

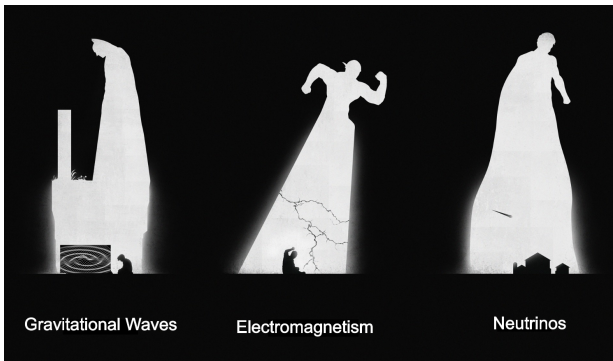
Neutrino Oscillation: an Avenue to Probe the Universe

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In collaboration with Raul Jimenez at ICCUB
Refs: [2010.08181](#), [2105.07973](#) and [2111.15249](#)

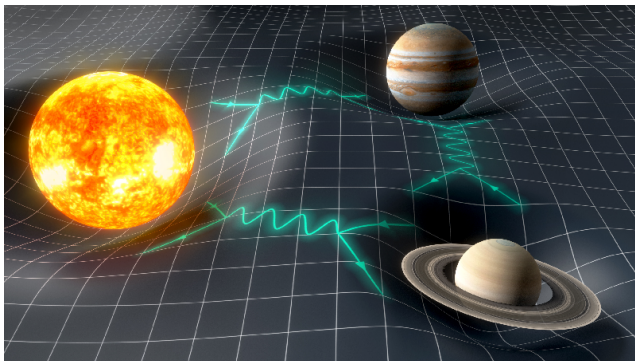


Multimessenger Alliance

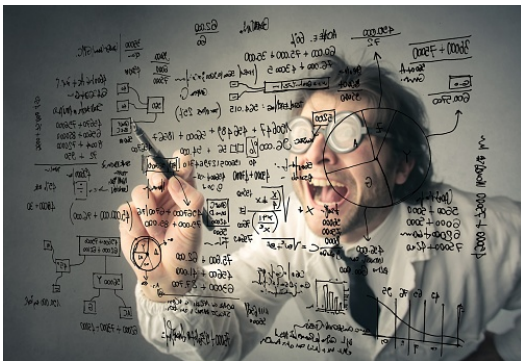


Source: downloadwallpapers.net

Gravity at the Quantum Realm



Source: [APS](#)



A bit of History

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Mel Schwartz, Jack Steinberger and Léon Lederman

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- More details: “*Fundamentals of Neutrino Physics and Astrophysics*”; Giunti, Carlo; Kim, Chung W.

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- Time evolution:

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The most impactful interaction is the one with e , having an interaction potential $V_I \sim G_F N_e$.

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$$\mathcal{H} = \mathcal{H}_V + \mathcal{H}_I; \quad V_I \sim G_F E N_e$$
$$\mathcal{H}_V |\nu_j\rangle = E_j |\nu_j\rangle \text{ and } \mathcal{H}_I |\nu_\alpha\rangle = V_I \delta_{\alpha e} |\nu_\alpha\rangle$$

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 \langle \nu_e | \times i \frac{d}{dt} \begin{pmatrix} |\nu_e(t)\rangle \\ |\nu_\mu(t)\rangle \end{pmatrix} &= \langle \nu_e | \times (\mathcal{H}_V + \mathcal{H}_I) \begin{pmatrix} |\nu_e(t)\rangle \\ |\nu_\mu(t)\rangle \end{pmatrix} \\
 \dots \Rightarrow i \frac{d}{dt} \begin{pmatrix} \psi_{ee} \\ \psi_{e\mu} \end{pmatrix} &= \left(U \mathcal{M}^2 U^\dagger + V_I \right) \begin{pmatrix} \psi_{ee} \\ \psi_{e\mu} \end{pmatrix} \equiv \mathcal{H}_F \begin{pmatrix} \psi_{ee} \\ \psi_{e\mu} \end{pmatrix} \\
 \psi_{\alpha\beta} &= \langle \nu_\beta | \nu_\alpha(t) \rangle; \quad \mathcal{M}^2 = \begin{pmatrix} m_1^2 & 0 \\ 0 & m_2^2 \end{pmatrix}; \quad V_I = \begin{pmatrix} 2\sqrt{2}EG_F N_e & 0 \\ 0 & 0 \end{pmatrix}
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Diagonalizing \mathcal{H}_F gives $\tilde{\theta}(\theta, \Delta m^2, V_I)$ and $\Delta \tilde{m}^2(\theta, \Delta m^2, V_I)$

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If $\tilde{\theta}$ is time-independent (*adiabatic regime*):

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This is known as the *Mikheev-Smirnov-Wolfenstein*(MSW) effect



Stanislav Mikheev, Alexei Smirnov and Lincoln Wolfenstein

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References: chapter 3.8 of [Quantum Fields in Curved Space](#) by N.D.Birell and P.C.W.Davies, or chapter 5 of [Quantum Information in Gravitational Fields](#) by M.Lanzagorta.

Part I: General Formalism

- $S = S_{\text{gravity}} + S_{\text{scalar}} + S_{\text{spinor}} + S_{\text{interaction}}$

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Oscillation probability as a
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$$+ i\hbar \left[(\gamma^\mu\partial_\mu S + m - \frac{\lambda}{2}\gamma^\mu\partial_\mu\varphi) \psi_1 + \gamma^\mu\mathcal{D}_\mu\psi_0 \right] + \mathcal{O}(\hbar^2) = 0.$$

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- At order \hbar^0 : $\left[-(\gamma^\mu\partial_\mu S + m) + \frac{\lambda}{2}\gamma^\mu\partial_\mu\varphi \right] \psi_0 = 0$
- Non-trivial solution $\Leftrightarrow \det \left[\gamma^\mu\partial_\mu \left(S - \frac{\lambda}{2}\varphi \right) + m \right] = 0$

$$\Rightarrow \partial_\mu \left(S - \frac{\lambda}{2}\varphi \right) \partial^\mu \left(S - \frac{\lambda}{2}\varphi \right) = -m^2$$

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 $\Rightarrow \partial_\mu \left(S - \frac{\lambda}{2} \varphi \right) \partial^\mu \left(S - \frac{\lambda}{2} \varphi \right) = -m^2$
- Canonical 4-momentum satisfies usual geodesic equation:
 $\frac{dp^\alpha}{d\tau} + \frac{1}{m} \Gamma^\alpha_{\beta\gamma} p^\beta p^\gamma = 0$

Part I: WKB approximation- Linear Derivative Coupling

- At order \hbar^0 : $\left[-(\gamma^\mu \partial_\mu S + m) + \frac{\lambda}{2} \gamma^\mu \partial_\mu \varphi \right] \psi_0 = 0$
- Non-trivial solution $\Leftrightarrow \det \left[\gamma^\mu \partial_\mu \left(S - \frac{\lambda}{2} \varphi \right) + m \right] = 0$
 $\Rightarrow \partial_\mu \left(S - \frac{\lambda}{2} \varphi \right) \partial^\mu \left(S - \frac{\lambda}{2} \varphi \right) = -m^2$
- Canonical 4-momentum satisfies usual geodesic equation:
 $\frac{dp^\alpha}{d\tau} + \frac{1}{m} \Gamma^\alpha_{\beta\gamma} p^\beta p^\gamma = 0$
- At order \hbar : $\frac{dp^\alpha}{d\tau} + \frac{1}{m} \Gamma^\alpha_{\beta\gamma} p^\beta p^\gamma = mf^\alpha \propto \hbar p^\alpha R_{\mu\beta\gamma\delta}$

Part I: WKB approximation- Kinetic-Potential Coupling

- $\Theta = i\hbar\bar{\psi}\gamma^\mu\mathcal{D}_\mu\psi\varphi^2 \Rightarrow (i\hbar\mathcal{D} - m)\psi = \frac{i\hbar\lambda}{2}\mathcal{D}\psi\varphi^2; \mathcal{D} = \gamma^\mu\mathcal{D}_\mu$

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- Change in matter-radiation equality:

$$1 + z_{eq} = \frac{\Omega_{m0}}{\Omega_{\gamma 0}} \left[1 + N_\nu \left(\frac{8}{11}\right)^{1/3} \left(1 - \frac{\lambda\varphi^2}{2}\right)^{-1} \right]^{-1}.$$

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Part I: General Formalism

- $S = \int d^4x \sqrt{-g} \left[\frac{1}{2} R - \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi - V(\varphi) + i\hbar (\bar{\psi} \gamma^\mu \mathcal{D}_\mu \psi - \mathcal{D}_\mu \bar{\psi} \gamma^\mu \psi) - 2m\bar{\psi}\psi + \lambda\Theta \right]$

$$\frac{1}{\sqrt{-g}} \frac{\delta S}{\delta \psi} \Downarrow$$

- $(i\hbar \gamma^\mu (\partial_\mu - \Gamma_\mu) - mc)\psi = -\frac{\lambda}{2} \left(\frac{\partial \Theta}{\partial \psi} - (\partial^\mu - \Gamma^\mu) \frac{\partial \Theta}{\partial X^\mu_{\bar{\psi}}} \right) \equiv -\frac{\lambda}{2} \frac{\delta \Theta}{\delta \psi}$

- Current-Velocity coupling: $\Theta = \bar{\psi} \gamma^\mu \psi \partial_\mu \varphi;$

Kinetic-Potential coupling: $\Theta = i\hbar \bar{\psi} \gamma^\mu \mathcal{D}_\mu \psi \varphi^2;$

Kinetic-Kinetic coupling: $\Theta = i\hbar \bar{\psi} \gamma^\nu \mathcal{D}_\nu \psi \partial_\mu \varphi \partial^\mu \varphi.$



Solve Dirac equation using
 WKB approximation at 0th
 and 1st order in \hbar .

Oscillation probability as a
 function of redshift. Gravity
 and φ alter neutrino
 oscillations(NO).

Part II: NO & DE-Interaction term

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Flavor-invariant coupling

Flavor-dependent Coupling

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Flavor-invariant coupling

Flavor-dependent Coupling

Focus later on Λ and Scalar field DE, with Current-Velocity coupling $(\bar{\psi} \gamma^\mu \psi \partial_\mu \varphi)$

Part II: NO & DE-Dirac Equation

For 2-flavor system (ν_e, ν_μ) , define $\psi = \begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix}$

$$\left(i\gamma^\mu \mathcal{D}_\mu - \mathcal{M}_f \right) \psi = \left(\xi F(\varphi, X_\varphi^\mu) + \xi_f \gamma^\mu G_\mu(\varphi, X_\varphi^\mu) \right) \psi$$

where $\mathcal{M}_f \equiv$ vacuum mass matrix in flavor space;

$$\mathcal{M}_f^2 = U \begin{pmatrix} m_1^2 & 0 \\ 0 & m_2^2 \end{pmatrix} U^\dagger$$

and $U \equiv$ mixing matrix = $\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$; $\theta \equiv$ mixing angle

Part II: NO & DE-Flavor state

Recall: in flat S.T, $|\nu_\alpha\rangle = \sum_{j=1,2} U_{\alpha j} e^{-i\frac{m_j^2}{2E}L} |\nu_j\rangle$.

Part II: NO & DE-Flavor state

Recall: in flat S.T, $|\nu_\alpha\rangle = \sum_{j=1,2} U_{\alpha j} e^{-i\frac{m_j^2}{2E}L} |\nu_j\rangle$.

In curved S.T, $|\nu_\alpha\rangle = \sum_{j=1,2} U_{\alpha j} e^{i\Phi(\lambda)} |\nu_j\rangle$

where $\Phi(\lambda) = \int_{\lambda_0}^{\lambda} \mathbf{P} \cdot \mathbf{p}_{\text{null}} d\lambda'$; $\mathbf{P} \equiv$ 4-momentum operator;
 $\mathbf{p}_{\text{null}} \equiv$ null vector tangent to worldline.

Part II: NO & DE-Flavor state

$$|\nu_\alpha\rangle = \sum_{j=1,2} U_{\alpha j} e^{i\Phi(\lambda)} |\nu_j\rangle \Leftrightarrow i \frac{d}{d\lambda} |\nu_\alpha(\lambda)\rangle = \Phi(\lambda) |\nu_\alpha(\lambda)\rangle$$

Part II: NO & DE-Transition Amplitude

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$$\Psi_{\alpha\beta} \equiv \langle \nu_\beta | \nu_\alpha(\lambda) \rangle \xrightarrow{\hspace{10em}} i \frac{d}{d\lambda} \Psi_{\alpha\beta} = \left[\frac{1}{2} \tilde{\mathcal{M}}_f^2 + V_I \right] \Psi_{\alpha\beta}$$

$$\tilde{\mathcal{M}}_f^2 = U \begin{pmatrix} (m_1 - \xi F)^2 & 0 \\ 0 & (m_2 - \xi F)^2 \end{pmatrix} U^\dagger; V_I \propto \xi_f G_\mu p_{\text{null}}^\mu$$

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Gravitational MSW effect

Part II: NO & DE-Transition Amplitude

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Diagonalize $\left[\frac{1}{2} \tilde{\mathcal{M}}_f^2 + V_I \right]$ by $\tilde{U} = \begin{pmatrix} \cos \tilde{\theta} & \sin \tilde{\theta} \\ -\sin \tilde{\theta} & \cos \tilde{\theta} \end{pmatrix}$ with eigenvalues v_\pm

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$$\text{Define } \phi_{\alpha j} = \tilde{U}_{j\beta} \Psi_{\alpha\beta} \Rightarrow i \frac{d}{d\lambda} \begin{pmatrix} \phi_{e-} \\ \phi_{e+} \end{pmatrix} = \begin{pmatrix} v_- & -i \frac{d\tilde{\theta}}{d\lambda} \\ i \frac{d\tilde{\theta}}{d\lambda} & v_+ \end{pmatrix} \begin{pmatrix} \phi_{e-} \\ \phi_{e+} \end{pmatrix}.$$

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For Λ and scalar DE with linear derivative coupling, we have adiabatic regime, i.e. $\frac{d\tilde{\theta}}{d\lambda} = 0$.

Part II: NO & DE-Probability

Solving for $\phi_{e+,-} \Rightarrow \Psi_{e\mu}$ by inverse transformation.

Final Result

$$P_{\nu_e \rightarrow \nu_\mu} \equiv |\Psi_{e\mu}|^2 = \mathcal{F}(\xi F, \xi_f G) \sin^2 2\theta \sin^2 \left(\frac{\omega_- - \omega_+}{2} \right),$$

$$\omega_- - \omega_+ \approx$$

$$\frac{m_2^2 - m_1^2}{2} (\lambda_0 - \lambda) + V_I \cos 2\theta (\xi_e - \xi_\mu) (\lambda - \lambda_0) + \xi \Delta m \int_{\lambda_0}^{\lambda} F d\lambda'.$$

$$\text{Compare to flat S.T: } P_{\nu_e \rightarrow \nu_\mu}^{\text{std}} = \sin^2 2\theta \sin^2 \left(\frac{(m_2^2 - m_1^2)L}{4E} \right)$$

Part II: NO & Λ CDM

In Particle Physics (Minkowski spacetime):

$$P_{\nu_e \rightarrow \nu_\mu} = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

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$$\text{FRW} \begin{array}{c} \Downarrow \\ \Downarrow \\ \Downarrow \end{array} L \rightarrow d_L; E \rightarrow E_0/a$$

$$P_{\nu_e \rightarrow \nu_\mu} = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 d_L a}{4E_0} \right)$$

$$d_L = (1 + z_e) H_0^{-1} \int_0^{z_e} \left(\Omega_{m0}(1+z)^3 + \Omega_{\Lambda 0} \right)^{-1/2}$$

Part II: NO & Λ CDM

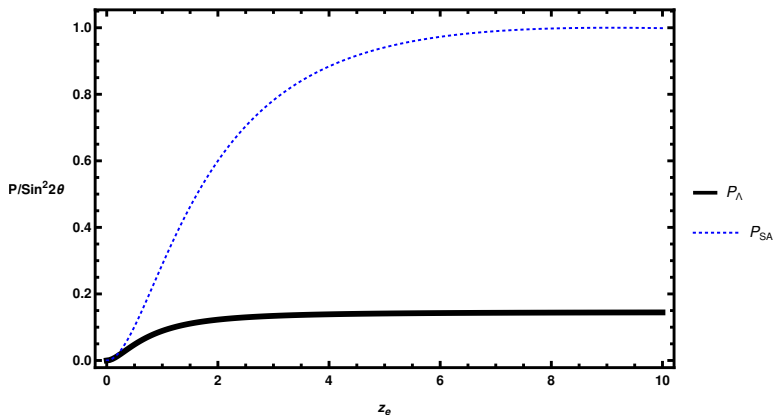
Quantum spinors in flat FLRW universe with cosmological constant
DE:

$$P_{\Lambda} = \sin^2 2\theta \sin^2 \omega_{\Lambda};$$

$$\omega_{\Lambda} = \frac{\Delta m^2}{2} \int \frac{d\lambda}{E} = \frac{\Delta m^2}{2H_0 E_0} \int_0^{z_e} \left(\Omega_{m_0} (1+z)^7 + \Omega_{\Lambda_0} (1+z)^4 \right)^{-1/2} dz$$

- $H_0 \equiv$ Hubble constant today;
- $z_e \equiv$ emission redshift;
- $\Omega_{m_0} (\Omega_{\Lambda_0}) \equiv$ matter (DE) density parameter.

Part II: NO & Λ CDM



Part II: NO & Quintessence

- In flat FLRW with scalar field DE (e.g. quintessence, modified gravity) coupled to neutrinos via $\mathcal{L}_{\text{int}} = \xi \bar{\psi} \gamma^\mu \psi \partial_\mu \varphi$

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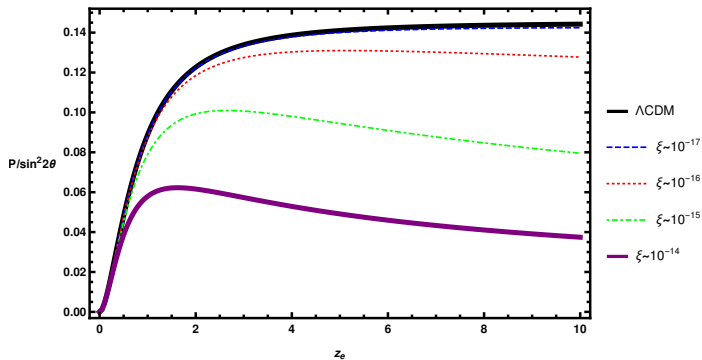
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$$\bullet P_Q = \frac{\sin^2 2\theta}{D_Q} \sin^2(\omega_Q/2)$$

$$D_Q = 1 + 4E_0 \sqrt{\epsilon(1+z_e)} \cos 2\theta (\xi_e - \xi_\mu) (\Delta m^2)^{-1}$$

$$\omega_Q \approx \frac{\Delta m^2}{2E_0 H_0} \int_0^{z_e} D_Q^{-1/2} \left(\Omega_{m_0} (1+z)^7 + \Omega_{\varphi_0} (1+z)^4 \right)^{-1/2} dz$$

Part II: NO & Quintessence



Part III: NO & the Hubble Tension(HT)-Plan

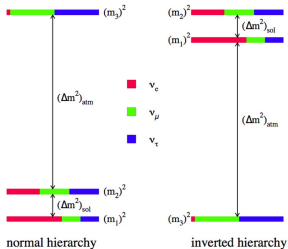
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Part III: NO & HT-Plan

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Part III: NO & HT-Plan

- Generalize to three neutrino flavors in Λ CDM
- Effect of different H_0 values on the oscillation probability.
- Distinguish between Normal Hierarchy(NH) and Inverted Hierarchy(IH)



Schematic difference between the two neutrino hierarchies. $m_{1,2,3}$ are eigenvalues for neutrino mass states, and $\Delta m_{ij}^2 = m_i^2 - m_j^2$

Part III: NO & HT-Plan

- Generalize to three neutrino flavors in Λ CDM
- Effect of different H_0 values on the oscillation probability.
- Distinguish between Normal Hierarchy(NH) and Inverted Hierarchy(IH)
- Show results in terms of Ternary diagrams and neutrino flux vs. redshift plots.

Part III: NO & HT-Equations Needed

- Λ CDM:

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = -dt^2 + a^2(t)(dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2),$$

$$H^2(z) = \frac{8\pi G}{3} (\rho_m + \rho_\Lambda) = H_0^2 \left(\Omega_m (1+z)^3 + \Omega_\Lambda \right)$$

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- Transition amplitudes' evolution:

$$i \frac{d}{d\lambda} \begin{pmatrix} \Psi_{\alpha e} \\ \Psi_{\alpha \mu} \\ \Psi_{\alpha \tau} \end{pmatrix} = \frac{1}{2} U \begin{pmatrix} m_1^2 & 0 & 0 \\ 0 & m_2^2 & 0 \\ 0 & 0 & m_3^2 \end{pmatrix} U^\dagger \begin{pmatrix} \Psi_{\alpha e} \\ \Psi_{\alpha \mu} \\ \Psi_{\alpha \tau} \end{pmatrix}$$

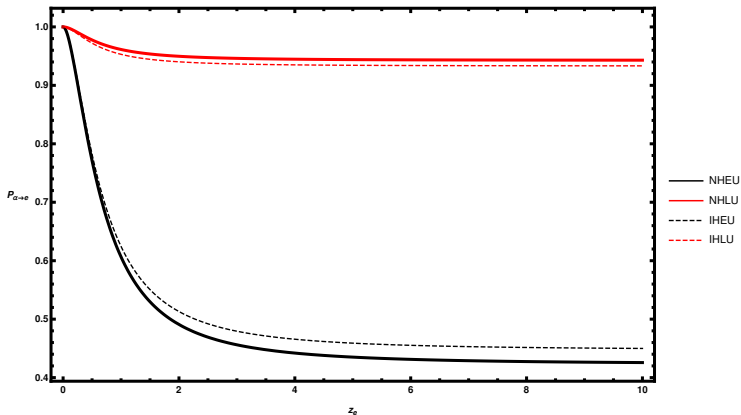
Part III: NO & HT-Probability

- Transition Probability:

$$P_{\alpha\beta} = \delta_{\alpha\beta} + \sum_{i < j} \left[a_{\alpha\beta;ij} \sin^2 \left(\frac{\Delta m_{ij}^2 \Delta \lambda}{4} \right) + b_{\alpha\beta;ij} \sin \left(\frac{\Delta m_{ij}^2 \Delta \lambda}{2} \right) \right]$$

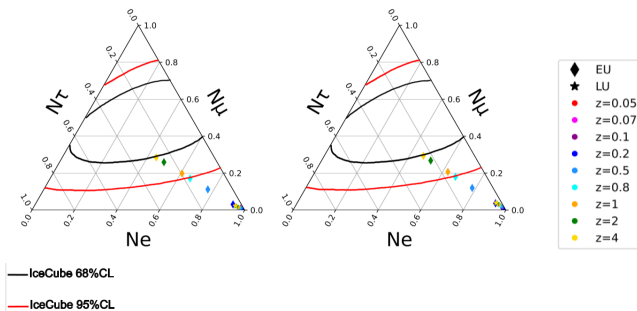
$$\Delta \lambda \equiv \frac{1}{E_0} \int_0^{z_e} \frac{dz}{H(z)(1+z)^2},$$

Part III: NO & HT-Probability



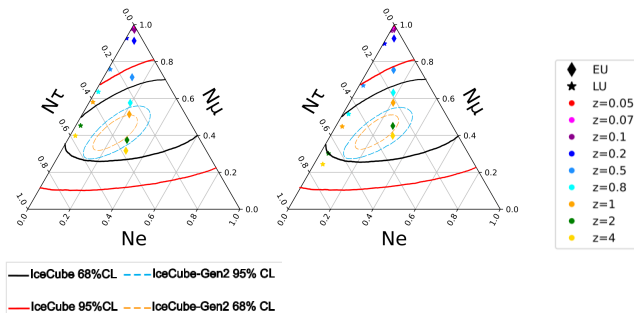
Part III: NO & HT-Pure Electron Neutrino I.C.

$$(\nu_e, \nu_\mu, \nu_\tau) = (1, 0, 0)$$



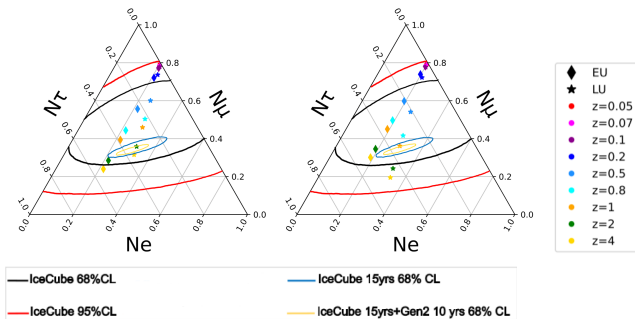
Part III: NO & HT-Pure Muon Neutrino I.C.

$$(\nu_e, \nu_\mu, \nu_\tau) = (0, 1, 0)$$

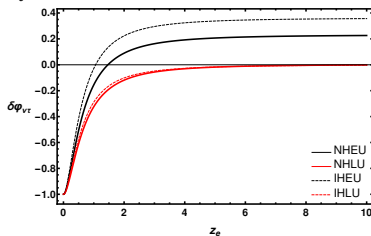
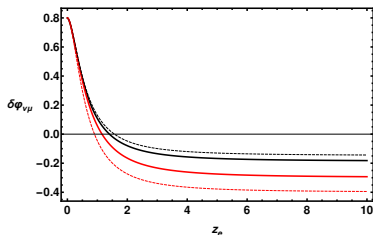
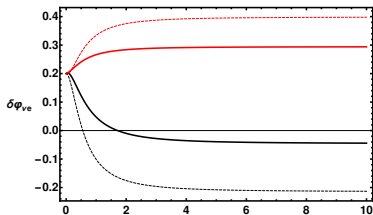


Part III: NO & HT-Pion Decay I.C.

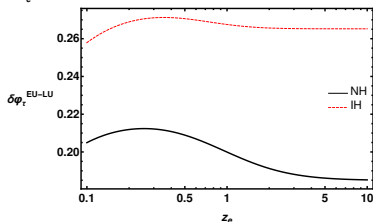
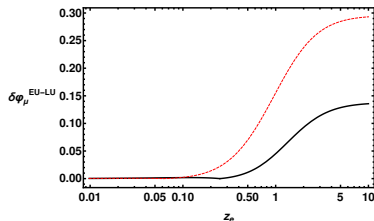
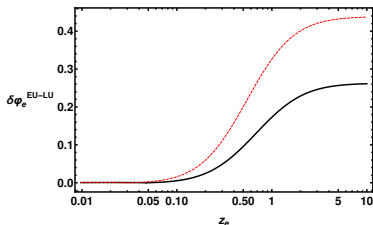
$$(\nu_e, \nu_\mu, \nu_\tau) = (1/3, 2/3, 0)$$



Part III: NO & HT-Flux emitted vs. observed



Part III: NO & HT-Flux EU vs. LU



Part III: NO & HT-Observational Prospects

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- Analysis is made assuming flat spacetime. But gravity now must be included.

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- Depending on what drives the Universe's expansion, ν transition probability changes compared to flat spacetime.
- One must not make a simple substitution of cosmological quantities into transition probability formula.
- Different H_0 values can make a few % difference in transition probability.

What's Next?

- More work on the observational front: MCMCs.
- Look at wave-packets of neutrinos.
- Look at 1st order perturbations and effect of power spectra.
- Neutrinos traveling near Dark Matter halos.
- Apply to other fermionic entities: electrons or DM(?).
- ...
- Quantum field theory in curved spacetime has many applications still to be explored.
- It is a further step in generalizing our analysis of the Universe.

The End!

Questions or comments?

Refs: [2010.08181](#), [2105.07973](#) and [2111.15249](#)

Spinors in Curved Spacetime

- The solution is to introduce tetrad fields, $e_a^\mu(x)$, that covers the entire spacetime. These fields link local flat coordinates to the global curved ones. Latin indices \Leftrightarrow local coordinates; Greek indices \Leftrightarrow global coordinates.
- $\{\gamma^a, \gamma^b\} = -2\eta^{ab} \Leftrightarrow \{\gamma^\mu, \gamma^\nu\} = -2g^{\mu\nu}; \gamma^\mu(x) = e_a^\mu(x)\gamma^a$
- $\mathcal{D}_\mu \psi \equiv (\partial_\mu - \Gamma_\mu)\psi; \Gamma_\mu = -\frac{1}{4}\gamma_a\gamma_b e^{a\alpha}(x)\nabla_\mu e^b_\alpha(x)$
- $\gamma^a e_a^\mu \Gamma_\mu = \frac{i}{\hbar}\gamma^a e_a^\mu A_\mu; A^\mu = \frac{1}{4}\sqrt{-g}e_a^\mu \epsilon^{abcd}(\partial_\sigma e_{b\nu} - \partial_\nu e_{b\sigma})e_c^\nu e_d^\sigma$
- $(i\hbar\gamma^\mu \mathcal{D}_\mu - mc)\psi = 0 \Leftrightarrow \left[i\hbar\gamma^\mu \left(\partial_\mu - \frac{i}{\hbar}A_\mu \right) - m \right] \psi = 0$