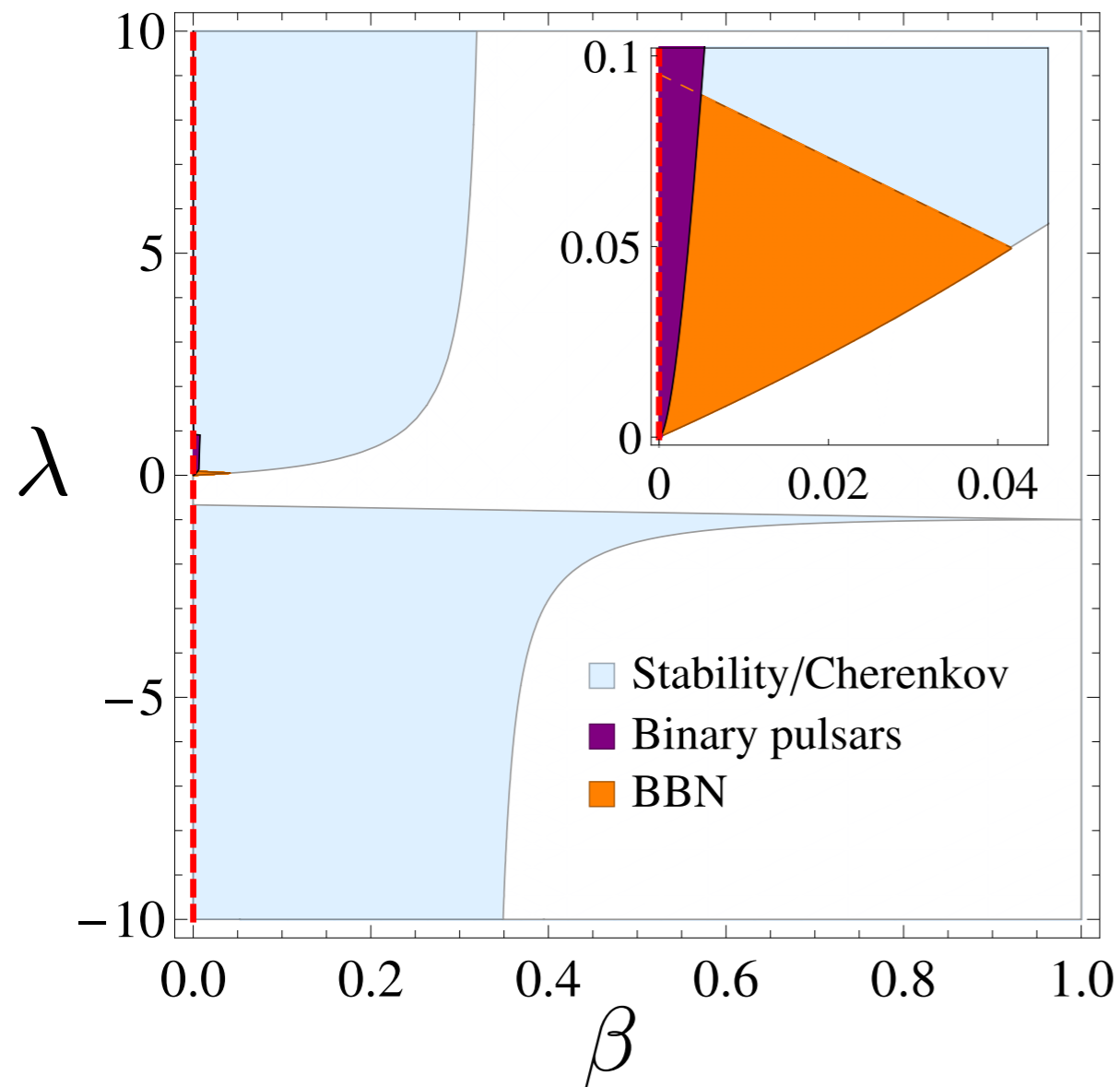
At  $O(v)$ : sensitivities

$$C_* \equiv G_N m / R$$



# Gravitational Radiation (Kh & E-æ)

Yagi, Blas, Yunes, Barausse 13



Combined constraints from WD-NS and NS-NS systems  
PSR J1141-6545, PSR J0348+0432, PSR J0737-3039  
(Solar system constraints enforced)

# LV in Cosmology

$$\mathcal{L}_{\chi GR} = \mathcal{L}_{EH} + M_P^2 \sqrt{-g} \left( \lambda (\nabla^\mu u_\mu)^2 + \alpha (u^\nu \nabla_\nu u_\mu)^2 + \beta \nabla_\mu u_\nu \nabla^\nu u^\mu \right)$$

$$\mathcal{L}_m = \mathcal{L}_{LI}(\text{SM}, \text{DM}, \Lambda, g_{\mu\nu}) + \kappa_{SM} \mathcal{L}_{LV}(\text{SM}, g_{\mu\nu}, u_\mu) \\ + \kappa_{DM} \mathcal{L}_{LV}(\text{DM}, g_{\mu\nu}, u_\mu) + \kappa_{DE} \mathcal{L}_{LV}(\text{DE}, g_{\mu\nu}, u_\mu)$$

~~MOND~~

Blanchet, Marsat II

~~Natural dark energy~~

Blas, Sibiryakov II

LV effects from the coupling to  $u_\mu = \bar{u}_\mu + \delta u_\mu$ :

- (i) the background  $\bar{u}_\mu$  modifies the inertial mass
- (ii) new interaction from  $\delta u_\mu$



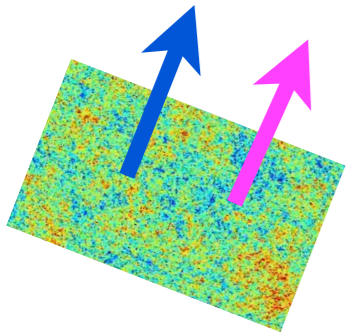
**DM & gravitons** gravitate differently:  
no equivalence principle and enhanced collapse!



# Relativistic Cosmology: Background

$$G_{\mu\nu} = \frac{1}{M_P^2} T_{\mu\nu}^m + \frac{1}{M_P^2} T_{\mu\nu}^{DM} + \frac{1}{M_P^2} T_{\mu\nu}^{aether} + \frac{1}{M_P^2} T_{\mu\nu}^\Lambda$$

**Background:** Homogeneous and isotropic  
(preferred foliation aligned with CMB frame)



$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = dt^2 - a(t)^2 dx^i dx^i$$

$$u_\mu = (u_0(t), 0, 0, 0) = v_\mu \quad , \quad \rho(t)$$

Friedmann equations almost not modified!

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G_c}{3} \rho_m$$

$$G_c = \frac{1}{8\pi M_P^2 [1 + 3\lambda/2 + \beta/2]}$$

From BBN ( $^4\text{He}$  abundance)

$$G_c = G_N + O(.01)$$

Carroll, Lim 04

$$G_N = \frac{1}{8\pi M_P^2 (1 - \alpha/2)}$$

# LV effects in Perturbations

$$\kappa_{DM} = 0$$

Kobayashi, Urakawa, Yamaguchi 10

$$ds^2 = a(t)^2 [(1 + 2\phi)dt^2 - \delta_{ij}(1 - 2\psi)dx^i dx^j]$$

◆ Faster Jeans instability: DM dom, subhorizon

$$\frac{k^2 \phi}{a^2} = \frac{3H^2(1 + \beta/2 + 3\lambda/2)}{2(1 - \alpha/2)} \delta = \frac{3G_N}{2G_c} H^2 \delta ; \quad \delta'' + 2H\delta' = -\frac{k^2 \phi}{a^2}$$

$$\delta \sim t^{-1 + \sqrt{1 + 24 \frac{G_N}{G_c}}}$$

+ Solar system constraints (**Kh**)

$$\alpha = 2\beta$$

$$\frac{G_N}{G_c} - 1 = \frac{3(\beta + \lambda)}{2} + O(2) > 0$$

◆ Anisotropic stress

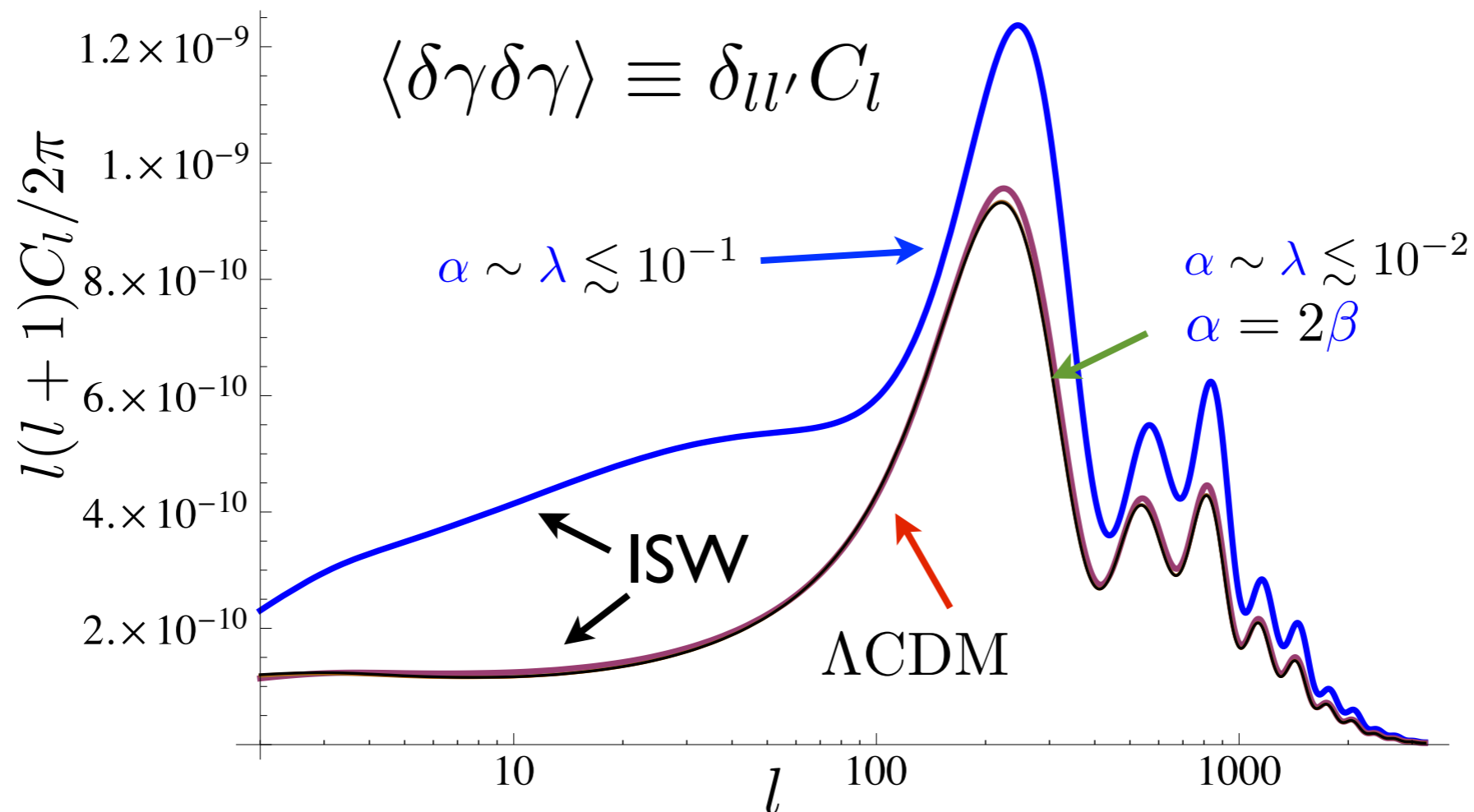
$$\phi - \psi = O(\beta)$$

# Cosmic Microwave Background

Audren, Blas, Lesgourgues, Sibiryakov 13

$$\delta''_{\gamma} + k^2 c_s^2 \delta_{\gamma} = -\frac{4k^2}{3} \psi + \dots \quad k^2 \psi \sim \frac{G_N}{G_c} \delta_{\gamma} \quad \rightarrow \quad c_s^{eff}$$

Shift of the peaks, change of zero point of oscillation and amplitude



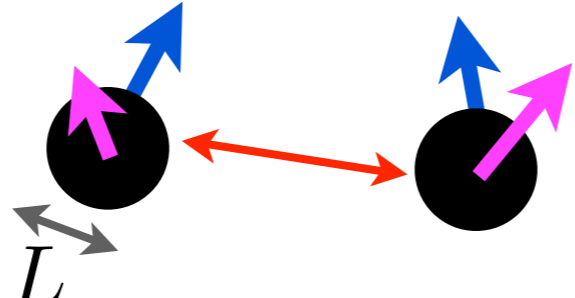
# Dark matter: 5th force

$$S_{pp} = -\tilde{m} \int ds f(u_\mu v^\mu)$$

$$S = M_P^2 \int d^4x \left[ \phi \Delta \phi + \frac{\alpha}{2} \delta u^i \Delta \delta u^i \right] + \int d^4x \rho \left[ \frac{(v^i)^2}{2} - \phi - Y (\delta u^i - v^i)^2 \right]$$

Potential for DM and aether:  $\rho Y$

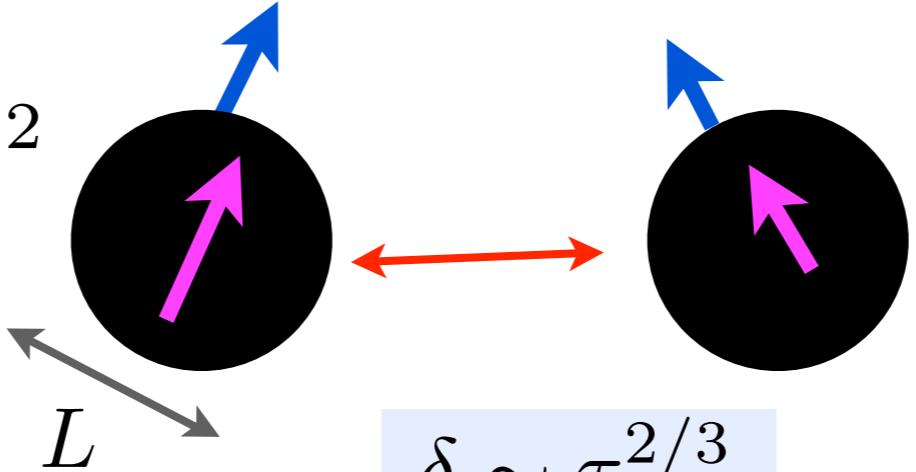
(i)  $L \ll \left( \frac{\alpha M_P^2}{\rho Y} \right)^{1/2}$



$$F = \frac{F_N}{1 - Y} \quad Y > 0$$

Faster Jeans instability:  $\delta \sim \tau^\gamma, \quad \gamma = \frac{1}{6} \left[ -1 + \sqrt{\frac{25 - Y}{1 - Y}} \right]$

(ii)  $L \gg \left( \frac{\alpha M_P^2}{\rho Y} \right)^{1/2}$



$$F = F_N$$

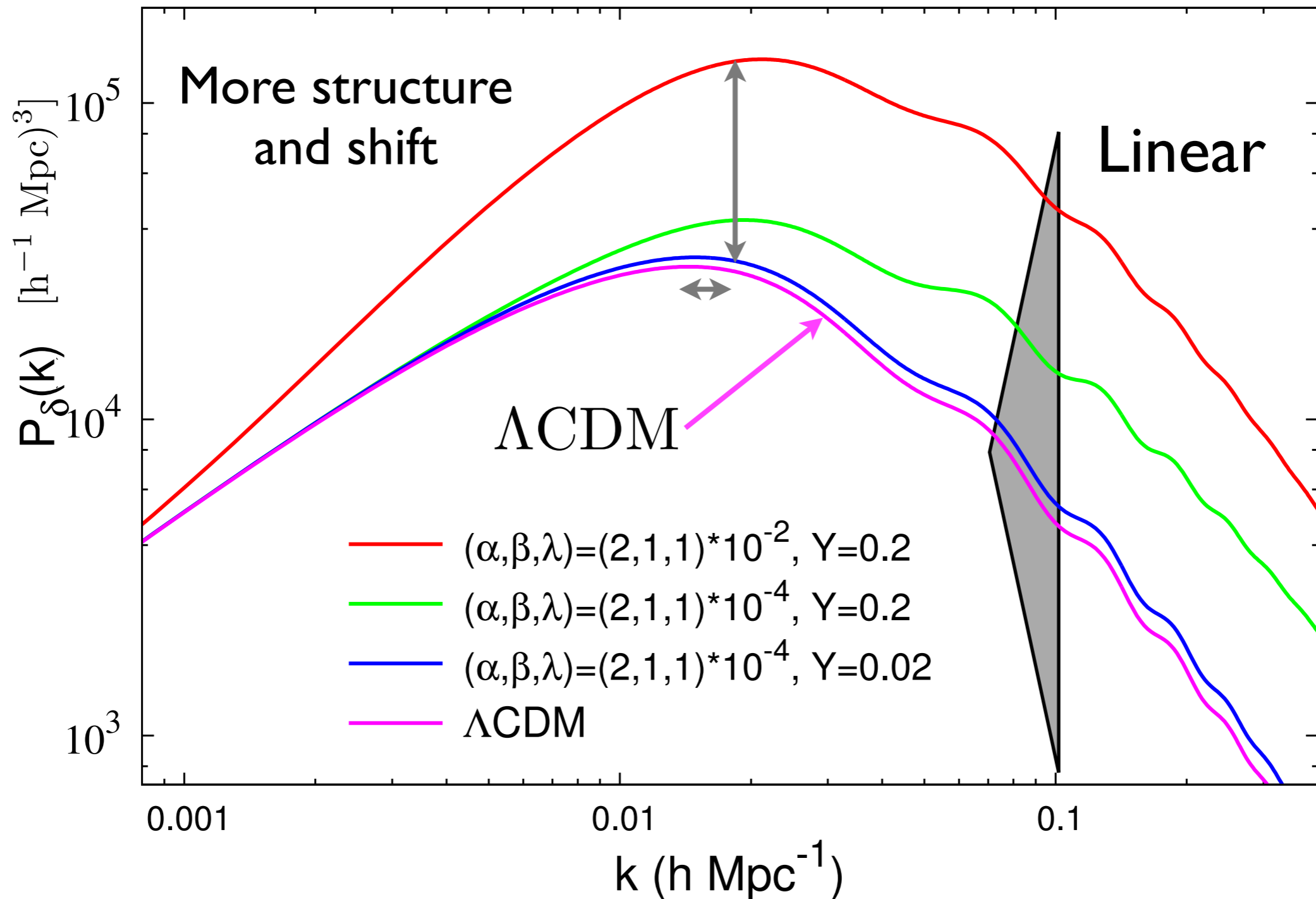
**Screening by alignment**

$$\delta \sim \tau^{2/3}$$

# Matter Power Spectrum

Blas, Ivanov, Sibiryakov 12

$$\langle \delta(k) \delta(k') \rangle \equiv \delta^{(3)}(k + k') P(k) k^3$$

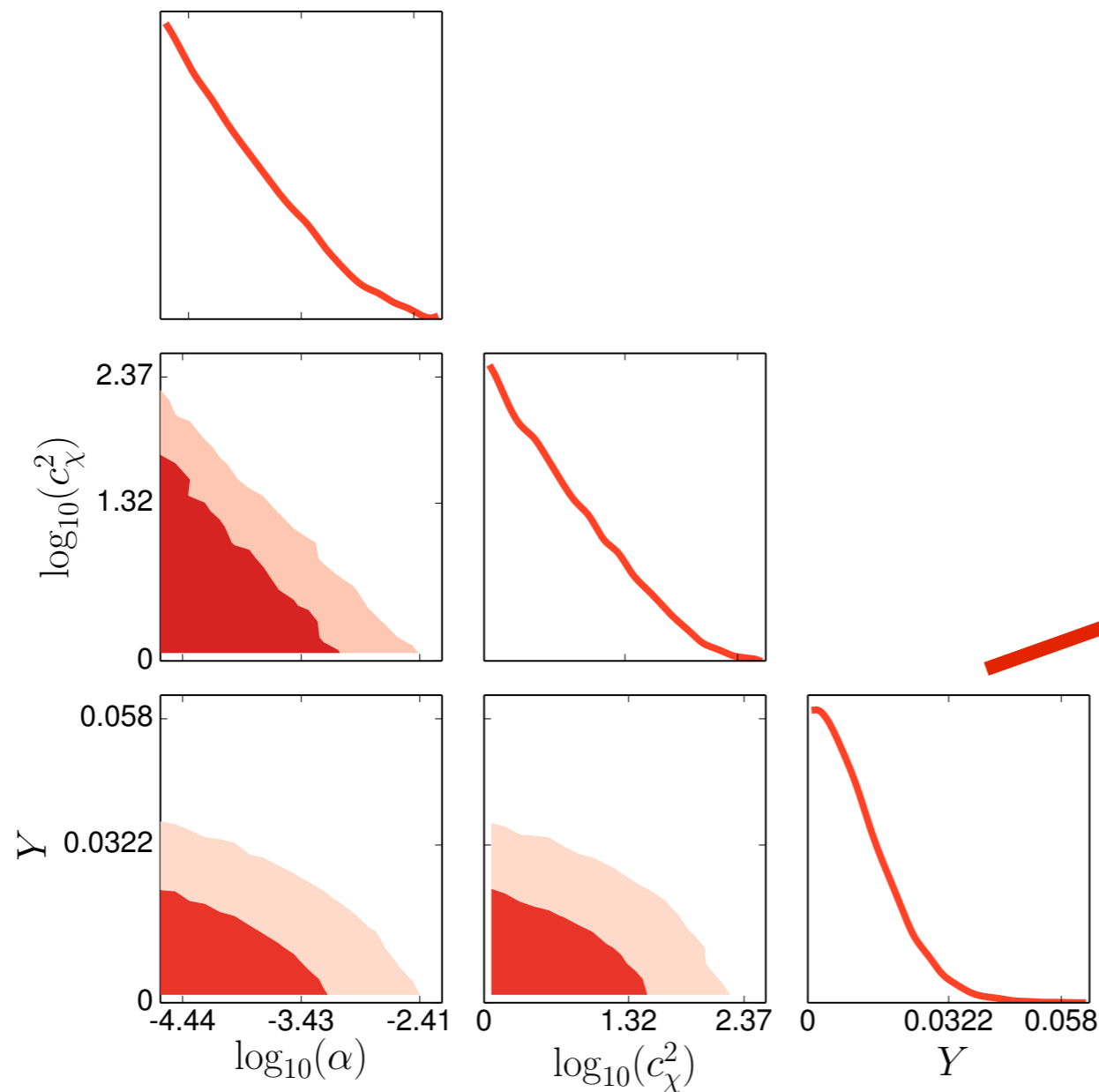


# Cosmological Constraints (Kh)

Audren, Blas, Ivanov, Lesgourgues, Sibiryakov *to appear*

Planck, SPT, WiggleZ

$$\alpha = 2\beta$$
$$c_\chi^2 = \frac{\beta + \lambda}{\alpha}$$
$$Y \equiv \kappa_{CDM}$$



First bound on  
LI of DM

<http://montepython.net/>

# Conclusions

- Exploring Lorentz violation yields a rich phenomenology with strong theoretical motivations (effective or fundamental)

- Lorentz violation modifies gravity at every scale (extra massless d.o.f.  $\varphi = t + \chi$ )

- Tests in the gravitational sector

Short distance modifications:  $M_\star > 0.1 \text{ eV}$

Solar system tests:  $\alpha_1^{PPN} \lesssim 10^{-4}$   $\alpha_2^{PPN} \lesssim 10^{-7}$   $\rightarrow \alpha = 2\beta$

GW (strong fields):  $\beta, \lambda \lesssim O(.01)$

- Cosmological constraints (background and perturbations):  
growth rate + anisotropic stress + screening

- Effects on the CMB and matter power spectrum

$\beta, \lambda \lesssim O(.01)$   $\kappa_{DM} \lesssim O(.01)$

# Next Challenges

## ● **Non-linear cosmology**

● Better UV properties than GR (e.g. Hořava gravity)

Performing a 1-loop calculation  
(so far only scaling arguments)

Black hole (singularities & thermod)

Early universe (inflation?)

● Making Lorentz Invariance emergent in the IR

RG flow

Nielsen, Picek 83

Bednik, Pujolàs, Sibiryakov 13

SUSY

Groot Nibbelink, Pospelov, 04

$M_P$  suppression

Pospelov, Shang 10