



UNIVERSITÉ
DE GENÈVE

Wave optics lensing of GW :

Theory and phenomenology of triple
systems in the LISA band

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In collaboration with Giulia Cusin, Cyril Pitrou, Jean-Philippe Uzan

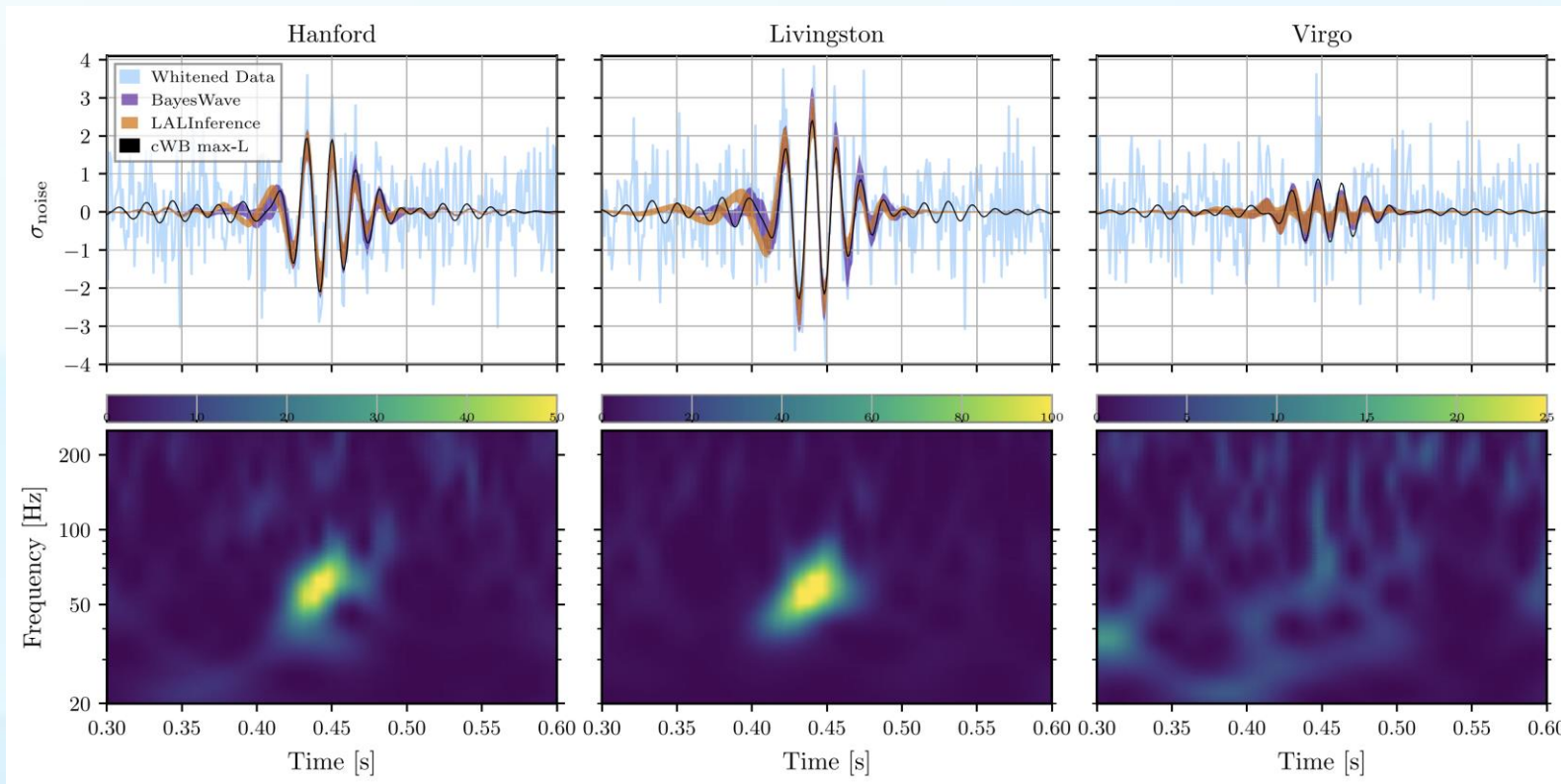
arXiv:2404.07186 , to appear in Phys. Rev. D

Outline

1. Introduction on triple systems & motivation
2. GW lensing, wave optics the *usual* way, quantum mechanical analogy
3. Our contribution : GW lensing considering the tensorial structure
4. Application to LISA-band triple systems

Hierarchical triple systems : GW190521 ?

Observational fact: 5 years ago ...



Best fit masses:

$$m_1 = 85M_{\odot},$$
$$m_2 = 66M_{\odot}$$

(heavy !)

R. Abbott *et al.* (LIGO Scientific Collaboration and Virgo Collaboration),
GW190521: A Binary Black Hole Merger with a Total Mass of $150 M_{\odot}$
Phys. Rev. Lett. **125**, 101102, 2020

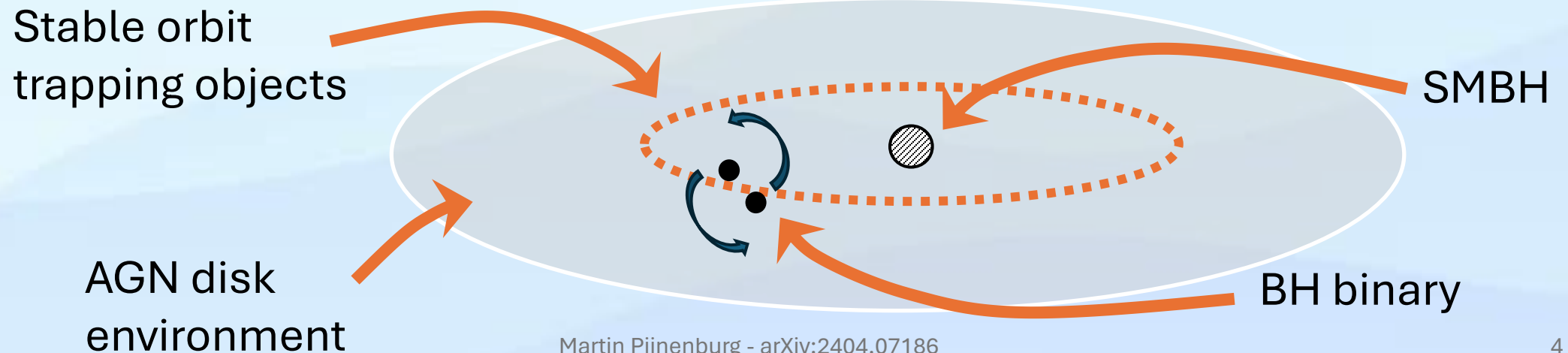
Martin Pijenburg - arXiv:2404.07186

Hierarchical triple systems : GW190521 ?

Multiple possible scenarii, inconclusive analysis looking at GW signal **alone**

Abbott *et al.* (LIGO Scientific Collaboration and Virgo Collaboration), 2020

One possibility: AGN disk migration traps Bellovary *et al.*, 2016



Hierarchical triple systems : GW190521 ?

- 34 days later: AGN signal from the same location
- Suspect merger occurred $\sim 350 r_S$ away from AGN : triple system

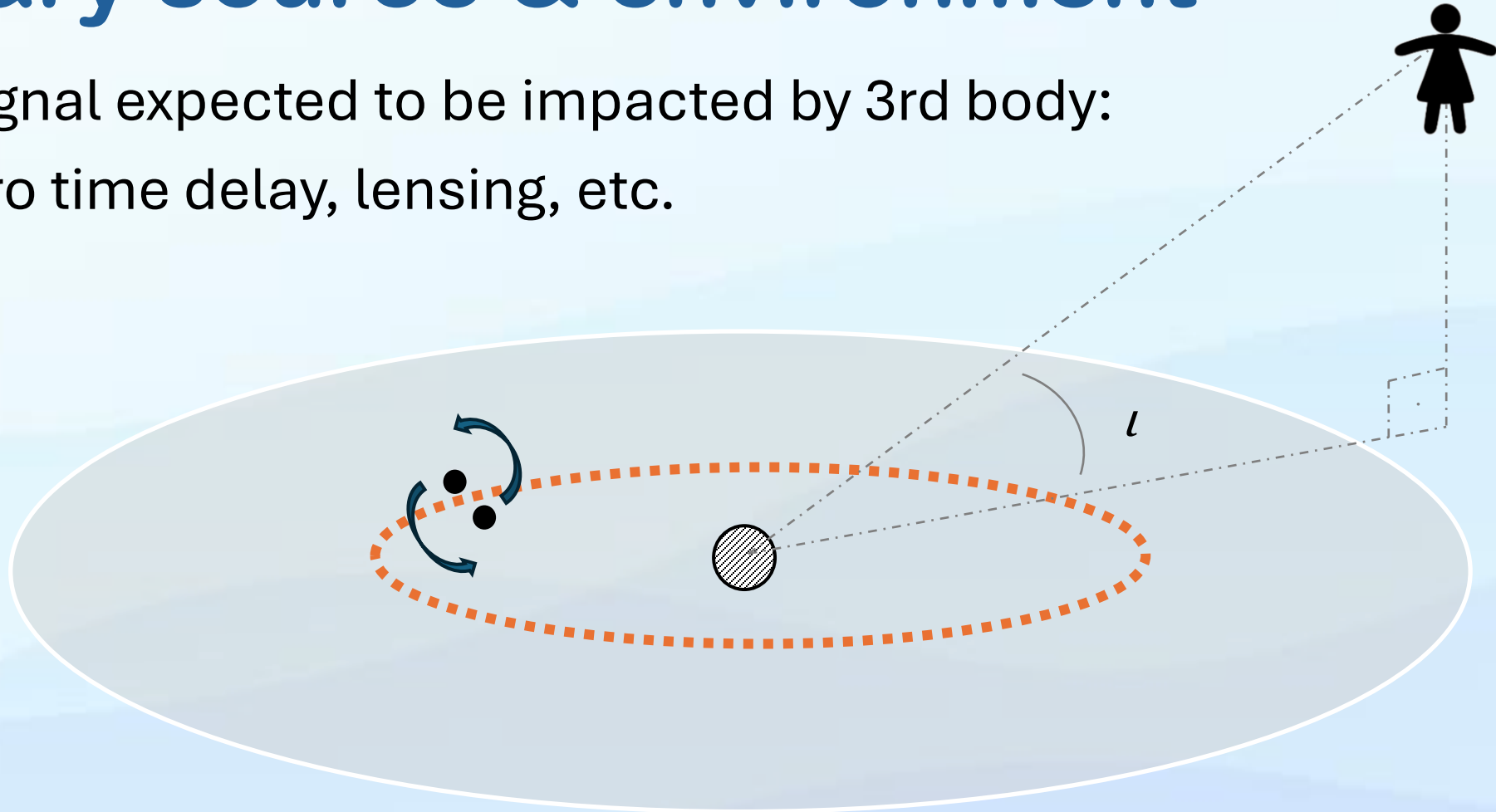
Considering **both GW & EM** signals, **evidence** for hierarchical triple:

marginal Ashton *et al.* (2021), Palmese *et al.* (2021)

confident Graham *et al.* (2020), Morton *et al.* (2023)

Hierarchical triple systems : binary source & environment

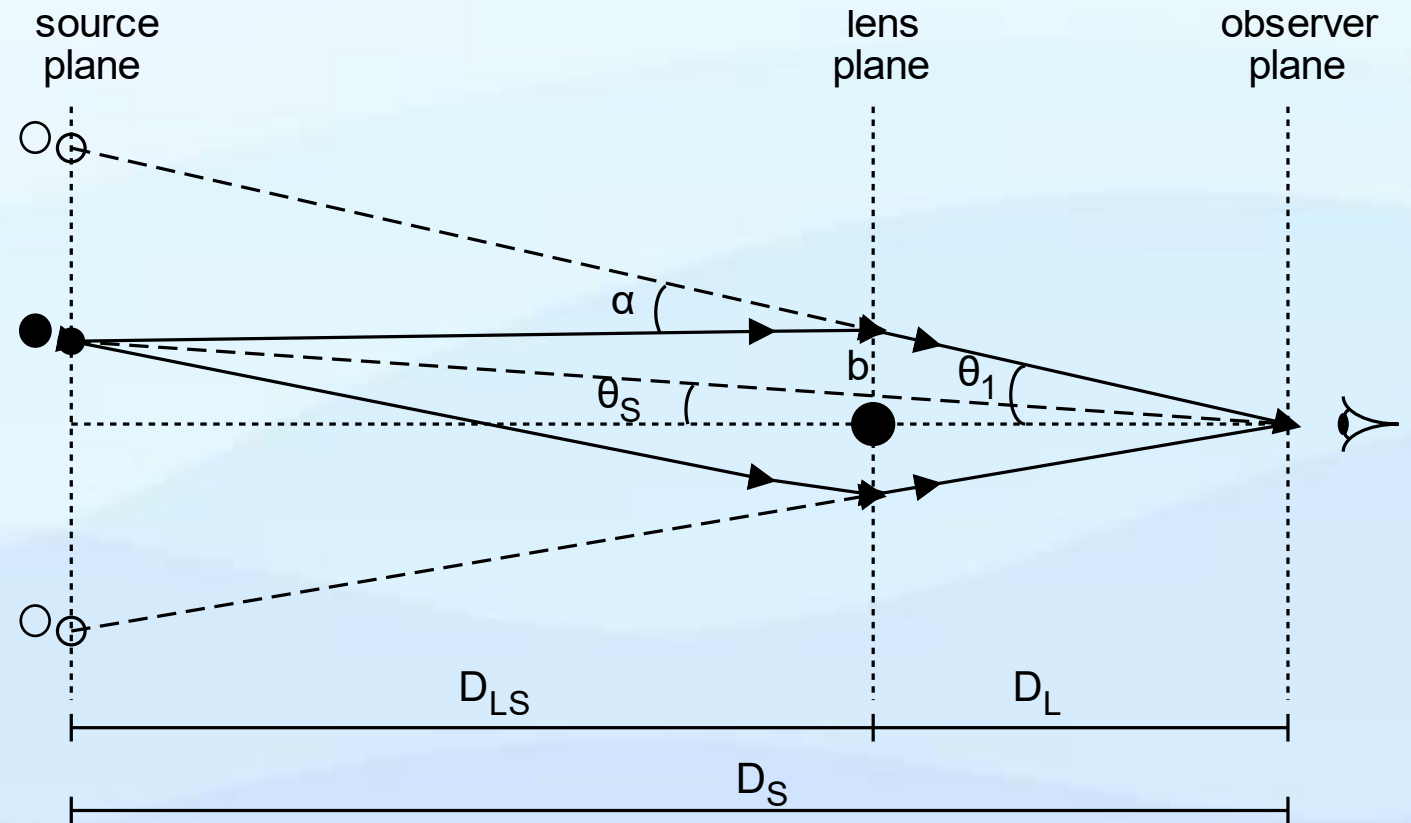
GW signal expected to be impacted by 3rd body:
Shapiro time delay, lensing, etc.



GW lensing: geometrical optics

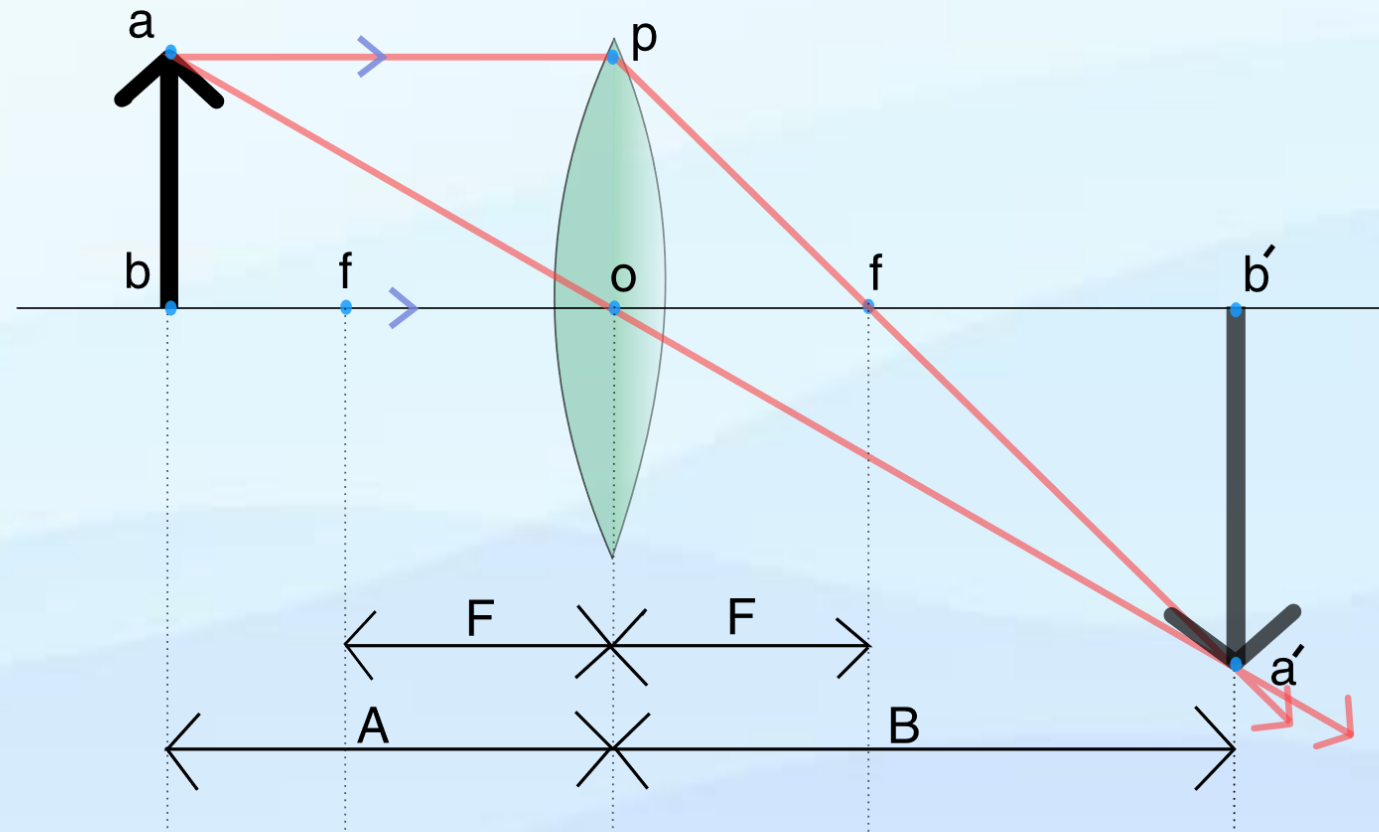
Assume you have a notion of *ray* :

→ Usual lensing picture
(deflection angle, etc.)



GW lensing: geometrical optics

Conceptually similar to (my) undergraduate lab optics



GW lensing: wave optics

But just as light, GW are ... **waves** !

Geometrical optics is just a high frequency approximation, which **breaks down** when

$$\lambda_{\text{wave}} \gtrsim (\text{lens size}) .$$

At the fundamental level, signal obeys a wave equation

→ allows for diffraction, interference, ...

GW lensing: wave optics

LISA has a best sensitivity around 10^{-3}Hz .

At this frequency, **low mass AGN** with $M \sim \mathcal{O}(10^6)M_{\odot}$ fulfil the wave optics requirement

$$\lambda_{GW} > \frac{2GM}{c^2}$$

Equivalently: $\omega M < 1$ (natural units)

GW lensing: wave optics

Start with : $ds^2 = (\bar{g}_{\mu\nu} + h_{\mu\nu})dx^\mu dx^\nu$

E.g. gauge fixing : $h^\nu_{\mu;\nu} = 0, \quad h^\mu{}_\mu = 0$

→ Wave equation :

$$h_{\mu\nu;\alpha}{}^{;\alpha} + 2\bar{R}_{\alpha\mu\beta\nu} h^{\alpha\beta} = 0, \quad \text{with} \quad h_{\mu\nu;\alpha}{}^{;\alpha} \equiv \square h_{\mu\nu}.$$

GW lensing: wave optics

Reference work for phenomenology :

Wave effects in gravitational lensing of gravitational waves from chirping binaries

Ryuichi Takahashi (Kyoto U.), Takashi Nakamura (Kyoto U.)

May, 2003

28 pages

Published in: *Astrophys.J.* 595 (2003) 1039-1051

e-Print: [astro-ph/0305055](https://arxiv.org/abs/astro-ph/0305055) [astro-ph]

DOI: [10.1086/377430](https://doi.org/10.1086/377430)

View in: [ADS Abstract Service](#)



Scalar wave optics

Usual process : **scalar** wave Ansatz $h_{\mu\nu} = \phi \cdot e_{\mu\nu}$

Assume known behaviour for $e_{\mu\nu}$ (parallel transport)

Specify the lens background $\bar{g}_{\mu\nu}$ (in our case : Schwarzschild)

Decompose ϕ in multipoles : $\phi = e^{-i\omega t} \sum_{\ell} \frac{u_{\ell}(r)}{r (1 - 2M/r)^{1/2}} P_{\ell}(\cos(\theta))$

Scalar wave optics

Differential equation is

$$\left[\frac{d^2}{dr^2} + \omega^2 + \frac{4M\omega^2}{r} - \frac{\ell(\ell + 1)}{r^2} + \frac{12M^2\omega^2}{r^2} + \mathcal{O}(r^{-3}) \right] u_\ell = 0$$

Scalar wave optics

Differential equation is

$$\left[\frac{d^2}{dr^2} + \omega^2 + \frac{4M\omega^2}{r} - \frac{l(l+1)}{r^2} + \frac{12M^2\omega^2}{r^2} + \mathcal{O}(r^{-3}) \right] u_\ell = 0$$

Schrödinger in $1/r$ Coulomb potential (Rutherford): $\Psi = e^{-i\omega t} \sum_\ell \frac{u_\ell}{r} P_\ell$

$$\left[\frac{d^2}{dr^2} + \omega^2 - \frac{2\omega\gamma}{r} - \frac{l(l+1)}{r^2} \right] u_\ell = 0 \quad , \quad \gamma \propto \text{charges}$$

Scalar wave optics

Differential equation is

deep wave optics

$$\left[\frac{d^2}{dr^2} + \omega^2 + \frac{4M\omega^2}{r} - \frac{l(l+1)}{r^2} + \frac{12M^2\omega^2}{r^2} + \mathcal{O}(r^{-3}) \right] u_\ell = 0$$

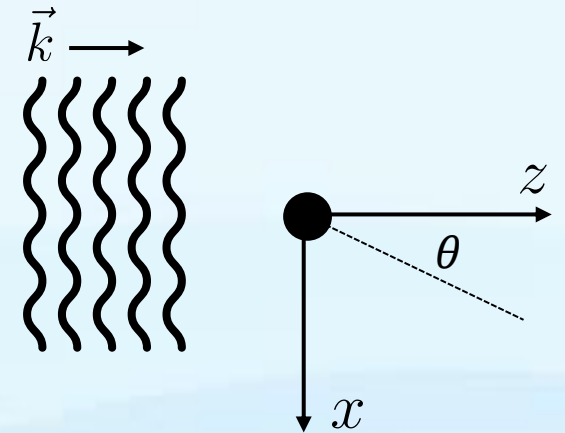
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Insights from quantum mechanics

QM problem has exact solution:

$$\Psi \propto e^{-i\omega t} e^{ikz} {}_1F_1[-i\gamma, 1; ikr(1 - \cos(\theta))]$$



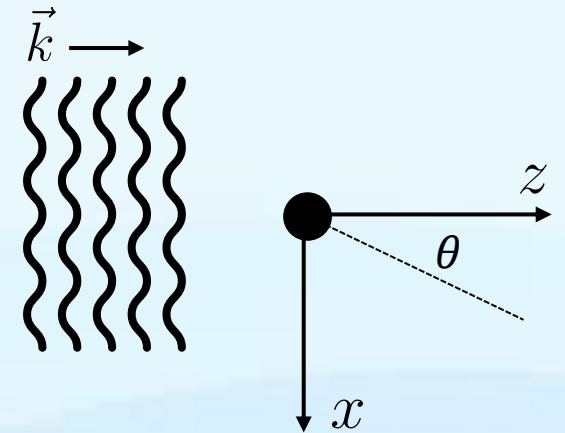
Solution decouples for $kr(1 - \cos(\theta)) \gg 1$:

$$e^{i\omega t} \Psi \sim e^{ikz + i\gamma \ln kr(1 - \cos(\theta))} - \frac{\tilde{\gamma}}{kr(1 - \cos(\theta))} e^{ikr - i\gamma \ln kr(1 - \cos(\theta))}$$

Insights from quantum mechanics

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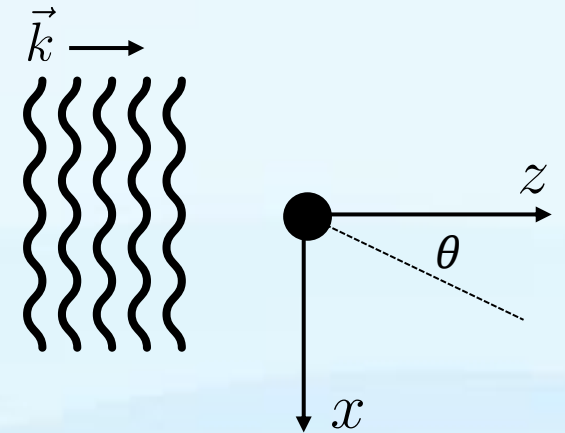
Solution decouples for $kr(1 - \cos(\theta)) \gg 1$:

$$e^{i\omega t} \Psi \sim \underbrace{e^{ikz + i\gamma \ln kr(1 - \cos(\theta))}}_{\text{Plane wave}} \frac{\tilde{\gamma}}{\underbrace{kr(1 - \cos(\theta))}_{\text{Spherical wave}}} \underbrace{e^{ikr - i\gamma \ln kr(1 - \cos(\theta))}}_{\text{Spherical wave}}$$

Insights from quantum mechanics

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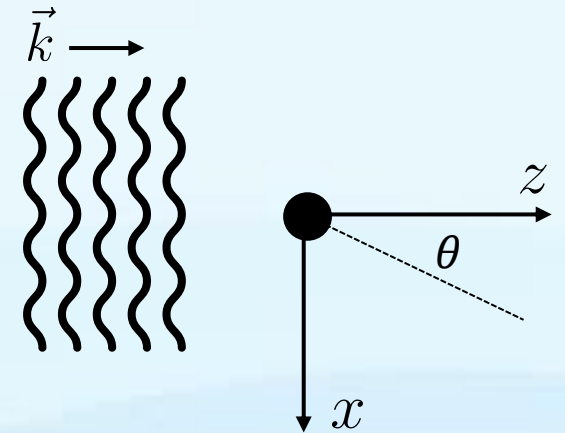
$$e^{i\omega t} \Psi \sim e^{ikz + i\gamma \ln kr(1 - \cos(\theta))} - \frac{\tilde{\gamma}}{kr(1 - \cos(\theta))} e^{ikr - i\gamma \ln kr(1 - \cos(\theta))}$$

apparent divergence
as $\theta \rightarrow 0$

Insights from quantum mechanics

QM problem has exact solution:

$$\Psi \propto e^{-i\omega t} e^{ikz} {}_1F_1[-i\gamma, 1; ikr(1 - \cos(\theta))]$$



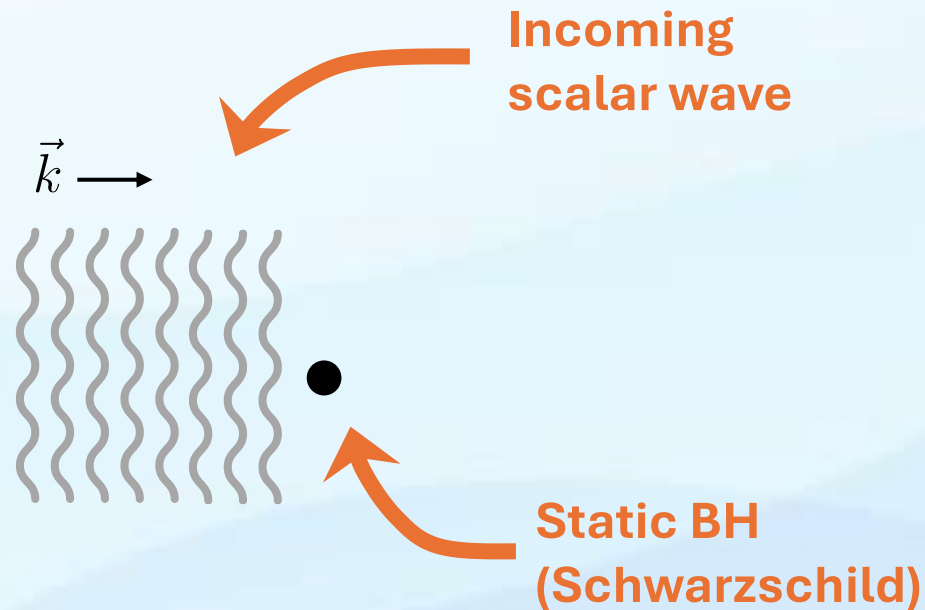
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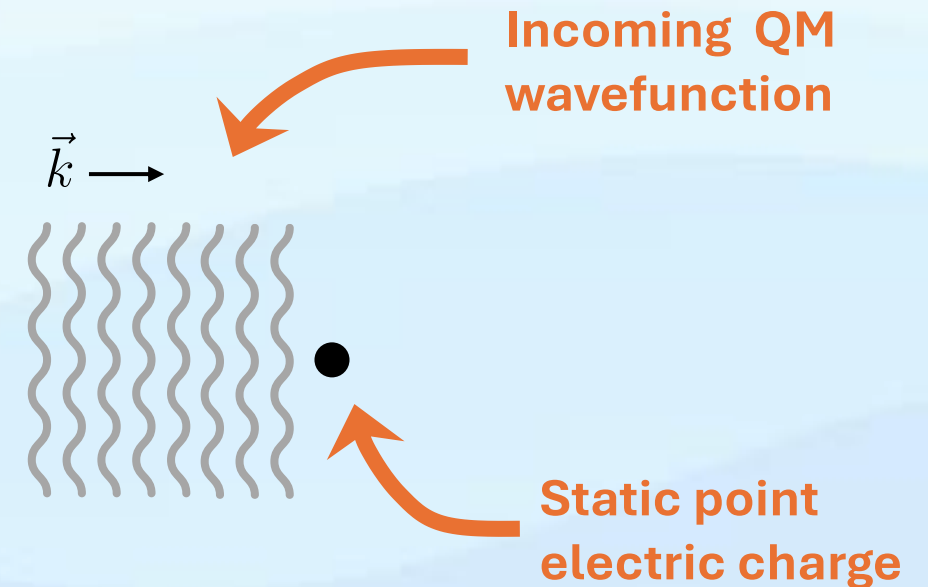
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log phase corrections

Insights from quantum mechanics

GR scalar wave, NR simulation

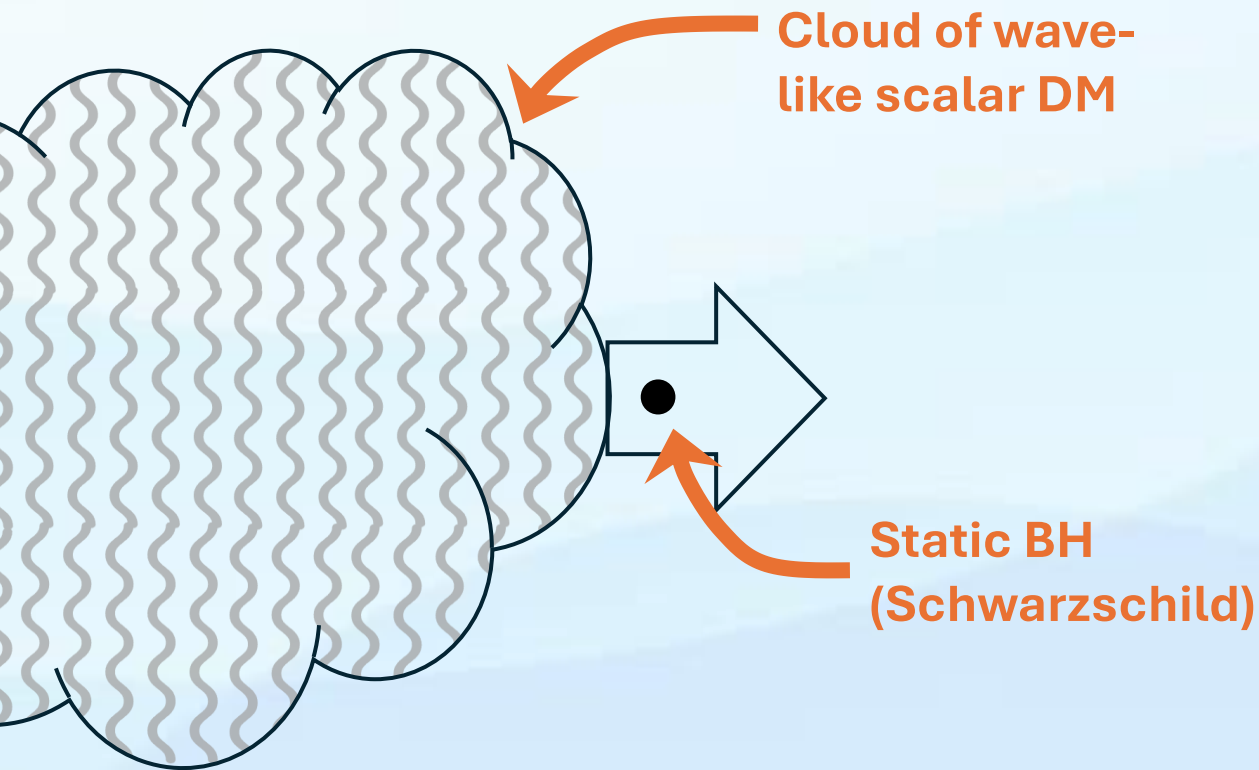


QM, analytical solution

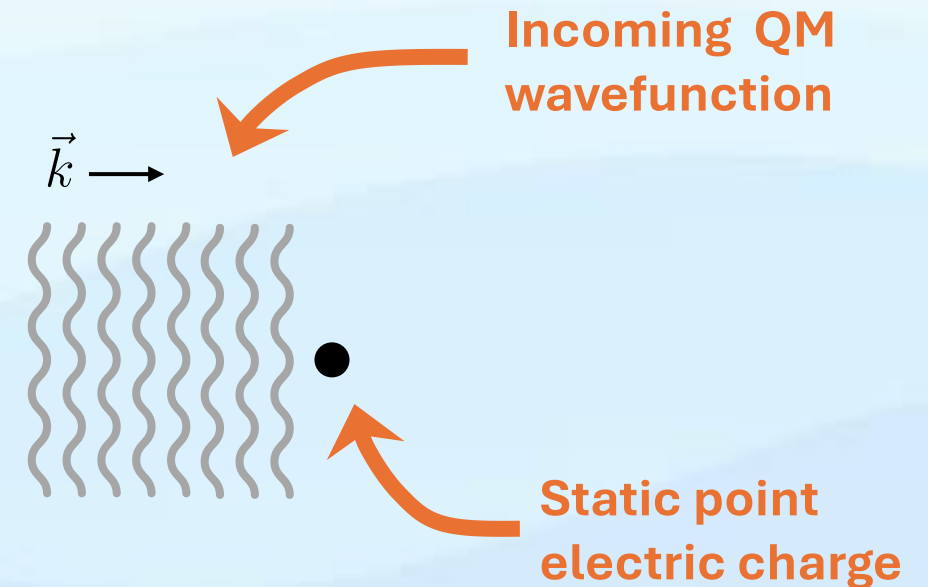


Insights from quantum mechanics

GR scalar wave, NR simulation

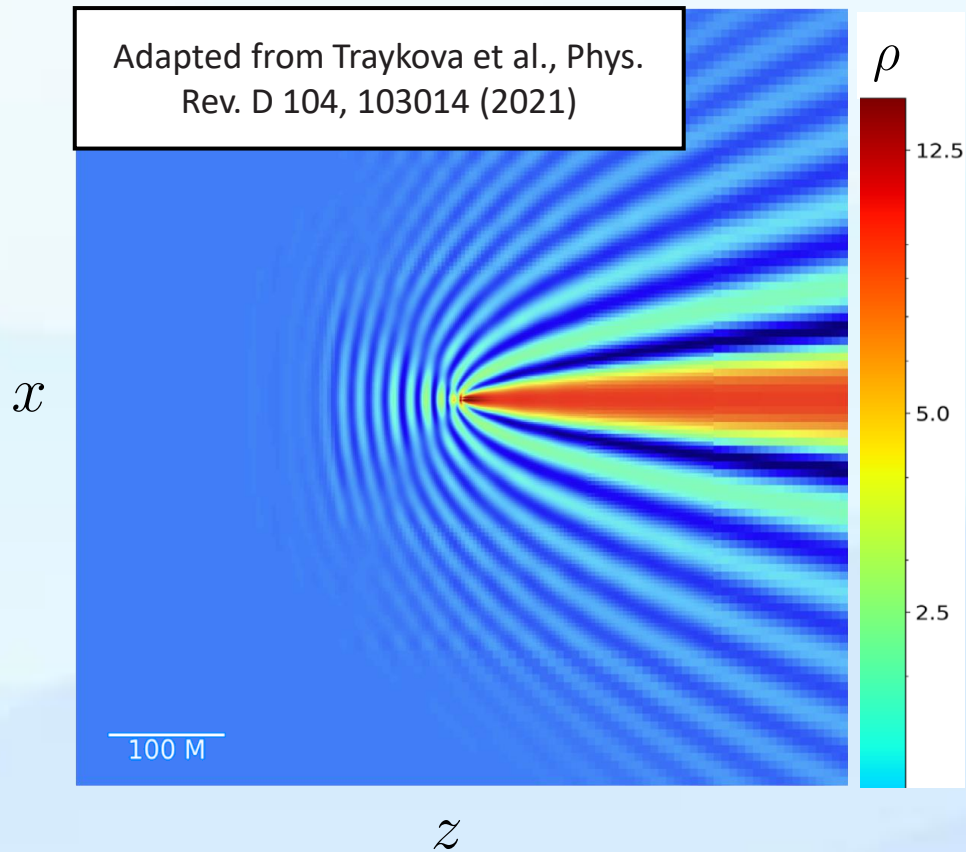


QM, analytical solution

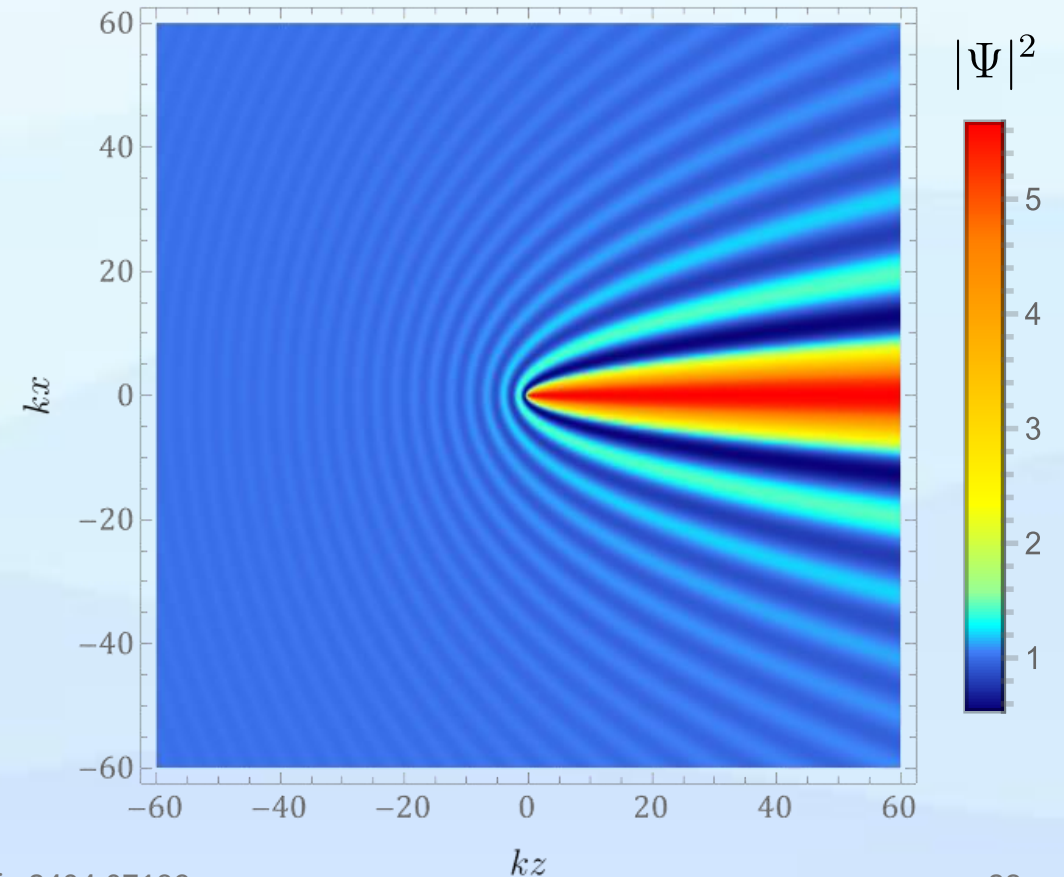


Insights from quantum mechanics

GR scalar wave, NR simulation



QM analytical solution



Insights from quantum mechanics

If one doesn't know the exact solution :

Possible to solve the differential equation in multipole space, by

- Taking $kr \gg 1$ limit
- Requiring $\Psi \sim \Psi_{\text{plane}} + \Psi_{\text{spherical}}$

→ **Correctly** recover $\Psi_{\text{spherical}} = -\frac{\tilde{\gamma}}{kr(1 - \cos(\theta))} e^{ikr - i\gamma \ln kr(1 - \cos(\theta))}$

Tensorial wave optics

Start again with : $ds^2 = (\bar{g}_{\mu\nu} + h_{\mu\nu})dx^\mu dx^\nu$

... but **avoid** extra assumption $h_{\mu\nu} = \phi \cdot e_{\mu\nu}$

Rather treat $h_{\mu\nu}$ with tools of black hole perturbation theory (BHPT),

to keep track of the full polarisation structure

Tensorial wave optics : BHPT

Project $h_{\mu\nu}$ on basis functions on the sphere with even (Y) and odd (X) parity :

$$h_{rr} = \sum_{\ell m} h_{rr}^{\ell m} Y^{\ell m}, \quad (\text{radial})$$

$$h_{rA} = \sum_{\ell m} h_r^{\ell m} X_A^{\ell m} + j_r^{\ell m} Y_A^{\ell m}, \quad A = \theta, \phi, \quad (\text{radial/angular})$$

$$h_{AB} = \sum_{\ell m} h_2^{\ell m} X_{AB}^{\ell m} + r^2 G^{\ell m} Y_{AB}^{\ell m} + r^2 K^{\ell m} \Omega_{AB} Y^{\ell m}, \quad A, B = \theta, \phi, \quad (\text{angular})$$

Tensorial wave optics : BHPT

From metric multipoles, define two **gauge invariant** master functions:

$$\Psi_{\text{odd}}^{lm} = \frac{2r}{(\ell - 1)(\ell + 2)} \left(\frac{\partial}{\partial r} \hat{h}_t^{lm} - \frac{\partial}{\partial t} \hat{h}_r^{lm} - \frac{2}{r} \hat{h}_t^{lm} \right)$$

$$r^{-1} \Psi_{\text{even}}^{lm} \propto \hat{K}^{lm} + \frac{2(1 - 2M/r)}{(\ell - 1)(\ell + 2) + 6M/r} \left((1 - 2M/r) \hat{h}_{rr}^{lm} - r \frac{\partial}{\partial r} \hat{K}^{lm} \right)$$

Martel, Poisson. *Physical Review. D* 71.10 (2005)

Tensorial wave optics : BHPT

$\Psi_{\bullet}^{\ell m}$ obey Zerilli & Regge-Wheeler equations, $\bullet = \text{even, odd}$

$$\frac{d^2 \Psi_{\bullet}}{dr_*^2} + (\omega^2 - V_{\bullet}) \Psi_{\bullet} = 0, \quad \text{with } r_*(r) = r - 2M \ln \left(\frac{r}{2M} - 1 \right)$$

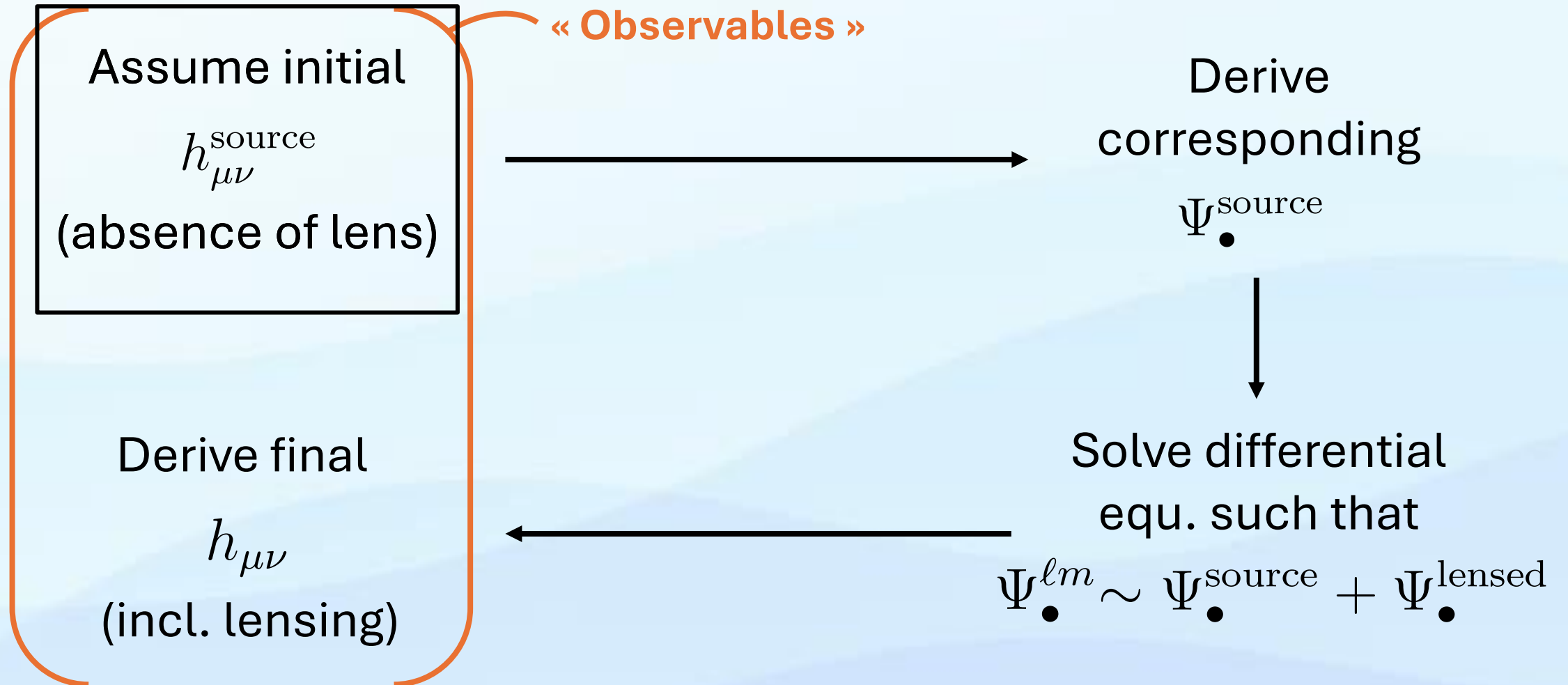
Schrödinger-like, for given potentials $V_{\bullet}(\ell, r, M)$

For the scattering problem:

Asymptotic solutions for $\omega M \ll 1$ are known, expect $\Psi_{\bullet}^{\ell m} \sim \Psi_{\bullet}^{\text{plane}} + \Psi_{\bullet}^{\text{sph}}$

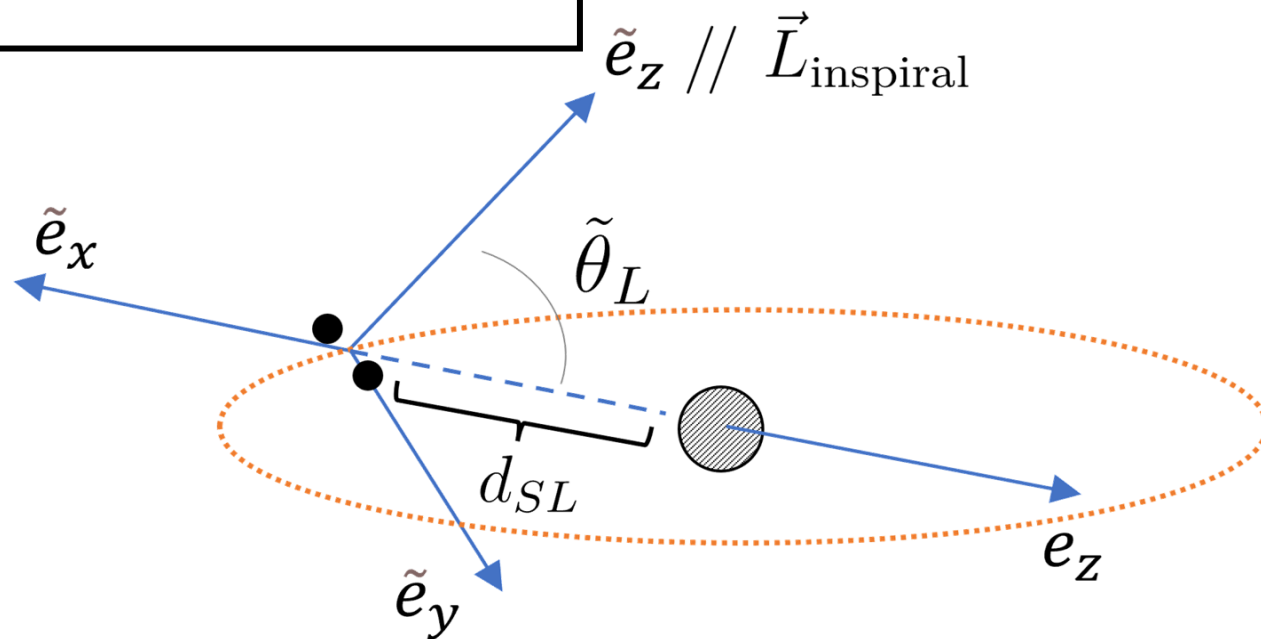
Poisson, Sasaki. *Physical Review D* 51.10 (1995)

Tensorial wave optics : BHPT



Tensorial wave optics : BHPT

Assume initial
 $h_{\mu\nu}^{\text{source}}$
 (absence of lens)



TT gauge, propagation along e_z :

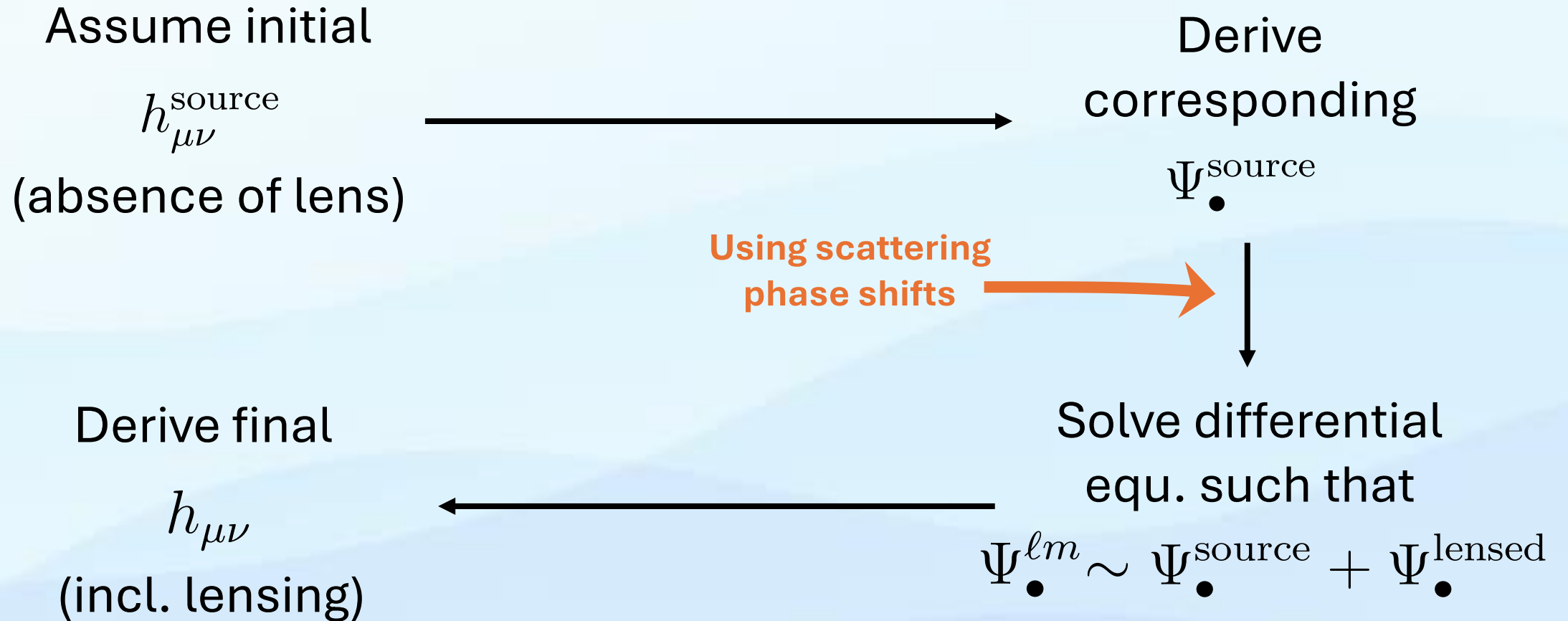
$$h_{ij}^{\text{source}} = \begin{pmatrix} h_+ & h_\times & 0 \\ h_\times & -h_+ & 0 \\ 0 & 0 & 0 \end{pmatrix}_{ij}$$

$$h_+ = \frac{A_{\text{in}}}{\tilde{r}} \frac{1 + \cos^2 \tilde{\theta}_L}{2} \cos[\omega(t - \tilde{r}) - 2\tilde{\phi}_L]$$

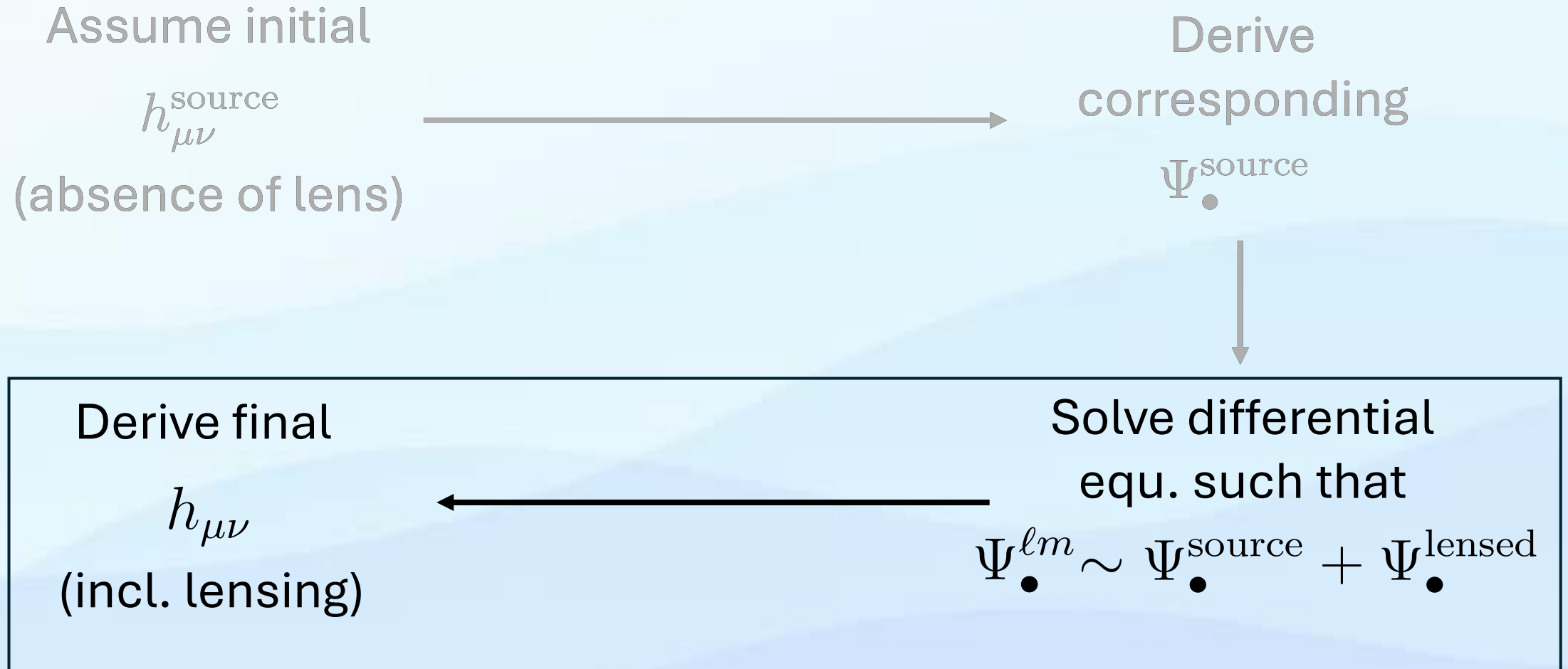
$$h_\times = \frac{A_{\text{in}}}{\tilde{r}} \cos \tilde{\theta}_L \sin[\omega(t - \tilde{r}) - 2\tilde{\phi}_L]$$

(locally plane wave)

Tensorial wave optics : BHPT



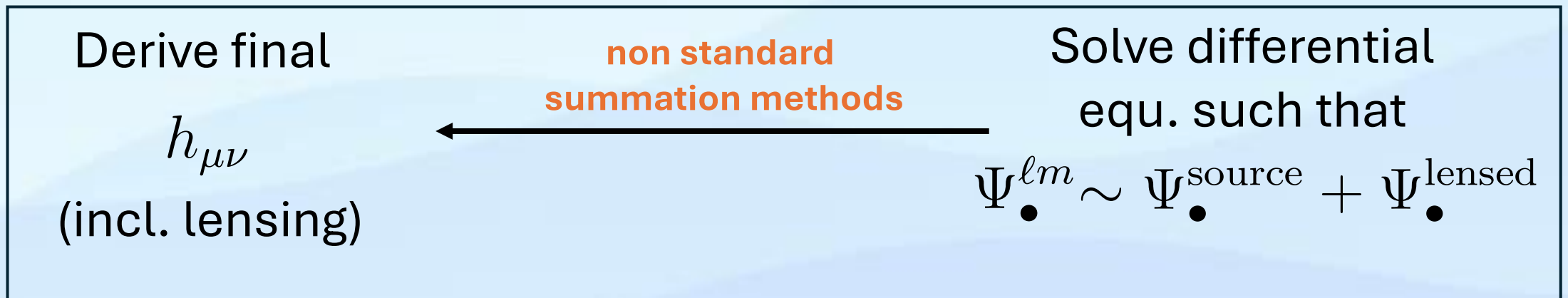
Tensorial wave optics : BHPT



Tensorial wave optics : BHPT

Technicality : in principle, should sum $\lim_{r \rightarrow \infty} \sum_{\ell m} \Psi_{\bullet}^{\ell m}$

In practice : $\sum_{\ell m} \lim_{r \rightarrow \infty} \Psi_{\bullet}^{\ell m}$... **diverges** analytically & numerically



Tensorial wave optics : results

Recovering familiar features:

$$h_+ - h_+^{\text{source}} \simeq \frac{A_{\text{in}}}{r} \frac{2M}{d_{\text{SL}}} \frac{1 + \cos^2 \theta}{2} \frac{1}{1 - \cos \theta} \left(\cos^4 \left(\frac{\tilde{\theta}_L}{2} \right) \cos(\varphi - 2\phi) + \sin^4 \left(\frac{\tilde{\theta}_L}{2} \right) \cos(\varphi + 2\phi) \right)$$

$$h_{\times} - h_{\times}^{\text{source}} \simeq \frac{A_{\text{in}}}{r} \frac{2M}{d_{\text{SL}}} \cos \theta \frac{1}{1 - \cos \theta} \left(\cos^4 \left(\frac{\tilde{\theta}_L}{2} \right) \sin(\varphi - 2\phi) - \sin^4 \left(\frac{\tilde{\theta}_L}{2} \right) \sin(\varphi + 2\phi) \right)$$

TT gauge projection
of a quadrupole
(cf source)

Tensorial wave optics : results

Recovering familiar features:

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lensing features

Natural *expected* validity range : $kr(1 - \cos(\theta)) \gg 1$

Tensorial wave optics : results

Recovering familiar features:

$$h_+ - h_+^{\text{source}} \simeq \frac{A_{\text{in}}}{r} \frac{2M}{d_{\text{SL}}} \frac{1 + \cos^2 \theta}{2} \frac{1}{1 - \cos \theta} \left(\cos^4 \left(\frac{\tilde{\theta}_L}{2} \right) \cos(\varphi - 2\phi) + \sin^4 \left(\frac{\tilde{\theta}_L}{2} \right) \cos(\varphi + 2\phi) \right)$$

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$$\varphi(r, t) \equiv \omega(t - d_{\text{SL}*} - r_*) - 2\tilde{\phi}_L + \Phi - 2M\omega (\ln(1 - \cos \theta) - 1 - \ln 2)$$

log phase corrections

Polarisation

Quantifying the signal polarisation content $\mathcal{V} \in [-1, 1]$:

$$\begin{aligned}\mathcal{V} &\equiv \frac{2\text{Im}[\tilde{h}_+ \tilde{h}_\times^*]}{|\tilde{h}_+|^2 + |\tilde{h}_\times|^2} = V/I \quad \text{in terms of the Stokes parameters } V, I. \\ &= \frac{|\tilde{h}^{(2)}|^2 - |\tilde{h}^{(-2)}|^2}{|\tilde{h}^{(2)}|^2 + |\tilde{h}^{(-2)}|^2}\end{aligned}$$

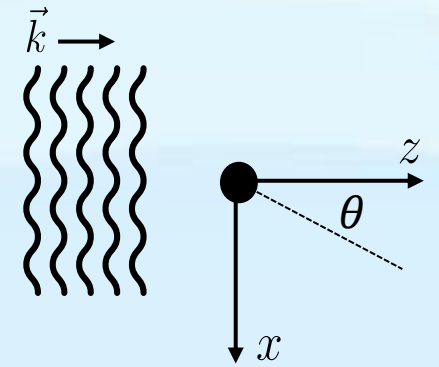
constant in geometric optics and scalar wave optics

in general **not constant** tensorial wave optics

Polarisation

Quantifying the signal polarisation content $\mathcal{V} \in [-1, 1]$:

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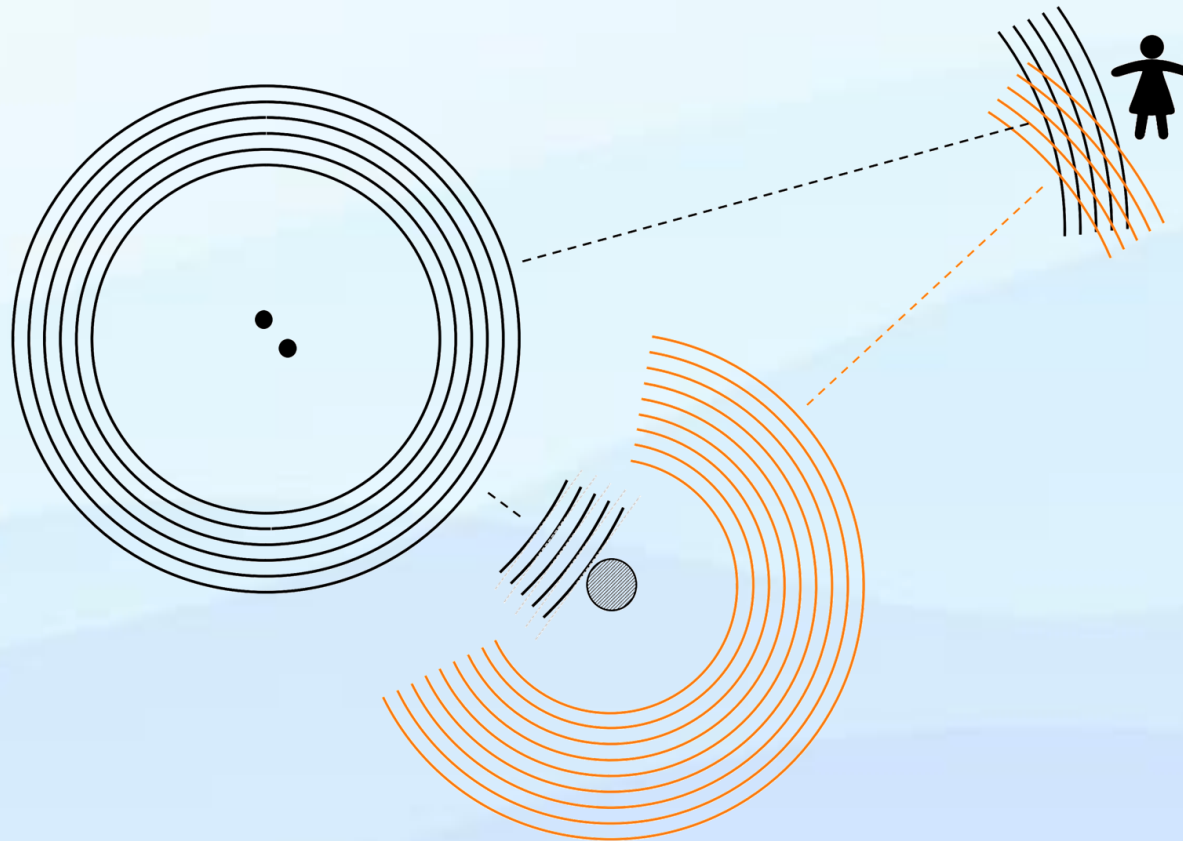


Wave optics lensing is **polarisation dependent**, e.g. :

$$\frac{d\sigma}{d\Omega} = M^2 \frac{\cos^8\left(\frac{\theta}{2}\right) + \sin^8\left(\frac{\theta}{2}\right)}{\sin^4\left(\frac{\theta}{2}\right)} + 2M^2 \sqrt{1 - \mathcal{V}_{\text{incident}}^2} \cos^4\left(\frac{\theta}{2}\right) \cos(4\phi)$$

Tensorial wave optics : interference

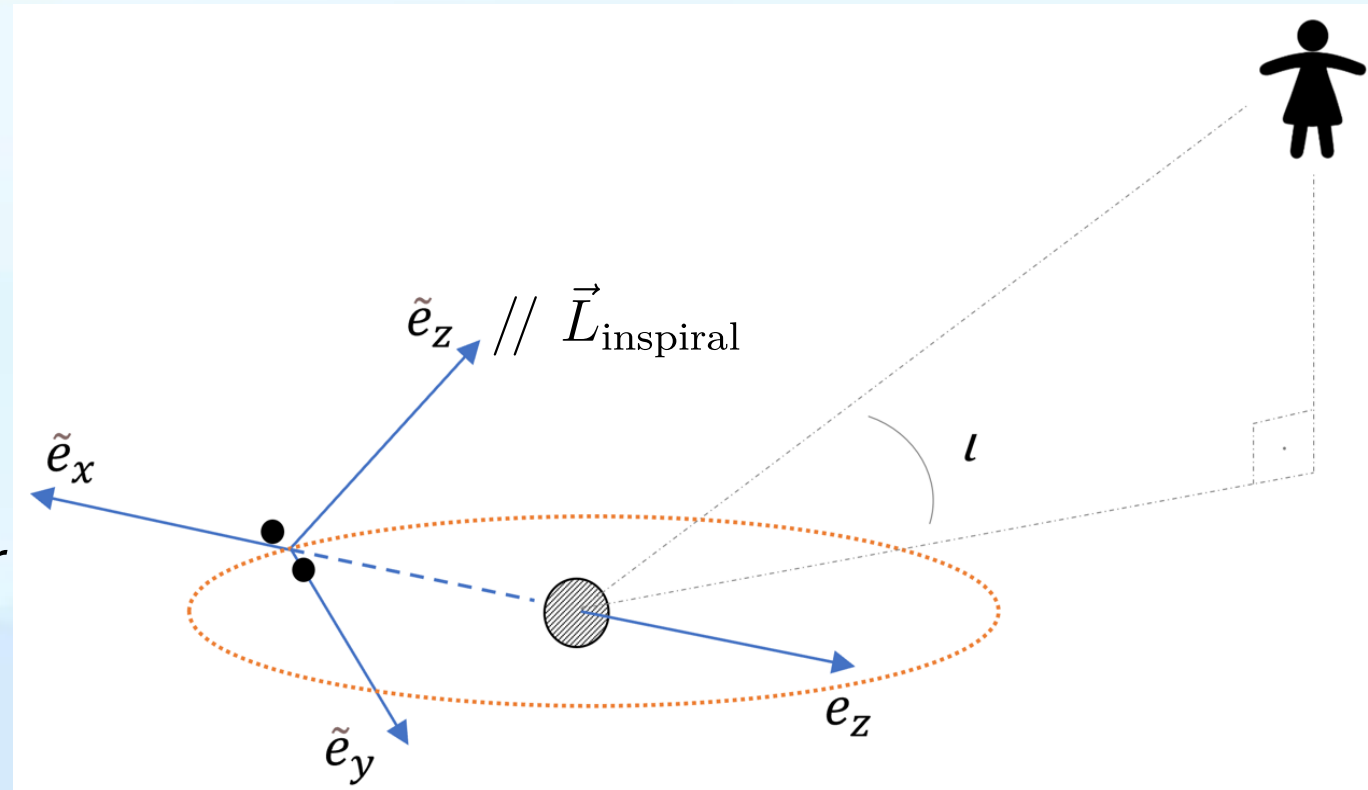
Full wave solution is a **superposition** of lensed and original wave



Wave optics lensing in triple systems: towards a phenomenology

Illustration:

- For simple circular orbits (disk migration traps)
- Considering a time-varying alignment (*explicitly ignoring other velocity dependent terms, e.g. Doppler*)



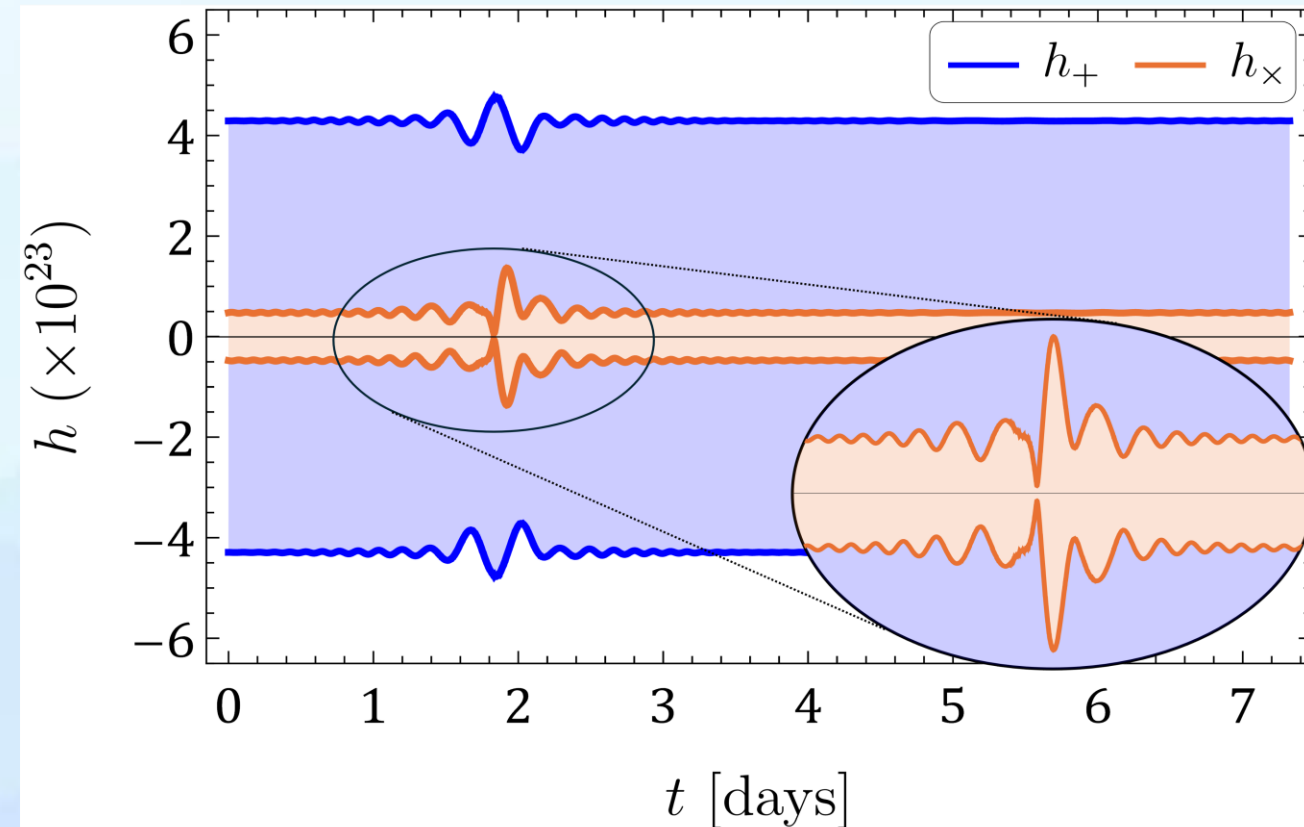
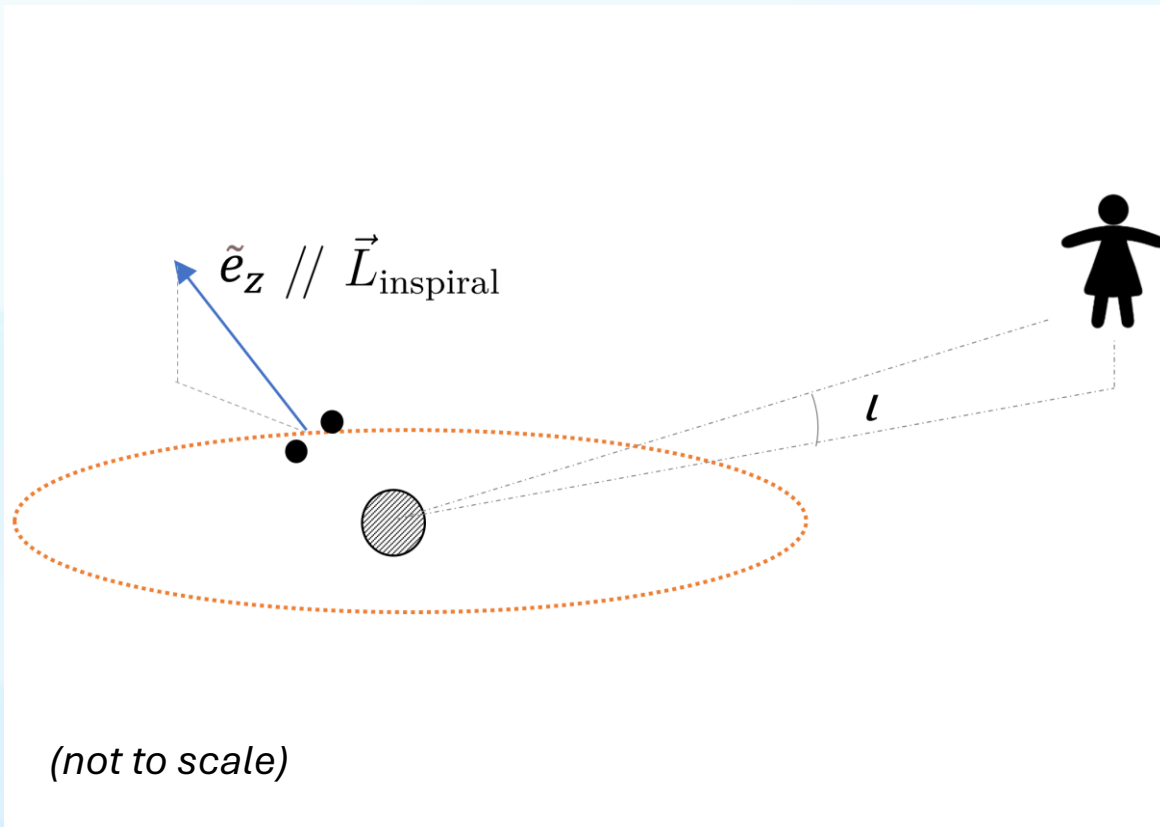
Wave optics lensing in triple systems: towards a phenomenology

- LISA-band system : $\omega = 2\pi f = 2\pi \times 3 \times 10^{-3} \text{Hz}$
- AGN lens mass : $M = 1.2 \times 10^6 M_{\odot} \implies \omega M = 0.11$
- Source-Lens distance (disk migration trap) : $d_{SL} = 700M$
- GW190521-inspired heavy source : $m_1 = 120M_{\odot}, m_2 = 71M_{\odot}$

LISA detectable with $\text{SNR} > 100$ if at $z \sim 0.01$

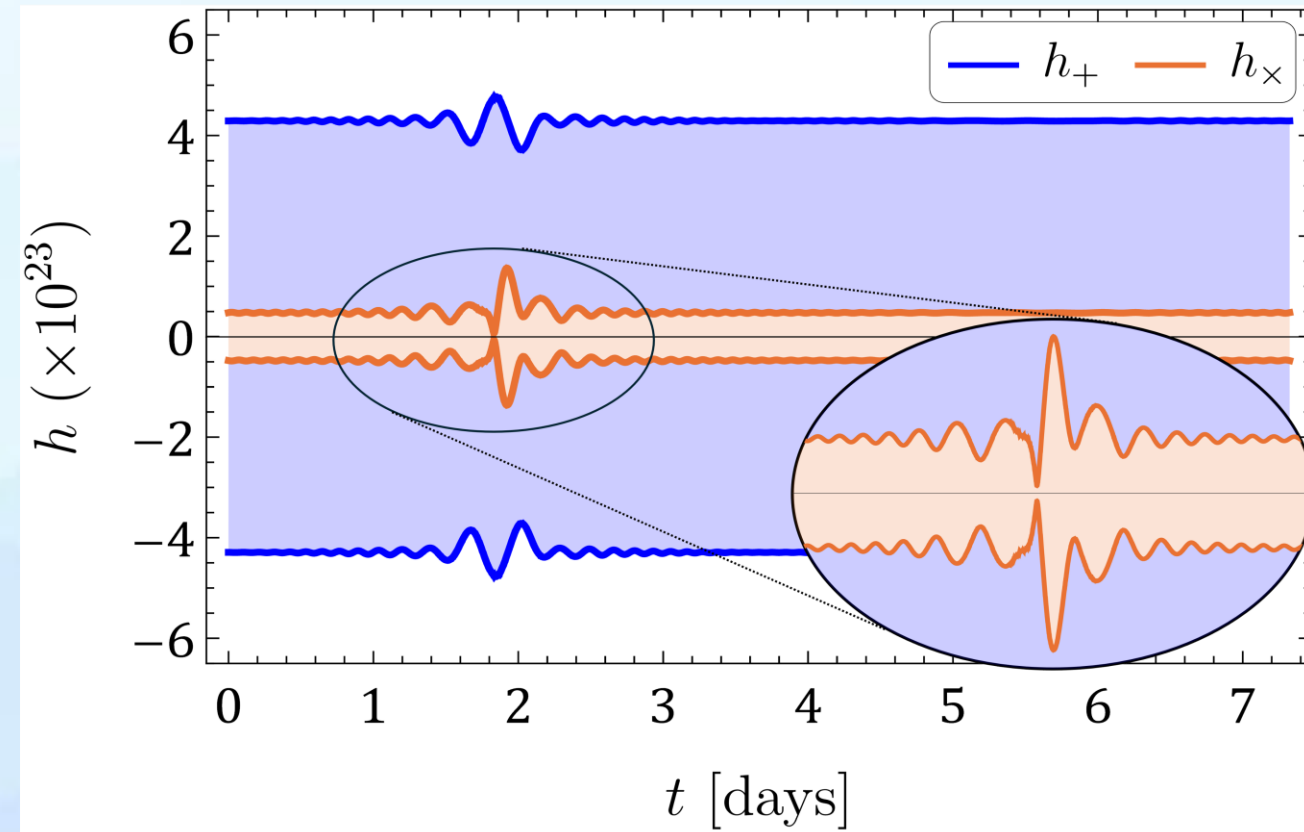
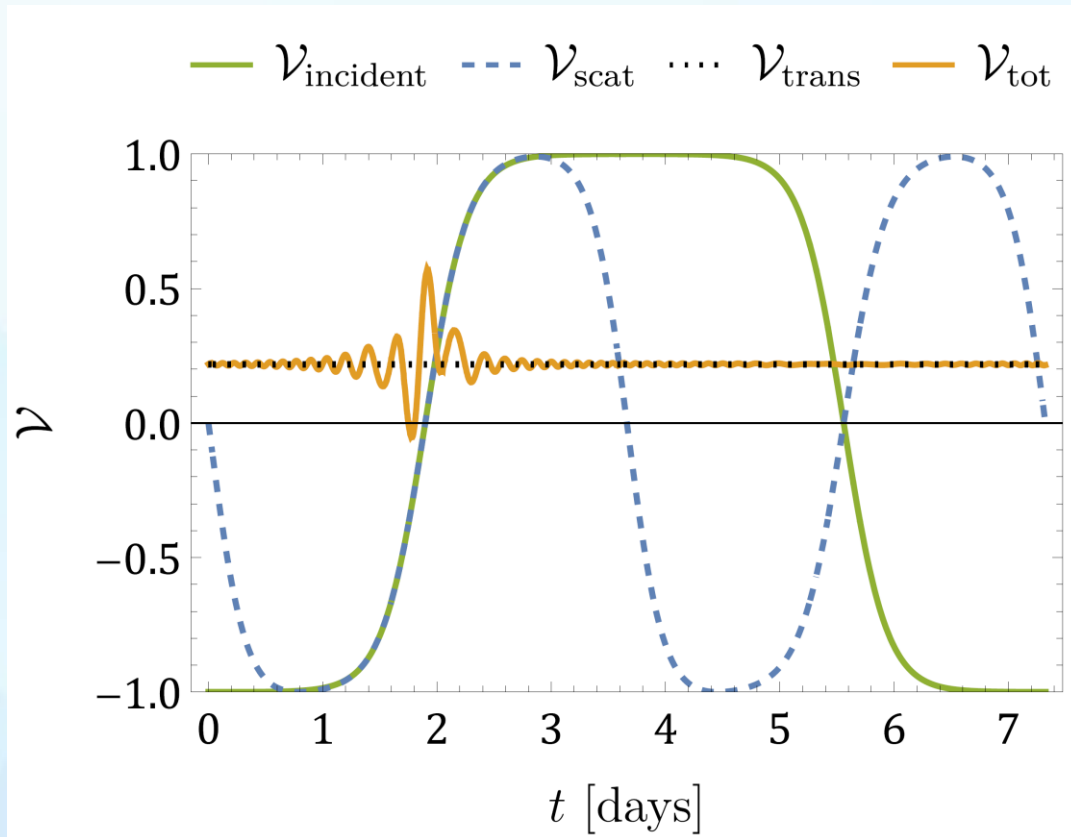
Wave optics lensing in triple systems: towards a phenomenology

Toy GW190521-inspired source, in LISA- « optimal » wave optics



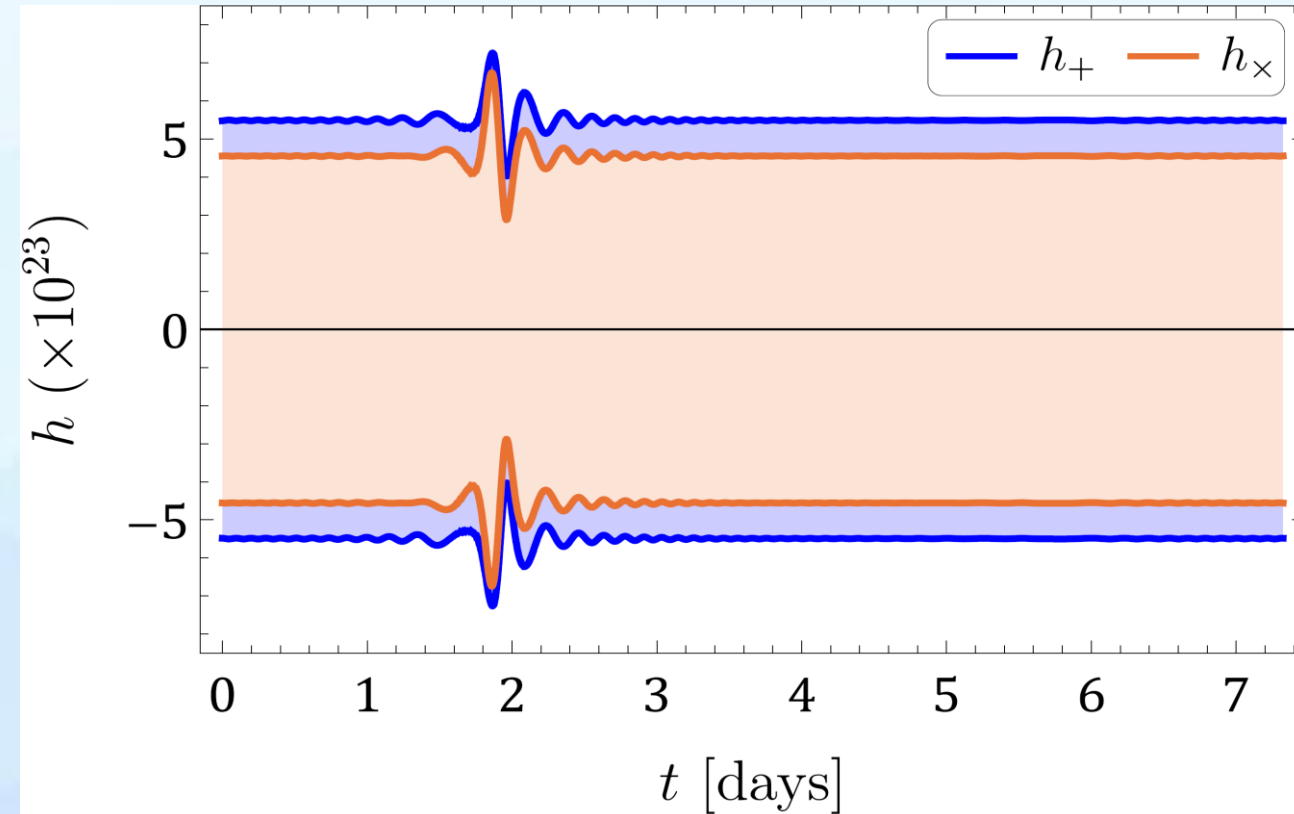
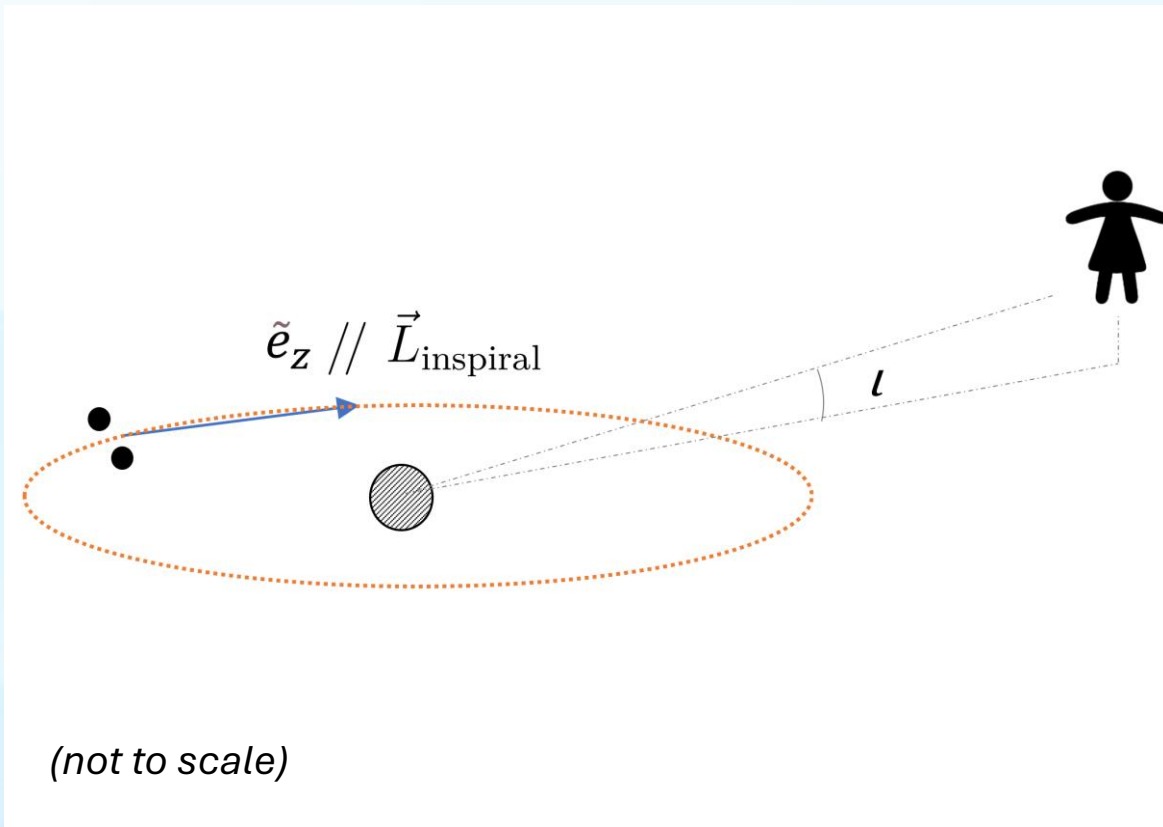
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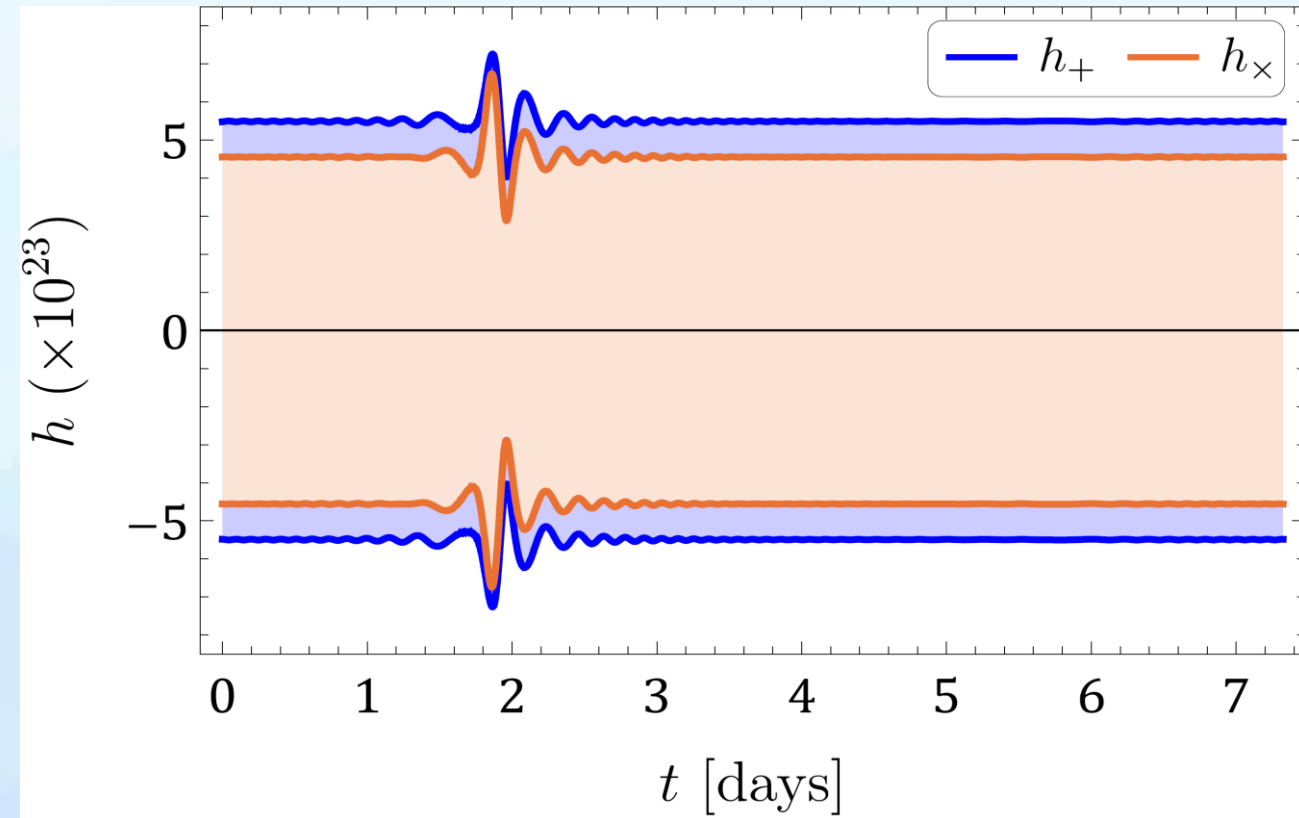
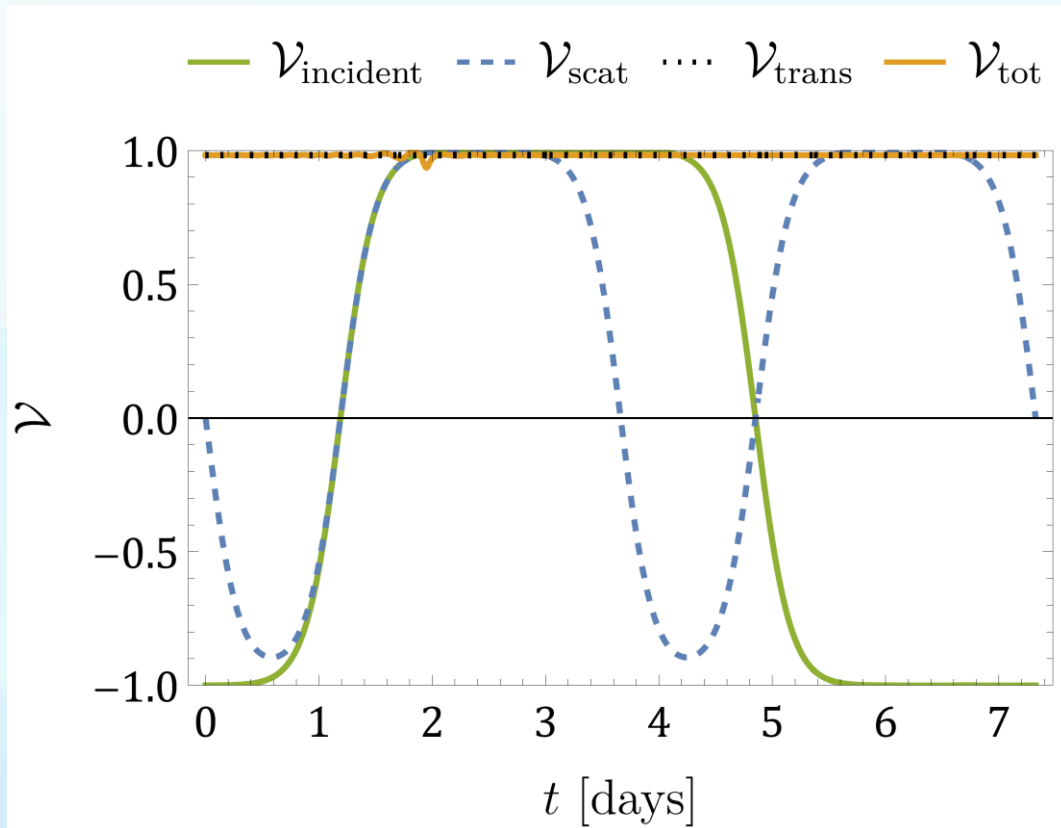
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Wave optics lensing in triple systems: towards a phenomenology

Toy GW190521-inspired source, in LISA- « optimal » wave optics



Conclusions

- **Wave optics** is a regime in the range of future observations (LISA)
- **Triple systems** exhibit a rich dynamical lensing phenomenology
- In wave optics, GW lensing is a **fully tensorial** process:
 - Results go beyond a simple scalar amplification factor
 - **The polarisation/helicity structure is not preserved by lensing**