# Non-linear treatment of cosmological perturbations

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Credit: Y. Kusama



$$a(t) \coloneqq \exp(|\alpha|t)$$
  $H(t) \coloneqq \frac{\dot{a}(t)}{a(t)} = |\alpha|$   $R_H(t) \approx 1/H(t)$   
Number of *e*-folds



• Scalar field  $\phi$  obeying to the Klein-Gordon equation:

$$\ddot{\phi} + 3H\dot{\phi} + \frac{\partial V(\phi)}{\partial \phi} = 0$$



As the universe inflates, it becomes flat.



$a(t) \coloneqq \exp( \alpha t)$	$H(t) \coloneqq \frac{\dot{a}(t)}{a(t)} =  \alpha $	$R_H(t) \approx 1/H(t)$
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As the universe inflates, it becomes homogeneous.



$$a(t) \coloneqq \exp(|\alpha|t)$$
  $H(t) \coloneqq \frac{\dot{a}(t)}{a(t)} = |\alpha|$   $R_H(t) \approx 1/H(t)$ 

#### $\epsilon_1(t) \coloneqq -\dot{H}(t)/H^2(t)$

# Inflation

• The curvature perturbation is  $\zeta_k = v_k/z$ where  $z = \sqrt{2\epsilon_1(t)} a(t)$  and  $v''_k + \left(k^2 - \frac{z''}{z}\right)v_k = 0$ 

High-frequency modes

Low-frequency modes

$$\zeta_{k} = \frac{B_{1}(k)}{a(t)}e^{-ikt} + \frac{B_{2}(k)}{a(t)}e^{ikt}$$

$$\zeta_k \approx C_1(k)$$



Linear perturbations



- For Bunch-Davies vacuum the power spectrum is scale invariant:  $P_{\zeta}(k) \approx 10^{-9}$
- The PDF is Gaussian



The longest wavelengths correspond to the earliest modes to exit the horizon



[Planck collaboration (2019)]

• For Bunch-Davies vacuum the power spectrum is scale invariant:  $P_{\zeta}(k) \approx 10^{-9}$ 







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## Curvature perturbation

The curvature perturbation obeys

$$\zeta_k'' + \frac{2 z'}{z} \zeta_k' + k^2 \zeta_k = 0$$

Decompose the solution into adiabatic and non-adiabatic modes

$$\zeta(\eta) = \zeta_* \, u_{ad}(\eta) + \zeta'_* \, u_{nad}(\eta)$$

$$\begin{aligned} u_{ad}(\eta) &= 1 - k^2 \int_{\eta_*}^{\eta} \frac{d\tilde{\eta}}{z^2(\tilde{\eta})} \int_{\eta_*}^{\tilde{\eta}} d\tilde{\tilde{\eta}} z^2(\tilde{\tilde{\eta}}) \\ u_{nad}(\eta) &= z^2(\eta_*) \left[ \int_{\eta_*}^{\eta} \frac{d\tilde{\eta}}{z^2(\tilde{\eta})} - \mathcal{O}(k^2) \right] \end{aligned}$$



## Gradient expansion

## Separate universe

Perform a 1+3 splitting of the metric

$$g_{00} = -N^2 + N^i N_i$$
,  $g_{0i} = N_i$ ,  $g_{ij} = \gamma_{ij}$   
= 0 = 0

Define the integrated expansion rate

$$\mathcal{N} = \frac{1}{6} \int \gamma^{ij} \dot{\gamma}_{ij} \, d\tau$$

• At large scales  $k \rightarrow 0$ , the anisotropic part of the extrinsic curvature decays with the expansion

$$\dot{A}_{j}^{i} = -\frac{1}{2} (\gamma^{mn} \dot{\gamma}_{mn}) A_{j}^{i} \longrightarrow A_{j}^{i} \propto \gamma^{-1/2}$$

The most general metric with vanishing anisotropy and  $N^i$  is  $\gamma_{ij}(\tau, \vec{x}) = a^2(\tau) \exp[-2\psi(\tau, \vec{x})] h_{ij}(\vec{x})$ 

 $= \delta_{ii}$  locally

## Gradient expansion

### Separate universe



<u>[Salopek, Bond (1990)]</u> [Sasaki, Stewart (1996)] [Sasaki, Tanaka (1998)] [Wands, Malik, Lyth, Liddle (2000)]</u>

# Gradient expansion

## Separate universe

Nonlinearly:

$$\mathcal{N}(\tau_{in},\tau_f,\vec{x}) = \ln\left(\frac{a_f \ e^{-\psi_f}}{a_{in} \ e^{-\psi_{in}}}\right) = \overline{\mathcal{N}}(\tau_{in},\tau_f) + \ln\left(\frac{e^{-\psi_f}}{e^{-\psi_{in}}}\right)$$

$$-\psi_{in} = 0$$

$$\rightarrow \delta\mathcal{N}(\tau_{in},\tau_f,\vec{x}) = \zeta(\tau_f,\vec{x})$$

Take a set of FLRW universes 
$$\gamma_{ij}(\tau, \vec{x}) = a^2(\tau) \, \delta_{ij}$$

- Perturb the FLRW equations
- $\begin{array}{ll} a+\delta a \ , & H+\delta H \ , \\ \phi+\delta \phi \ , & \pi_{\phi}+\delta \pi_{\phi} \end{array}$
- The perturbed integrated expansion rate is  $\delta \mathcal{N} = \delta a/a$



 $-\psi_f = \zeta_f$ 

## Non-adiabatic counterpart

The standard gradient expansion only captures the adiabatic mode.

$$\zeta(\eta) = \zeta_* u_{ad}(\eta) + \zeta'_* u_{nad}(\eta)$$
This mode evolves at large  
scales depending on  $u_{nad}$ 

$$u_{ad}(\eta) = 1$$

$$u_{nad}(\eta) = z^2(\eta_*) \int_{\eta_*}^{\eta} \frac{d\tilde{\eta}}{z^2(\tilde{\eta})}$$

[Gordon, Wands, Bassett, Maartens (2000)]

 Gauges used in the gradient expansion (spatially flat) are inconsistent with non-slow roll phases.

[**DA**, Grain, Vennin (2022)] [**DA**, Grain, Vennin (2023)] [**DA**, Frion, Miranda, Vennin, Wands (in prep.)]

But this term is relevant in non-slow-roll inflation (e.g. ultra-slow roll).

 $\zeta(\eta) = \zeta_* \, u_{ad}(\eta) + \zeta'_* \, u_{nad}(\eta)$ 

$$u_{ad}(\eta) = 1 - k^2 \int_{\eta_*}^{\eta} \frac{d\tilde{\eta}}{z^2(\tilde{\eta})} \int_{\eta_*}^{\tilde{\eta}} d\tilde{\tilde{\eta}} z^2(\tilde{\tilde{\eta}})$$
$$u_{nad}(\eta) = z^2(\eta_*) \int_{\eta_*}^{\eta} \frac{d\tilde{\eta}}{z^2(\tilde{\eta})}$$

- The leading order of the non-adiabatic mode can be described as a k<sup>2</sup> correction to the adiabatic mode.
- Allow the gradient expansion to describe the  $\mathcal{O}(k^2)$ .



[Leach, Sasaki, Wands, Liddle (2018)] [Jackson, Assadullahi, Gow, Koyama, Vennin, Wands (2023)]

Consider the Starobinsky model



• Consider curved FLRW patches  $H^{2} = H_{0}^{2} - \mathcal{K}e^{-2(N-N_{j})}$ 

and initially 
$$\mathcal{K}e^{2N_j} \equiv \frac{2k^2}{3}\zeta_j$$





- If  $N_{kj}$  is long, all trajectories align on the phase-space attractor:  $u_{nad}$  is negligible and the usual separate-universe approach matches perturbation theory.
- If not, the contribution from  $\phi_{\mathcal{N}}$  at the transition must be taken into account.

[Pi, J. Wang (2022)] [**DA**, Pi, Tanaka (2024)]

The scalar field obeys non-linearly to

$$\left[\partial_{\mathcal{N}}^{2} + \left(3 + \frac{\mathcal{K}}{H_{0}^{2}}e^{-2(\mathcal{N}-\mathcal{N}_{j})}\right)\partial_{\mathcal{N}}\right]\phi + \frac{V_{\phi}}{H_{0}^{2}}\left(1 + \frac{\mathcal{K}}{H_{0}^{2}}e^{-2(\mathcal{N}-\mathcal{N}_{j})}\right) = \mathcal{O}(\mathcal{K}^{2})$$

It is easy to find an analytical solution for \u03c6 which can then be inverted to find the e-folding number for each phase.

$$\mathcal{N}_{j1} = \underbrace{\mathcal{W}}_{\mathcal{O}(\mathcal{K})} e^{-2\mathcal{N}_{j1}} + \underbrace{\mathcal{X}}_{\delta\phi_{\mathcal{N}}(\mathcal{N}_{j})} e^{-3\mathcal{N}_{j1}} + \underbrace{\mathcal{Z}}_{\delta\phi_{\mathcal{N}}(\mathcal{N}_{j})} e^{-3\mathcal{N}_{j1}} + \underbrace{\mathcal{Z}}_{\delta\phi_{\mathcal{N}}(\mathcal{N}_{$$

Solutions: Lambert function.

[**DA**, Pi, Tanaka (2024)]



- The generalised gradient expansion is consistent with linear perturbation theory during slow roll.
- We can use it to track non-linearities (such as  $f_{NL}$ ) during the transition.



The  $f_{NL}$  can be obtained from  $\mathcal{N}$ . If  $\mathcal{N}$  doesn't depend on  $\phi_{\mathcal{N}}$ , then  $f_{NL} = \frac{5}{6} \frac{\mathcal{N}_{\phi\phi}}{\mathcal{N}_{\phi}^2}$  [Maldacena (2002)] [Bartolo et al. (2004)] [Yokoyama, Suyama, Tanaka (2007)]

• The  $f_{NL}$  transits continuously from  $0 \rightarrow 5/2 \rightarrow 0$  as expected.

[**DA**, Pi, Tanaka (2024)]



The different patches emerge when amplifying quantum fluctuations
 ⇒ they should be correlated.

[Maldacena (2002)] [Bartolo et al. (2004)] [Yokoyama, Suyama, Tanaka (2007)]

• One should take into account some initial  $f_{NL}$  from subhorizon modes.

[**DA**, Pi, Tanaka (2024)]

## Conclusion

To constrain inflationary models, non-linear effects may be important.

The gradient expansion describes non-linear effects during inflation.

Describe a set of flat FLRW patches.  $\zeta = \delta \mathcal{N}$ .

Well understood for the case of slow roll.

Extended gradient expansion: curved FLRW patches.

Captures the  $k^2$ -correction of  $\zeta$ . Relevant e.g. in ultra-slow roll.

• The  $f_{NL}$  evolves continuously from slow roll to ultra-slow roll  $0 \rightarrow 5/2$ . PBHs may be created even from modes that exited the horizon during the slow-roll phase. [work in progress]